

## Anatomy of cascading natural disasters in Japan – main modes and linkages

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### Abstract

In order to contribute to the development of risk assessment, cascading natural disasters which are sequences of natural hazards was studied and the patterns of the interactions between natural disasters were investigated. The data was collected from the database of Japanese newspaper. The relationships between each natural hazards were emerged and divided into four modes: striking, undermining, compounding, and blocking modes. Striking mode means a primary disaster provides sufficient energy to move a significant mass or to propagate the energy through media. In undermining mode, a primary disaster lowers the resistance or weakens a system maintaining mass and caused to collapse. Compounding mode of the linkage shows that a primary disaster reduces the strength of a system. The difference from undermining mode is that this mode adds to the amount of mass affected. Blocking mode is found in an event blocking steady flows. The results are important for an understanding of the impact of these types of cascading natural disaster and so are valuable as a basis for the identification, description, and development of countermeasures.

### Keywords

Cascading natural disaster, risk assessment, interaction patters, striking, undermining, compounding, blocking

## 1 Introduction

2 Since natural disasters have massive power to threaten human lives, property and social and  
3 cultural systems, immense effort has been made throughout human history to control such  
4 hazards, limit consequences, or mitigate loss and damage. When a natural disaster happens,  
5 the damage can be devastating and ruin civilization. History records how humans have  
6 tackled these threats and developed countermeasures to mitigate the massive power and  
7 enormous loss. For instance, some monarchs regarded flood control as their highest  
8 responsibility. Hammurabi, an ancient king of Babylon, devoted most of the last nine years of  
9 his reign to such projects and maintained canals for flood control as well as irrigation  
10 (Bertman 2003; Levin 2009). Even now, a few thousand years since Hammurabi,  
11 governments seek to combat the risk caused by both the old types of hazards and also new  
12 ones emerging in the wake of the expansion of habitat and the development of human  
13 activities.

14 Recently, sequences of disasters triggered by natural events have been highlighted. In the  
15 sequences, one or more primary hazardous events trigger one or more secondary hazardous  
16 events so that the initial disaster is compounded by the secondary event. These situations are  
17 referred to as “*multiple*”, “*cascading*”, or “*domino*” disasters by different authors in the  
18 relevant literature but in this paper we will use “*cascading*” to denote this type of situation.  
19 One of the reasons for the current attention to these situations is the massive impact of the  
20 earthquake and the subsequent tsunami which caused so much destruction and a humanitarian  
21 crisis in Japan, 2011 (Krausmann and Cruz 2013).

22 The 2011 disaster in Japan is also considered as a “*Natech*”, which denotes accidents  
23 triggered by natural events that create technological calamities (Showalter and Myers 1992).  
24 Natech risks can be found particularly at industrial sites storing hazardous materials due to  
25 the concern about the loss of containment by destructive natural hazards. Moreover, natural  
26 disasters can trigger multiple and simultaneous losses of containment and then require  
27 simultaneous response efforts.

28 However, most of these studies have been limited to technological accidents initiated by a  
29 single natural disaster. But, there are risks that the countermeasures against each individual  
30 natural event, such as floods, tsunami, lightning, typhoon, earthquake, and so forth, may be  
31 less effective when the initial event is coupled with another unexpected type of event. For  
32 instance, remaining in an underground structure is considered relatively safe in the event of  
33 an earthquake (Ohbo et al. 2004) but poses serious risks if a flood occurs (Shao 2010). For  
34 the reliable risk management of natural disasters and their consequences, cascading natural  
35 disasters should be investigated and incorporated into management strategies. This study will  
36 focus exclusively on cascading natural disasters rather than Natech disasters.

37 So far, there have been some studies of cascading natural disaster. The importance of risk  
38 assessment models applicable when several events have occurred at the same time or in a  
39 successive manner has been emphasized by many. However, as yet, there are no international  
40 standards and not even the basic knowledge to establish such standards. The lack of a good

41 data foundation hinders progress in this field. As cascading natural events have the potential  
42 to expand the damaged area, increase the number of affected people, and result in a much  
43 greater impact on society than individual natural hazards, the linkages between natural  
44 disasters needs to be unravelled.

45 This study investigates cascading natural disasters and seeks to find patterns or links which  
46 show the connections and interactions between natural disasters. The study will look  
47 particularly at events recorded in Japan since Japan is one of the most natural hazard prone  
48 countries in the world and therefore many records of natural disasters and their consequences  
49 have been recorded there. These records serve as histories in which citizens went through  
50 hardships, as warnings for preparation and as lessons which should be learned. These records  
51 are considered very useful resources to create a holistic picture of how primary natural  
52 hazards can trigger secondary hazards.

53 It should be noted that the linkages and relationships in cascading natural hazards found in  
54 this study may not be directly applicable to other countries, or to regions with different  
55 geographical and meteorological features since the occurrence of natural disasters depends on  
56 the local conditions. For instance, earthquakes are rarely experienced in areas on stable  
57 tectonic settings while Japan experiences earthquakes frequently. On the other hand, wild  
58 fires are less of a problem in Japan but are more of a problem in Australia, USA and Russia.  
59 Again, floods in Japan tend to be shorter lasting, fast flowing flash floods, whereas elsewhere,  
60 such as in Thailand (Aon Corporation 2012), there may be deepwater floods which are longer  
61 lasting (Nagai et.al. 2010). Thus the earth shows a wide variety of geographical and  
62 meteorological features, and natural hazards can occur in a different manner and with  
63 different frequency depending on the area. However, studying the features found in Japan  
64 should contribute to an initial understanding of cascading natural disasters and help establish  
65 an effective system for intervention.

66 In the next section the relevant literature on natural disasters will be reviewed and this is  
67 followed by a section explaining how the data for this study was collected and analysed from  
68 Japanese sources. The analysis of the data leads to the identification of four modes of links  
69 or interactions which are presented and explained in the following section, while the final  
70 section presents some concluding remarks.

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78 Literature review

79 The literature survey found that many existing studies about cascade/domino disasters belong  
80 to the domain of the chemical processing industries (Abdolhamidzadeh 2011). Some  
81 academic studies have dealt with problems spreading through elements or units physically  
82 connected to each other such as infrastructure systems (Harper 2007) and logistic networks  
83 (Brimer 1995). Significant attention has also been paid to the propagation of phenomena  
84 through financial, political or health systems, or various types of communities. These studies  
85 have focussed on the vulnerabilities of the entities in question, transition features, and their  
86 consequences, and seek to analyse the phenomena, so that lessons may be learnt to prevent  
87 recurrence and to establish intervention strategies.

88 In those studies, a natural hazard is seen as a triggering event which is the initiator or the  
89 initial condition which sets off a chain of damaging events in industrial situations, social  
90 systems, and so on, but is not considered as a natural event which leads or causes one or more  
91 other damaging natural events so leading to cascading natural disasters. These studies clearly  
92 present the significance of disasters caused by natural hazards and note the ensuing  
93 continuous efforts to mitigate the losses. The information for assessing estimates of  
94 likelihood, severity of hazards, vulnerability data are indispensable for setting up a proper  
95 mitigation system (Hamiltom 2000). But they varies with the types of hazard because specific  
96 vulnerability depends on the hazard providing an impact. Since for a single natural hazard the  
97 vulnerabilities, the sequence of events following, and the mitigation measures can differ from  
98 one natural hazard to another, the independent method treatment of a single hazard cannot  
99 always cope with multiple natural hazards. The overall risks are not just the sum of single  
100 individual risk analyses. The characteristic features, analysis methods and the influence of  
101 each hazard are different, and multiple hazards can compound impacts from single hazards by  
102 mutual interaction and interrelation.

103 In 2010, the European Commission adopted the Commission Communication on “an all-  
104 hazards approach to threat and risk assessment” based on a multi-hazard and multi-risk  
105 approach for disaster management (European Commission 2010). They noted multi-hazard or  
106 multi-risk situations should to be considered in the risk identification which should consider  
107 all possible hazards, their probabilities of occurrence and their possible impacts. Multi-risk  
108 assessments in this context means determining the total risk from several hazards. There are  
109 two types: First, those occurring at the same time or following shortly after each other,  
110 because they are dependent on one another or because they are caused by the same triggering  
111 event or hazard. Second, those threatening the same elements without chronological  
112 coincidence. Those in the first type are referred to as domino effects or cascading events. An  
113 expert group established by the OECD analysed the current problem of multi-hazard risk  
114 modelling (OECD 2012). Their survey resulted in some findings, such as *strong demand for*  
115 *data mining and standards, lack of matured multi-risk/multi-hazard assessment models, and*  
116 *rarity of consideration of potential cascading/domino effects in natural-man-made systems.*

117 As an effort to establish a methodology which combines multiple risks, integrated multi-risk  
118 maps were developed to assist spatial planning procedures in areas prone to natural disasters

119 in AROMNIA (Applied multi Risk Mapping of Natural Hazard for Impact Assessment)  
120 project (AROMNIA project, 2007). The project produced a risk index for a particular hazard  
121 by combining the consequences on different receptors. The NaRAs (Natural Risk  
122 Assessment) project aimed at producing a quantitative estimation of individual and coupled  
123 events based on the concept of a Bayesian event Tree (Marzocchi 2009). Scenarios composed  
124 of a few hazardous events were quantified. They concluded that the probability of a single  
125 risk was underestimated while the probability incorporating other types of risk showed  
126 greater magnitude (Marzocchi 2012). Schmidt et.al. prepared a prototype software for  
127 assessing the risk due to a generic natural hazard. The system is capable of calculating risks  
128 for different types of hazard but, the system does not permit modelling the interactions  
129 among multiple hazards (Schmidt 2011). Mignan et.al. describe a probabilistic approach or  
130 Monte Carlo Method aimed at providing numerical data to compare risks from different  
131 origins (Mignan 2014). Despite these efforts, Kreibich et.al., reported German cases and  
132 found that the present event and risk analyses, as well as risk management, focussed on only  
133 one single hazard, and did not consider conjoint or cascading events on an interrelated multi-  
134 hazard basis approach (Kreibich 2014). Kappes et. al. emphasized the necessity of  
135 considering a whole range of natural hazards and their management from the viewpoint that  
136 all potential threats should be taken into account for risk management (Kappes 2012). The  
137 possible interaction among cascading effects has yet to be fully understood, represented, and  
138 fully integrated in risk assessment.

139 This literature survey has shown that the interacting features of different hazards and their  
140 cascading effect has been less studied than expected in the light of its importance. Some  
141 examples of cascading natural effect are known to researchers and those communities which  
142 have been historically affected. For example, studies on earthquakes leading to landslides are  
143 found in various journals (Miles 2011; Rodri'guez 1999; Pearce 1986; Meunier 2008; Ju-  
144 Jiang 2000). Xu et al., point out that there is a chain of natural hazards in most catastrophic  
145 disasters and introduce Chinese literature on geological, meteorological, and geological-  
146 meteorological hazard chains (Xu 2014). However, the knowledge is not systematic and is  
147 dispersed. Research methods have been established for each hazard, but there is no overall  
148 perspective for the whole range of natural hazards due to the separation of disciplines.

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#### 150 Data Collection Methodology

151 In this research, the interactions between individual natural events leading to disasters are  
152 investigated and the cascading phenomena which emerge are categorised. One of the  
153 challenges in starting this research was to find good sources of information. In most available  
154 research databases, natural disasters are recorded as single independent events, making it  
155 difficult to investigate any relationships connecting these natural hazards. Moreover, as many  
156 researchers have pointed out, the lack of internationally standardised reporting and record  
157 keeping is a major hindrance (Krausmann 2008; Wirtz 2014). So, it is not possible to find a  
158 reliable scientific record of linked natural events leading to disasters

159 To overcome this problem this study focused exclusively on Japanese cases. Since the study  
160 focussed on the interactions between natural events there is no need to investigate each  
161 natural disaster in detail. Instead the focus is on the linkages between different disasters  
162 using a qualitative approach. Since there are few previous studies of cascading, it is worth  
163 trying to compile data on observed cascading natural hazards.

164 For this study, the Kikuzo II Visual for Libraries was chosen as the main source of  
165 information. It is an online commercial database provided by the Japanese newspaper Asahi  
166 Shimbun. Asahi Shimbun was first published on 25 January 1879 in Osaka and now has a  
167 domestic news gathering network organised by 4 head offices and 2 offices and a global news  
168 gathering network consisting of 34 bureaus (The Asahi Shimbun 2012). In October 2014, its  
169 circulation was about 7 million for the morning edition and 2.3 million for the evening  
170 edition (The Shimbun Joho 2014). The database contains full text coverage from 1985 to  
171 present, as well as articles from AERA and Shukan Asahi which are weekly magazines  
172 published by a subsidiary of Asahi Shimbun. The image data or pdf files of articles for  
173 earlier years, going back to 1876, are also contained in the database, but this study did not use  
174 these since text search is not applicable to this data. Clearly this approach excludes the  
175 consideration of events that were not reported in the newspaper. It also limits the depth of  
176 understanding of these events, since the newspaper reports can be influenced by speculation  
177 about the cause of disasters which had not been fully determined at the time the article was  
178 published. To overcome these shortcomings, the study also drew on formal documents and  
179 online resources and utilised research reports from research institutes and articles in  
180 domestic/international academic journals to establish that it is likely that a causal relationship  
181 exists between the apparently cascading events under consideration. In addition some related  
182 in-depth articles were studied to gain a better understanding of the occurrences and the causes  
183 of the events.

184 Data was retrieved from the Kikuzo II Visual for Libraries using the search system provided  
185 in the database. The key words used for searching were combinations of a few words  
186 meaning “countermeasure” or “damage”, and the name for each natural hazard event in the  
187 Japanese language. The number of hits for each such natural event is summarised in Table 1.  
188 The articles found included various types of information such as death toll, interviews with  
189 victims, physical and economic damage, the response of the affected local community, etc.  
190 In total the search generated 94,228 articles which were skimmed for relevance. Some  
191 natural disasters were described multiple times and in more than one location from various  
192 aspects because they had wide spread and long-term effects. Some records found in the  
193 search had nothing to do with actual natural hazards. In such records, a natural hazard  
194 appears as a metaphor for some other aspect of life. Since this study was focussing on  
195 cascading natural disasters, all the other records were read and suitable ones were selected to  
196 consider the linkages and interactions between the natural events.

197 Some keywords in table 1 consist of more than one search term. For example, two Japanese  
198 search words Yamakaji (fire in mountain) and Shinrin Kasai (fire in forest) are included in  
199 wildfire. Needless to say, there are some overlaps in each set of search results because some  
200 of the articles relate to cascading natural disasters. For instance, some articles describing

201 earthquakes also mentioned tsunami. Therefore the sum of the number of all the search  
 202 results does not match the net number of individual articles reviewed. When a search was  
 203 conducted, options were utilised to exclude other keywords for time reduction. Despite this  
 204 effort, there still remained a large number of articles for each natural event. All the articles  
 205 were carefully read and inappropriate articles removed. For the remaining articles, when the  
 206 article writer clearly mentioned or implied a linkage, the article was read to look for linkages  
 207 between the hazards described in the article, and then reread to avoid missing valuable  
 208 information. As noted before, the linkage mentioned in each article can involve speculation.  
 209 However, most of those writing these articles have previous experience to draw on and often  
 210 interview local people about their historical knowledge and experience. Also, the articles had  
 211 to include two or more natural phenomena occurring in a reasonably short time frame,  
 212 allowing the researchers to suspect there was a connection between the phenomena rather  
 213 than these being just two or more individual unrelated phenomena. We consider that these  
 214 criteria combined together were be enough for the first stage process to filter out inadequate  
 215 information prior to checking with academic papers, research reports, or other resources  
 216 providing scientific researches.

217 Table 1 The keywords and the number of articles

Geological			
Earthquake	46290	Volcanic eruption	5284
Land Slide/Collapse	21045		
Meteorological			
Hurricane	1712	Heavy rain	12081
Heat wave	6678	Heavy snow	5866
Lightning	1156	Strong wind	5792
Hydrological			
Tsunami	21219	Flood	7723
High tide	2089		
Other			
Wild fire	804		

218

219 The extent to which a complicated or compound natural event can be divided into separate  
 220 elements needs to be considered. In this study, any event which can cause some physical  
 221 destruction is regarded as a relevant fundamental event. For instance, some natural  
 222 phenomena involve more than one element; a typhoon can involve extreme winds, heavy  
 223 precipitation, and storm surges. A typhoon is a combined phenomenon of elements which are  
 224 caused by low pressure. This study deals with the fundamental events rather than the  
 225 compound event since each fundamental event itself can cause disastrous consequences. As  
 226 another example, volcanic activities involve many processes and can be considered as  
 227 comprehensive phenomenon. Volcanic activity is directly related to plate tectonics and  
 228 produces magma, which is molten rock. It penetrates through crust because of the gas  
 229 pressure dissolved in it. Volcanic activity is associated with one or more of the following  
 230 phenomena: lava effusion, ash and ejection of pyroclastic rocks, poisonous gas release,  
 231 shockwave and seismic tremolo (Johnson 2011; Miyabuchi 2013). Debris flows, mudflows

232 and other mass movements are categorised as secondary effects in some text books (Keller  
233 and DeVecchio 2012). Although each process has links to other processes, this study does not  
234 seek them in-depth. The interest of this study lies in the connections between different types  
235 of events each of which itself can be a cause of physical destruction.

236 Since this research was focussing on cascading natural disasters causing physical destruction,  
237 events leading only to the disruption of social systems and biological issues were omitted.  
238 Chapman wrote that natural hazards might be defined as an interaction between a system of  
239 human resource management and an extreme or rare natural phenomenon which may be  
240 geophysical, atmospheric or biological in origin (Chapman 1994). Since such a natural hazard  
241 can have massive impact, consequential events can arise in various areas and, in particular  
242 can cause disruption to various systems developed by humans. For instance, massive physical  
243 impacts caused by natural hazards can destroy systems for maintaining public health and  
244 hygiene. The event itself can also push human beings towards a serious crisis. When we add  
245 biological threats into our scope, natural hazards affecting critical infrastructure would be  
246 listed because infrastructure plays a major role in maintaining public hygiene. However,  
247 such hazards are not within the scope of this study. On the other hand, natural phenomena  
248 interacting with each other and showing massive power to cause physical destruction can be  
249 described as a natural disaster in this research even though no harm is caused to the human  
250 habitat; maybe due to the large distance of the events from any human habitat.

251 Many natural events can trigger technological accidents: volcanic ash can cause clogging in  
252 filters or pipes in critical infrastructure (Wilson et al. 2010). Snow makes railway lines  
253 slippery causing derailment (Zhou 2013). However, these events do not cause any further  
254 natural disasters so are excluded from the current definition of cascading natural disasters.  
255 Similarly some cascading natural disasters are phenomena involving highly destructive and  
256 wide ranging events but we limit our scope to only the physical aspects of these natural  
257 disasters.

258

## 259 Data Analysis Methodology

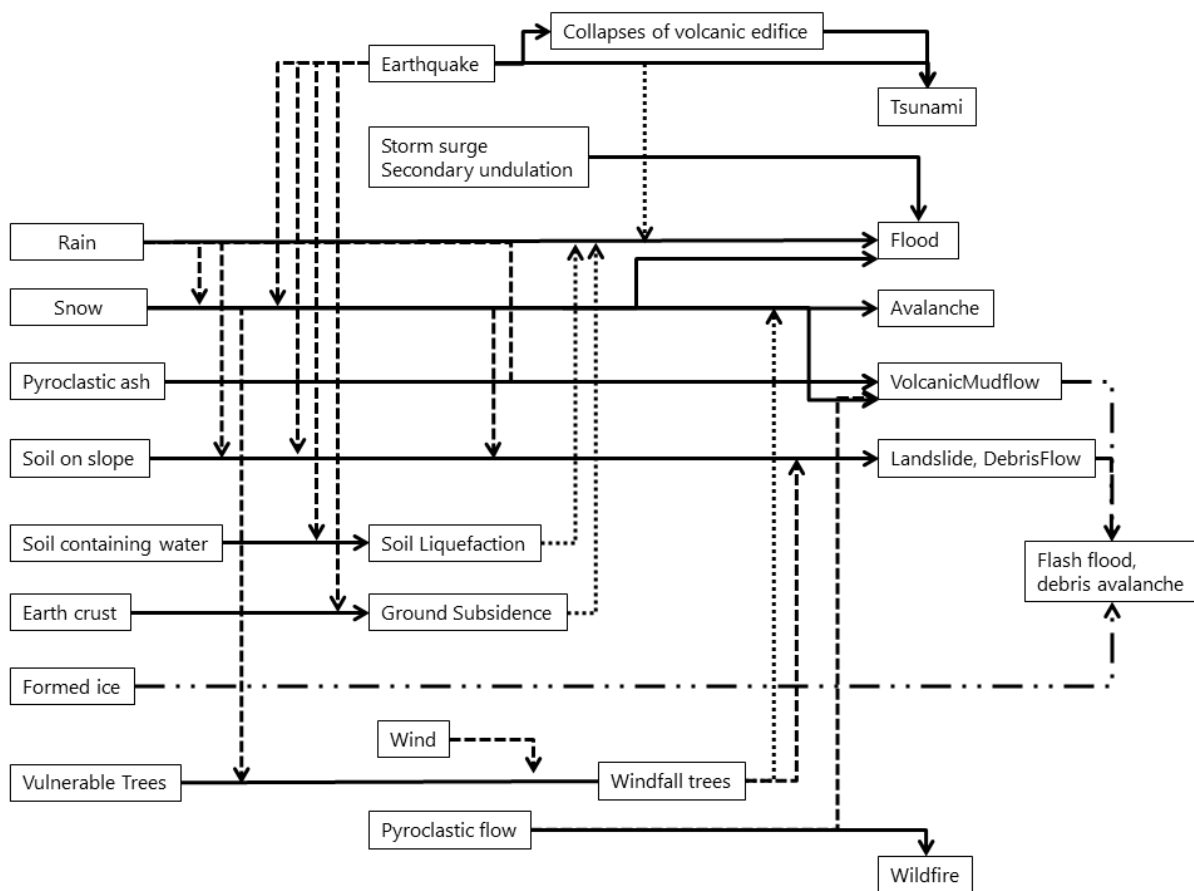
260 After selecting the appropriate and relevant articles containing descriptions of cascading  
261 natural hazards, we used the Grounded Theory approach to conduct our analysis (Corbin and  
262 Strauss 1990). A growth in the use of the Grounded Theory approach has been seen in recent  
263 years as a qualitative research method. The Grounded Theory approach uses a set of data  
264 collection and analysis procedures to develop inductively derived theories, concepts, patterns,  
265 and categorises from data (Eaves 2001). In the Grounded theory approach, data collection  
266 and analysis are interrelated and the analysis begins as soon as the data is collected. This is a  
267 central feature of the approach and is often referred to as the constant comparative method.  
268 The Grounded theory approach allows a researcher to identify patterns, and relationships  
269 between these patterns. The Grounded Theory approach in this study was applied to identify  
270 relationships between the natural events and develop higher-abstraction level type categories  
271 of cascading natural disasters.



272 Grounded Theory techniques were used to identify categories as they emerged from the data  
 273 (Reddy et al. 2009). Concepts that are common to several phenomena may be grouped to  
 274 form categories. In the analysis process, we read the article carefully, extracted data of  
 275 natural events comprising cascading phenomena. We mapped individual events and the  
 276 linkages between events. Each causal relationship in the linkage was verified to test if it was  
 277 plausible by examining other scientific resource such as academic journals and technical  
 278 reports open to public. Then, as concepts start to appear in our mind that captures properties  
 279 of the data, we consider the nature of each event and linkages carefully. This process aims at  
 280 conceptualising and articulating the set of linkages. For example, snow appears to work as  
 281 storage of potential energy when it starts an avalanche. This gave the concept “depositing” at  
 282 first, since accumulated snow increases the risk of the following phenomenon. However,  
 283 during the process of conceptualisation, it became apparent that this relationship could be  
 284 included in “undermining” which is a higher-order conceptualization. The study continued  
 285 until the conceptualization became “saturated”, meaning no new data or linkages appear and  
 286 all the linkage could be included in the conceptualization. The final core categories (called  
 287 modes in this study) that emerged from the data are presented here.

288 Results and Discussion

289 In this section the main features of cascading natural disasters are analysed and the  
 290 relationships that emerged are presented in a series of figures (Fig. 1).



291

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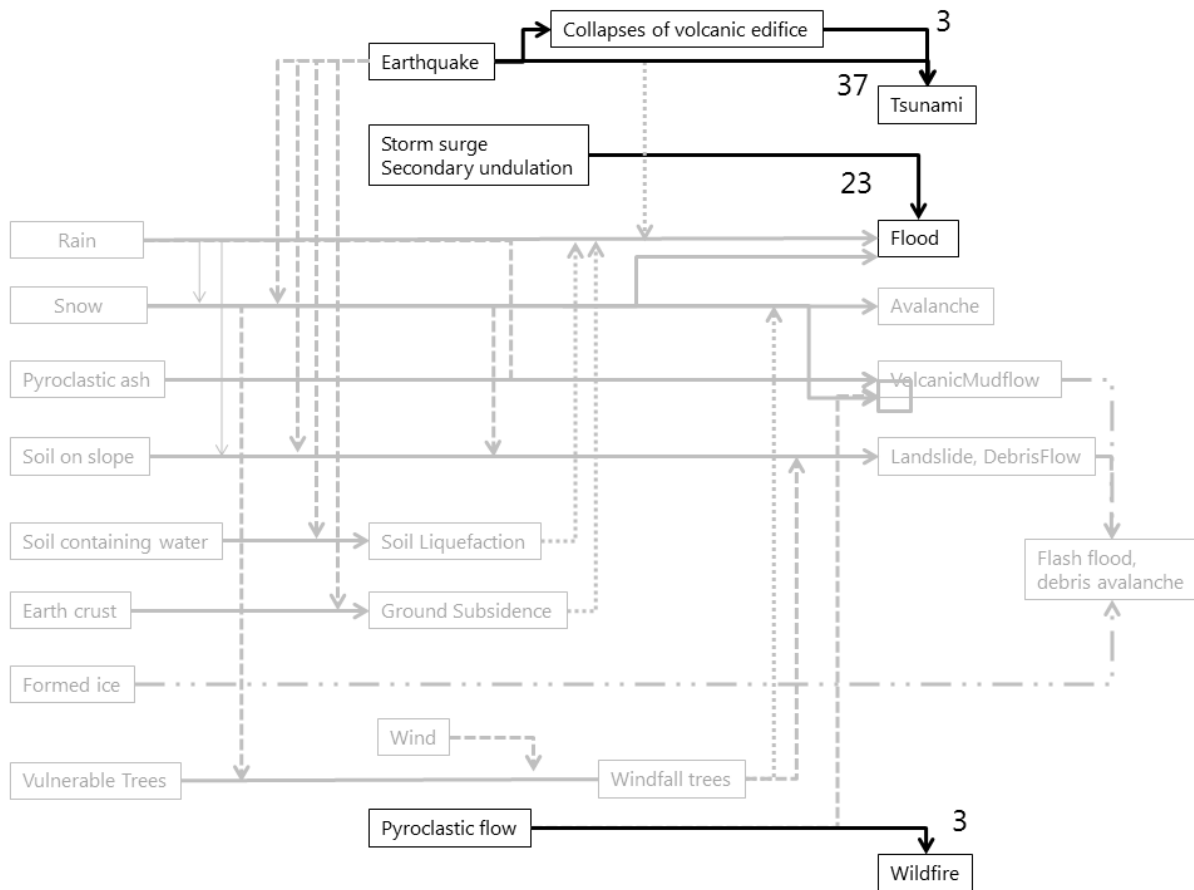
Fig. 1 Relationships of cascading natural hazards

293 The relationships emerged from considering cascading natural disaster appeared in articles up  
294 to October 2014. As many sources as possible were considered so that few, if any, relevant  
295 incidents are missing. The figures may not include all the cascading effects that have  
296 occurred in Japan, but we believe all the significant ones are included.

297 Some common natural disasters are not included in the figures because they were not found  
298 in the database as either a trigger or a result of any cascading natural disaster, although by  
299 themselves each can be harmful and destructive. For instance, the shockwave produced in a  
300 volcanic eruption can break nearby windows (Kato and Yamasato 2013), but the searches  
301 conducted found no data in the database to indicate that it was triggered by or occurred with  
302 another natural hazard. As another example, lightning is known to cause fires or secondary  
303 effects such as bound charge, electromagnetic pulse, electrostatic pulse, and earth currents  
304 (Changa and Lin 2006). But it does not usually cause a widespread wildfire or any other  
305 natural disaster.

306 The interaction between natural disasters can be divided into four patterns, or modes. In the  
307 following section, the numbers in each figure indicate the count of cases appearing in articles.  
308 Some cases are latest news coverage at that time and others are historical disasters discussed  
309 so that lessons can be learned.

310 “*Striking*” *Mode*; in this mode a primary disaster has significant further physical impact by  
311 imparting sufficient energy to move a significant mass or to propagate the energy through  
312 media which can then cause significant destruction during the process. The moving mass and  
313 propagating energy are secondary natural hazards and sources of destruction in addition to  
314 the initial primary hazard (Fig. 2).



315

316

Fig. 2 Cascading natural disasters categorised as “Striking” mode

317 This mode includes the cases of cascading natural disasters caused by the power of geological  
 318 and meteorological phenomena. Enormous amounts of energy are released by the initial  
 319 event which is then transmitted through some mass, or transports some mass.

320 The major events constituting the primary disaster for this mode are: earthquakes, collapses  
 321 of volcanic edifice, storm surges and secondary undulations, and the secondary hazards are  
 322 tsunami, and flood.

323 When the seismic power imparts energy to sea water through movement of the sea floor, the  
 324 secondary event is a tsunami (Nirupama 2013). Even an earthquake with a relatively small  
 325 magnitude can generate large and widespread tsunamis (Kanamori 1972). Initially the  
 326 tsunami wave moves rapidly in the deep ocean, but it slows down as the depth decreases and  
 327 the height of water increases as it reaches the coast. The height of the tsunami at landfall  
 328 directly affects the scale of the resulting damage.

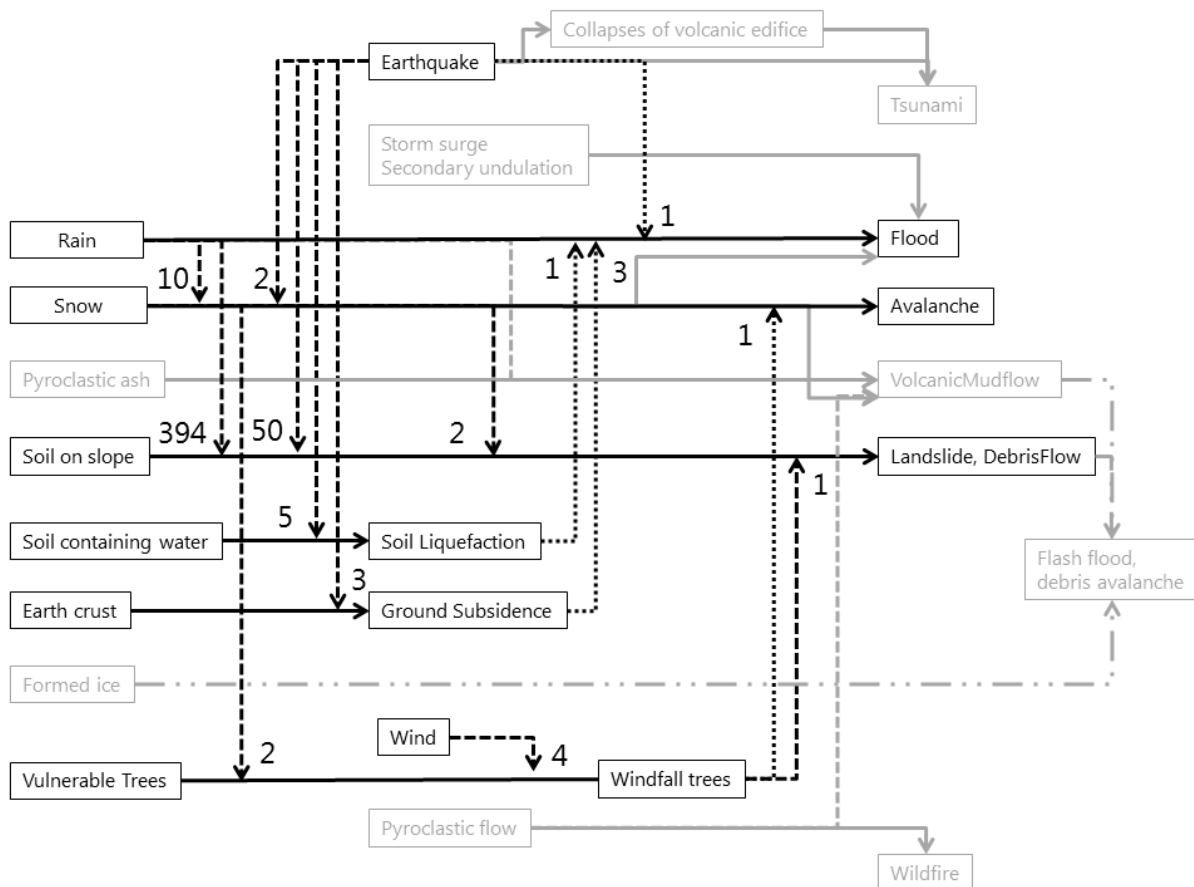
329 Other events which provide impact energy to elevate the sea surface are storm surges  
 330 (Nirupama 2013) and secondary undulation (Honda et al. 1908). Although tsunamis  
 331 propagate through deep oceans, storm surges do not exist in the deeper part of the oceans but  
 332 are found in shallower ocean areas and are caused by meteorological phenomena. Secondary  
 333 undulation (one kind of seiche), is also a meteorological phenomenon which has been found  
 334 in harbours (Hibiya and Kajiura 1982). Atmospheric-pressure variation cause these long

335 waves with extraordinary amplitudes which can reach 1.5m. Secondary undulation results  
336 when long waves come into a harbour with amplitudes close to the natural modes of  
337 oscillation of the harbour (Kakinuma and Fukita 2011). The subsequent strong currents  
338 caused by a secondary undulation in 2009 caused floods and severe damage to cargo-vessels,  
339 fish reserves, banks and so forth (Kakinuma et al. 2009). Another natural event inducing  
340 transportation of mass and causing a secondary effect is the collapse of a mountain hill side  
341 (collapses of volcanic edifice). In 1792, Mt. Mayuyama collapsed following earthquakes  
342 generated by volcanic activity and a large section of the mountain fell into Ariake Sea  
343 (Kawamata et al. 2005). This shock induced a tsunami and the death toll is said to be about  
344 15000. So, this incident involved three consecutive disasters: first, the earthquakes as a  
345 primary effect, second, the hill side collapse as a secondary effect, and third, the tsunami as a  
346 tertiary effect. These three events comprised the cascading natural disasters.

347 An example of energy propagating through media is thermal energy which can cause a  
348 wildfire. Wildfires are frequently reported as damage resulting from a volcanic eruption  
349 (Annen and Wagner 2003). Since high temperature magma dominates volcanic activity, its  
350 products, lava, pyroclastic surge and flow, supply heat to set fire to surrounding forests.  
351 Wildfires following volcanic eruption were observed at Mt. Asama, September 2004, Mt.  
352 Unzen, May 1993, June 1991, Mt. Mihara, November 1986 and in several earlier events.

353

354 “*Undermining*” Mode; in this mode of the linkage, a primary disaster lowers the resistance or  
355 weakens a system maintaining mass and the mass ends up collapsing (Fig. 3).



356

357

Fig. 3 Cascading natural disasters categorised as “Undermining” Mode.

358

There are various systems which support or retain mass. The natural events in this mode reduce the strength or the capacity of such a system, or make such a system fragile. The system can be artificial. An example is an earthquake which occurred in Nara in 1854 which caused a reservoir to collapse and the water released caused a flood. Similarly earthquakes can damage river banks or sea walls which are structures to support and channel streams of water.

364

The system affected by “undermining” can be natural. In the natural system, internal forces, such as friction, connect elements and can help retain mass stability on a steep surface. Such forces help maintain the stability of soil or snow because the force keeps each particle together. The mass of soil or snow will distort and may be displaced when an external strong force is applied. Similarly, when the natural hazard applies a greater force to the system than the retaining force, such a system will become unstable.

370

In the figure, deposited systems are placed on the left side. Broken lines indicate the natural hazards action to perturb the “deposited” system shown on the left side in figure. The natural hazards affect the internal forces within the deposited system. Dotted lines represent the natural hazards action in reducing the strength or capability of supporting or reinforcing system to maintain the mass. For example, accumulated snow can result in avalanche from rain (indicated with a broken line, Stimberis and Rubin 2011) or earthquake (Podolskiy et al. 2010) by breaking the connection between grains or chunk of snow, while strong winds

377 upturning trees increases the risk of an avalanche because the trees function to stabilise the  
378 snow cover (Bebi et al. 2009). In the same vein, a landslide can be caused by rain (Inverson  
379 2000, Montrasio and Valentino 2008) or earthquake. Both of them disturb the forces  
380 maintaining soil reinforcement. The most common landslides following an earthquake are  
381 shallow disrupted landslides on steep slopes, but the Mid Niigata prefecture earthquake in  
382 2004 triggered more than one hundred deep landslides (Chigira and Yagi 2006). Damage to  
383 tree roots by strong winds contributed to reducing soil reinforcement and shear strength as  
384 well (Abe and Ziemer 1991, Afforestation and Forestry-Road Association of Hyogo 2008.).  
385 In this case, the link from “windfall trees” is represented as a broken line. In another  
386 example, there were landslides linked to the problem of trees destroyed by several typhoons  
387 in Hyogo, Japan 2004. Several typhoons struck the area in that year and trees were uprooted  
388 by the typhoon on 19 October which was not the strongest typhoon. Thus, the subsequent  
389 landslide was considered to occur because of significant damage to trees by the preceding  
390 typhoons in the year. It is argued that the ground support system had been reduced by the  
391 tree damage of the earlier typhoons. When trees are expected to act as protective system to  
392 mitigate avalanches, wind damage to trees is a severe problem.

393 Another example is ground subsidence. Ground subsidence per se is a problem when it  
394 lowers the ground unevenly. Facilities on the uneven ground can lean dangerously or fall.  
395 The subsistence caused by an earthquake or pumping water out from the ground can also  
396 cause a secondary natural hazard. For example, the earthquake in Japan, 2011 led to ground  
397 subsidence in a wide area. Oga moved by about 5.3m horizontally and was lowered by about  
398 1.2m (Geospatial Information Authority of Japan). The phenomena made the affected area  
399 more vulnerable to flood or tsunami (Udo et al. 2012).

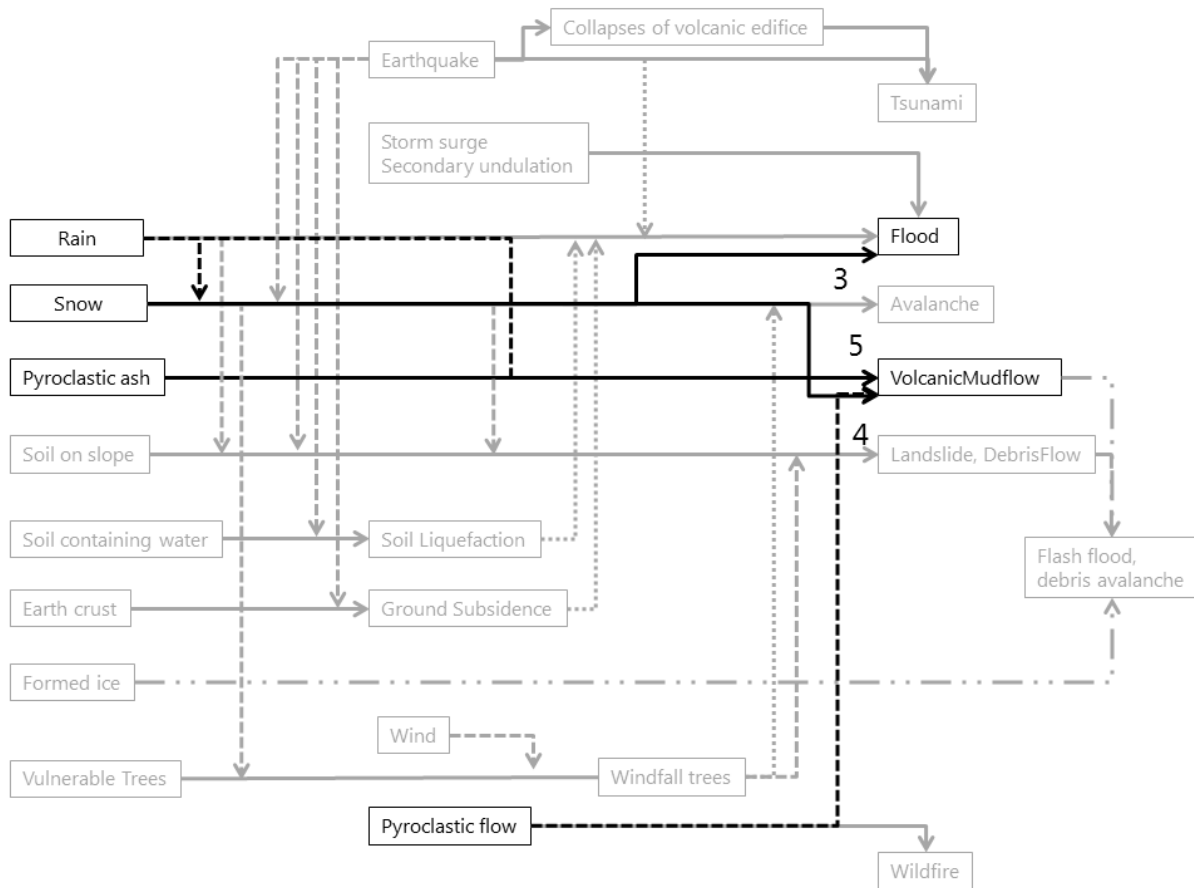
400 Another example of this type of undermining is soil liquefaction which can be found in some  
401 landfill sites after an earthquake. An earthquake shakes the soil, loosens the connections  
402 between the soil particles and causes water distributed in the soil to rise up to the surface. The  
403 ground in the area becomes a suspension and the soil particles eventually settle under water  
404 (Fiegel and Kutter 1994). This situation arose in the wake of the earthquake in 1964 Niigata  
405 and Alaska (Seed 2003). Solid liquefaction can be a severe problem particularly if there are  
406 buildings standing on the ground affected. The unstable ground can cause the buildings to  
407 lean and fall down.

408 In this first sense, soil liquefaction is a secondary natural hazard caused by an earthquake. It  
409 can also be seen as a primary natural hazard in the “*undermining*” mode. As mentioned above,  
410 water trapped in soil is released as soil liquefaction and inundated the affected area for 7  
411 hours. (Tohno and Shamoto 1986). By another mechanism, it can also reduce the strength of  
412 the foundations of critical infrastructures such as river banks or walls (Yoshitake 1992), so in  
413 the face of a natural disaster these facilities are no longer capable of preventing a flood, a  
414 secondary natural disaster.

415 This mode is characterized by some event reducing the capability of a system to support mass,  
416 and so the resulting system failure maybe preceded by a process which deposits and builds up  
417 mass.

418

419 “Compounding” Mode: in this mode of the linkage, a primary disaster reduces the strength of  
420 a system and adds to the amount of mass affected (Fig. 4).



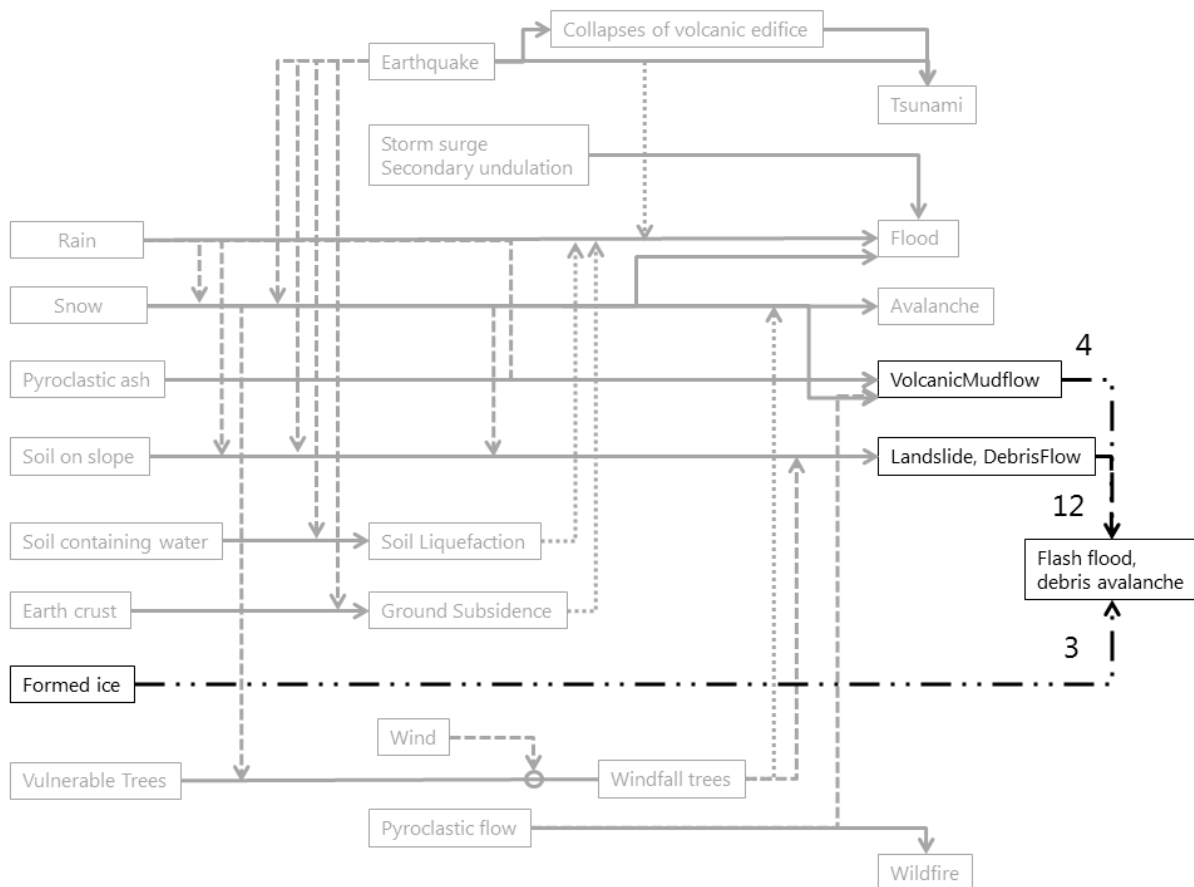
421

422 Fig. 4 Cascading natural disasters categorised as “Compounding”. Dotted lines indicate the  
423 primary natural hazards action to perturb the system and increase mass affected.

424 A primary event categorized as a *compounding* itself causes a disaster. Moreover, the impact  
425 of the primary event increases when it interacts with a deposited mass. For instance, the  
426 impact of the pyroclastic flow significantly increases when it contacts deposited snow and the  
427 resulting increased volume of fluid magnifies the problems as volcanic mudflow (Waitt et  
428 al.1983). Pyroclastic ash is also a product of volcanic activity and can be deposited on the  
429 affected area. If it then rains on the ash, the mixture of ash and rain leads to a mud flow  
430 (Young et al. 1998). Snow thaws as the temperature rises and heavy rain in that season can  
431 cause a rapid thaw leading to floods (Sui and Koehler 2001). The combination of snow and  
432 rain is also found in undermining mode, but this case results in a flood rather than an  
433 avalanche.

434

435 *Blocking*: in this mode of the linkage, an event blocks steady flows (Fig. 5).



436

437

Fig. 5 Cascading natural disasters categorised as “Blocking” Mode

438

In this mode, a mass collapses and blocks a river causing a hazardous situation which can result in flooding upstream or a sudden break of the barrier and a flash flood downstream.

439

Volcanic mudflow, landslide and debris flow can create a dam by falling into a river (Costa and Schuster 1988). A river can be clogged by partially melted ice which is known as an “ice jam” (Beltaos and Prowse 2001; Hirayama et al. 2002). In this mode the risk of the secondary event takes time to increase as sufficient mass has to accumulate either to flood upstream or cause problems downstream beyond the temporary blockade.

444

445

Edward Bryant discusses hazards in environmental studies in terms of two timeframes. One is *chronic* which refers to long term and slowly developing hazards such as desertification or soil degradation that may be caused by human activity or by global warming. The second time frame is called *episodic* or *periodic*. This second timeframe considers people as living within a hostile environment over which they have no control. These sorts of hazards are large magnitude events that last for a short period of time like an earthquake (Bryant 2006).

450

These ideas suggest that for cascading natural disasters it is important to incorporate the idea of a time frame for natural events, and, in particular, allow for time delays between the primary and secondary events. Especially in the case of the blocking mode, it is important to note that whilst the primary event can result in significant destruction itself it can, by blocking a flow, cause a secondary disaster to build up gradually over a longer period of time than is normally thought of in cascading natural disasters.

456



457

458 Concluding remarks

459 The linkages between natural disasters in cascading natural disasters have been presented and  
460 discussed in this paper. The results are based on reviews of nearly 100,000 newspaper articles  
461 reporting damage and loss caused by natural disasters in Japan. Attempts were made to  
462 explain the links between these natural disasters and this led to the identification and  
463 definition of several modes to categorise different types of linkage connecting the natural  
464 disasters which form part of a cascading natural disaster.

465 Four modes of interaction emerged from the investigation. The mode termed “Striking”  
466 occurs when a primary natural event imparts sufficient energy to transport a large mass of  
467 water or solid material or to transmit energy through media. These phenomena are regarded  
468 as secondary natural disasters and cause disruption additional to the primary disaster. The  
469 mode termed “Undermining” represents cases where a primary natural event damages the  
470 sustaining system of some mass, which may then disintegrate causing a secondary disaster.  
471 The third mode is termed “Compounding” and occurs when a primary event interacts with a  
472 sustaining system of mass, damaging the system and merging itself into the mass to create a  
473 greater secondary disaster. The mode termed “Blocking” represents a pattern in which a mass  
474 in motion blocks an existing flow of water temporarily until the increased mass bursts or  
475 overflows the obstruction leading to a flash flow of water and debris down stream.

476 This collation and categorisation of cascading natural disasters has led to a greater  
477 understanding of their nature. The results are important for an understanding of the impact of  
478 these types of cascading natural disaster and so are valuable as a basis for the identification,  
479 description, and development of countermeasures. The results will be beneficial for personnel  
480 considering overall risk management by providing them with a better perspective on  
481 cascading natural disasters.

482

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