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1 **INTRODUCTION**

2 Ankle sprain is the most common injury in sports (Fong et al., 2007), but the
3 mechanism of injury is not clear. Injury mechanisms can be studied through many
4 different approaches (Krosshaug et al., 2005). Over the years, ankle kinematics has
5 been studied during simulated sub-injury or close-to-injury situations, i.e., sudden
6 simulated ankle spraining motion on inversion platforms (Myers et al., 2003). Since
7 these tests did not induce real injury, they could only somewhat suggest the ankle
8 kinematics during an ankle sprain injury. The most direct way is to investigate real
9 injuries using biomechanical measuring techniques. However, it is obviously
10 un-ethical to do experiments where test subjects are purposefully injured.
11 Nevertheless, in rare cases accidents may occur during biomechanical testing (Barone
12 et al, 1999; Zernicke et al, 1977). It has been shown that video sequences from sports
13 competitions can provide limited but valuable information for qualitative ankle injury
14 analysis (Andersen et al., 2004). However, quantitative biomechanics analysis of sport
15 injury is not easy as it requires calibrated multi-view video sequences. This study
16 presented an accidental supination ankle sprain injury occurred in a laboratory under a
17 high-speed video and plantar pressure capturing setting.

18

19 **CASE REPORT**

20 **The injury case**

21 One male athlete (age = 23 years, height = 1.75m, body mass = 62.6kg) wore a pair of
22 high-top basketball shoe and performed a series of cutting motion trials in a laboratory.
23 The university ethics committee approved the study. The subject was instructed to run
24 forward for six meters with maximum speed, before making a rapid left turn within
25 the capture volume. In the fourth trial, the athlete accidentally sprained his right ankle.
26 The injury was immediately diagnosed as a grade one mild anterior talofibular
27 ligamentous (ATFL) sprain by a well-trained orthopaedic specialist with the Jackson
28 grading system (1974), as the athlete had pain and tenderness during palpation on
29 ATFL with an applied supination motion, and had minimal or no functional loss, limp,
30 swelling and point tenderness at the injured ankle. Calcaneofibular ligament and
31 syndesmotic involvement were ruled out as there was no pain on palpation during the
32 reproduction of an ankle supination by the examiner. Ankle instability was not
33 observed during anterior drawer and talar tilt tests. Prior to the current injury, the
34 athlete had normal foot structure with no pain, symptoms and limitation on foot and
35 ankle function, and did not have a history of ankle sprain or other ankle injury in the
36 previous three years. After the injury, he suffered from pain and tenderness for two
37 weeks, and returned to full activity in three weeks, without non-weight bearing for
38 any period.

39

40 **Marker-based motion analysis of the injury mechanism**

41 The injury motion was videotaped by three synchronized and calibrated high-speed
42 cameras, operating on 100 Hz (JVC 9600, Japan). The shutter speed was 1/250s and
43 the effective capture volume was about 1m³. The plantar pressure and the excursion
44 path of the center of pressure were also simultaneously recorded at 100 Hz by a
45 pressure insole system (Novel Pedar, Germany). The moment of foot strike on the
46 ground was identified by the plantar pressure data. Part of the video sequence from
47 the three cameras is shown in Figure 1 (in every 0.04s). The positions of the tibia
48 tuberositas, the lateral malleolus, the proximal posterior shank, the distal posterior
49 shank, the proximal heel, the distal heel and the toe tip were manually digitized with a
50 motion analysis system (Ariel Performance Analysis System, USA). The digitizing
51 process was done ten times by the same researcher to obtain the average values of the
52 coordinates of the anatomical landmarks.

53

54 A static standing calibration trial in the anatomical position served as the offset
55 position to determine the segment embedded axes of the shank and foot. For this
56 recording, we also digitized the lateral femoral condyle. Axis transformations were
57 performed to make the vertical axes of the shank (X3) passes through the knee and
58 ankle joint centers. The joint center of the knee was determined by the method of
59 Davis and co-workers (1991), and the ankle joint center location was defined 1 cm
60 distal to the lateral malleolus, as proposed by Eng and Winter (1995). The
61 antero-posterior axis (X1) of the local axis system was defined perpendicular to the
62 X3 axis with no medio-lateral component. The third axis was the cross product of the
63 vertical and antero-posterior axis ($X2 = X3 \times X1$). The axes of the foot were aligned
64 with the global coordinate system. The method of Soderkvist and Wedin (1993) was
65 utilized to obtain the segment embedded reference frame for the shank, using the tibia
66 tuberositas, the lateral malleolus, the proximal posterior shank and the distal posterior
67 shank markers. Smoothing and interpolation were performed by the generalized cross
68 validation package of Woltring (1986). The cubic mode with an 8 Hz cut-off
69 frequency was chosen for the marker trajectories. The joint angles presented here
70 were calculated using the method described by the ISB recommendation committee
71 (Wu et al., 2002). Ankle angles and angular velocities are presented in the three
72 orthogonal anatomical planes (Inversion/eversion about the X1 axis;
73 plantarflexion/dorsiflexion about the X2 axis; internal/external rotation about the X3
74 axis). The calculations were done using customized Matlab scripts.

75

76 **Validation of the ankle kinematics of the injury trial**

77 To validate the measured kinematics, the injury video sequences were also analyzed
78 using the model-based image-matching (MBIM) technique described by Krosshaug
79 and Bahr (2005). Models of the surroundings were manually matched to the
80 calibration cube frame (50x50x50cm) and lines on the floor in every camera view
81 from calibration trial video, by adjusting the camera calibration parameters (position,
82 orientation and focal length). A skeleton model (Zygot Media Group Inc., Provo,
83 Utah, USA) was customized to match the anthropometry of the injured subject. The
84 skeleton matching started with the thigh segment. We thereafter worked distally by
85 matching the shank, feet and toe segments. In contrast to previous work where axial
86 rotation was evenly distributed between the knee and ankle, we chose to distribute the
87 axial rotation solely to the ankle as it was considered more likely due to the injury
88 loads. The joint angle time histories were read into Matlab with a customized script
89 for data processing. To allow direct comparisons between the marker-based
90 measurements and the MBIM technique, the axis systems of the skeleton model were
91 re-aligned as outlined in Krosshaug and Bahr (2005). The ankle kinematics reported
92 by both methods is shown in Figure 2. The patterns were generally in good agreement,
93 as shown by similar shapes and ranges of motion. Therefore, validation was
94 considered achieved.

95

96 **Kinematics comparison of the injury trial and the normal trials**

97 The same procedure of the marker-based motion analysis was performed for the three
98 successful normal trials before the injury trial for comparison. Figure 3 shows the
99 ankle angles and the angular velocities for the successful normal trials and the injury
100 trial. At foot strike, for the injury trial, the ankle was 7 degrees more internally rotated
101 (less externally rotated from 21 to 14 degrees) and 6 degrees more inverted (from 9 to
102 15 degrees) when compared to the normal trials (Table 1). After landing, there was a
103 two-phase change of ankle kinematics, as primarily determined by the profile changes
104 of inversion and inversion velocity. Firstly, from 0.06s, the ankle entered a pre-injury
105 phase (Phase I) as the kinematics profile started to deviate from that of normal trials,
106 as shown by a larger inversion, accompanied by greater plantarflexion velocity and
107 internal rotational velocity. The change of inversion in this period was still gentle, as
108 the inversion velocity did not differ much from that of normal trials. Therefore this
109 period is termed “pre-injury phase” as we believed that the injury had not occurred yet,
110 however, a significant risk may have been developed. At 0.11s, the deviation halted
111 and the ankle was inverted for 32 degrees, externally rotated for 5 degrees and
112 dorsiflexed for 14 degrees. Secondly, from 0.11s onwards, the ankle entered the injury
113 phase (Phase II), as there was another explosive inversion and internal rotation shown
114 by the increased velocities. The ankle further inverted for 16 degrees and internally

115 rotated for 15 degrees. At 0.20s, the ankle reached its greatest angular displacement
116 from the offset anatomical position. The orientation was at an absolute measure of 48
117 degrees inversion, 10 degrees internal rotation, and 18 degree dorsiflexion.

118

119 **Plantar pressure analysis of the injury trial and the normal trials**

120 Figure 4 shows the plantar pressure distribution of one selected normal trial and the
121 injury trial. The hallux was found to contribute to greater contact with the ground
122 during most of the stance, especially in normal trials. For the injury trial, higher
123 pressure at both heel and forefoot region was found at 0.02s after the foot strike,
124 indicating a firm and forceful foot strike. At 0.06s onwards, the pressure at heel
125 reduced quickly and shifted to the forefoot region. Such pattern suggested a lift of the
126 rearfoot and a quick shift of center of pressure to the forefoot after foot strike, from
127 0.02 to 0.08s, as also shown by a quick move of the center of pressure from heel to
128 mid-foot region in Figure 5. From 0.08s to 0.20s, a chaotic pattern of the center of
129 pressure excursion at the third and fourth metatarsal region was found, indicating an
130 unstable foot support during this period. After 0.24s, the center of pressure shifted
131 forward to the proximal third metatarsal, and further to the first metatarsal region
132 finally. In normal trials, the excursion path of the center of pressure moved
133 progressively from heel to metatarsal region in a rather stable manner.

134

135 **DISCUSSION**

136 For the successful normal trials, the ankle was externally rotated and slightly inverted
137 at foot strike. Such orientation enhanced a flat foot landing with a maximum contact
138 surface between the foot and the ground. For the injury case, the ankle was more
139 internally rotated (or less externally rotated) at foot strike – this was suggested to be a
140 vulnerable orientation for sustaining ankle sprain injury (Andersen et al., 2004).
141 However, in contrast to the hypotheses in previous studies, dorsiflexion instead of
142 plantarflexion was found. In fact, when we retrieved Figure 3-D from Andersen's
143 study (2004), we found that the ankle may be in a dorsiflexed orientation too.
144 Therefore the previous belief that the ankle is plantarflexion during a sprain injury
145 may not be essential. In this case report, right after landing, the dorsiflexed ankle
146 started plantarflexing in 0.06s, shifted the center of pressure to forefoot and lifted the
147 rearfoot. While the forefoot was in touch with the ground and supported the body, the
148 rearfoot drifted to the lateral side – this was a pivoting internal rotational motion.
149 Such motion swung the ankle joint center to the lateral aspect and deviated it from the
150 application point of the ground reaction force, as indicated by the center or pressure
151 position. A laterally shifted center of pressure was suggested to be a risk factor to
152 sustain ankle sprain injury (Willems et al, 2005), and thus may have predisposed the

153 ankle at a high risk to sustain a sprain. It was also speculated that the pivoting internal
154 rotational motion resulted in a longer moment arm along the ankle joint. As the
155 moment, or torque, is the product of the ground reaction force and the moment arm, it
156 should have increased greatly as a result (Wright et al., 2000). Therefore, the lift and
157 the lateral swing of the rearfoot may contribute to a sudden explosive torque and the
158 subsequent abrupt kinematics changes at the ankle joint.

159

160 The changes of ankle kinematics were with a two-phase pattern. In the pre-injury
161 phase, the ankle orientation was within the normal ankle motion range (Hertel, 2002).
162 Therefore, it was postulated that the ATFL sprain injury had not been induced yet in
163 this phase. However, after this phase, at 0.11s, the ankle entered an at-risk
164 orientation – an internally rotated and inverted position (Andersen et al., 2004), which
165 may lead to the second injury phase that sprained the ATFL. At the lateral aspect of
166 ankle, the peroneal muscles play a role to pronate the foot, which oppose the
167 supination or inversion motion. Previous myoelectric investigation suggested that the
168 reaction time of peroneal muscles in healthy male subjects with stable ankles was
169 55-80ms (Konradsen and Ravn, 1991), and an inactive peroneus may be the reason
170 why the sprain occurred. Therefore, in the current case report, we believed that the
171 peroneal muscles were not yet activated before the start of the pre-injury phase, that is,
172 at 0.06s, to protect the ankle joint from going into the second injury phase at 0.11s.
173 During this period, sudden inversion and internal rotation were observed, which
174 reflected how the explosive ankle supination torque introduced the grade one ATFL
175 sprain injury.

176

177 This study provides information for understanding the ankle sprain mechanism
178 quantitatively. Previous cadaveric and simulation studies may have involved too much
179 plantarflexion and thus may not reflect the real ankle joint biomechanics during real
180 injury. Future studies should be planned to incorporate post-injury video analysis with
181 the model-based image-matching (MBIM) technique (Krosshaug and Bahr, 2005) to
182 better understand the ankle kinematics during real injury scenarios.

183

184 **SUMMARY**

185 This study presented the biomechanics of an accidental supination ankle sprain injury.
186 At injury, the ankle reached an inversion of 48 degrees, accompanied by an internal
187 rotation of 10 degrees. However, in contrast to the hypotheses in previous studies,
188 dorsiflexion instead of plantarflexion was found at injury. The findings of this study
189 add knowledge to the current understanding of ankle sprain mechanism and raise a
190 debate on the ankle joint orientation during an inversion sprain injury. This reveals the

191 need to conduct systematic post-injury video analysis on real injury scenarios. The
192 findings may also provide valuable information for designing prophylactic device for
193 ankle sprain prevention.

194

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240

241 **FIGURE LEGENDS**

242 Figure 1 – The video sequence (in every 0.04s) of the supination ankle sprain injury
243 with the matched skeleton model

244 Figure 2 – The ankle kinematics reported by the marker-based and the Poser motion
245 analysis methods

246 Figure 3 – Ankle angle and angular velocity among the three axes for the successful
247 normal trials (3 trials) and the injury trial (1 trial)

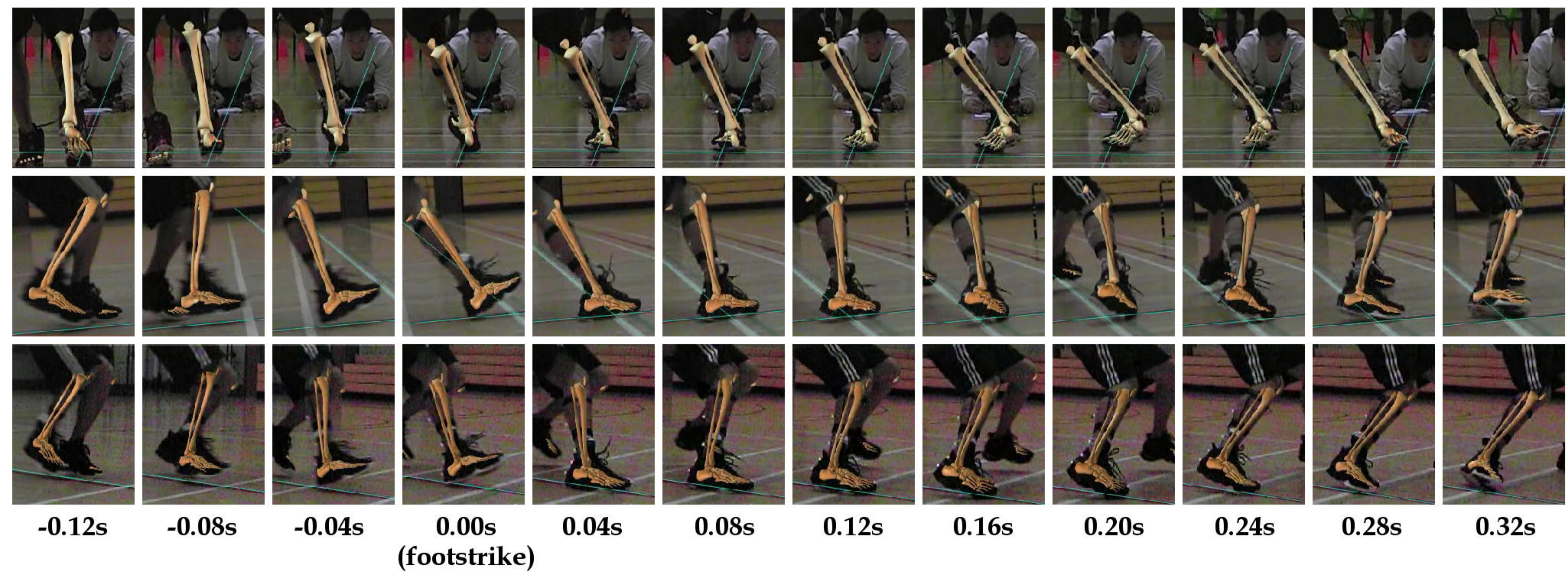
248 Figure 4 – Plantar pressure profile (in every 0.02s) of (a) one selected normal trial,
249 and (2) the injury trial

250 Figure 5 – The excursion path of the center of pressure of (a) the mean of the normal
251 trials, and (2) the injury trial

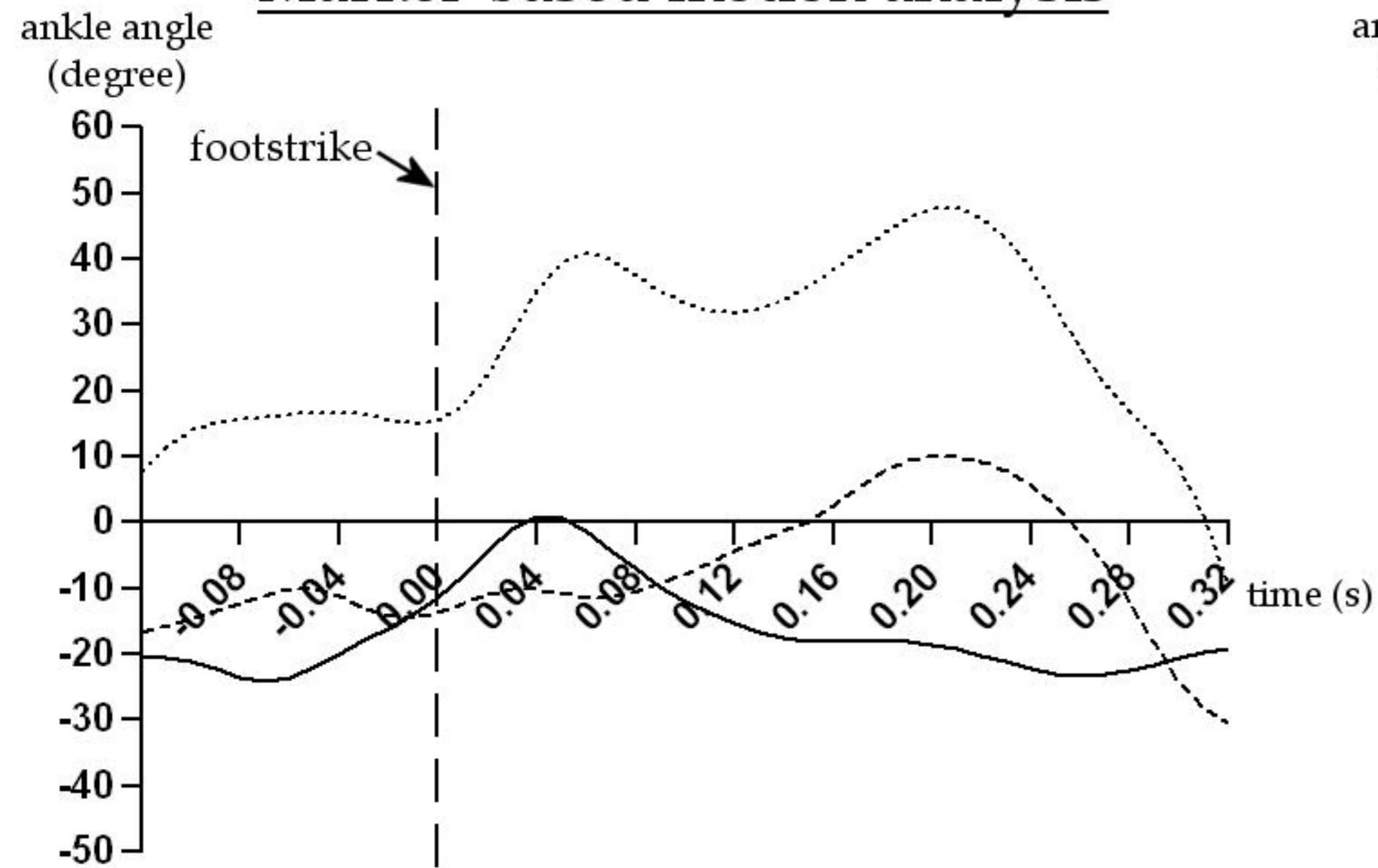
Table 1 – Ankle orientation at foot strike and the maximum ankle angular displacement during stance for the normal trials and the injury trial

	Normal trials (N = 3)	Injury trial (N = 1)	
<i>At Foot Strike</i>			
Plantarflexion / Dorsiflexion	-14 deg*	-11 deg*	
Internal / External rotation	-21 deg*	-14 deg*	
Inversion / Eversion	9 deg	15 deg	
<i>During Stance</i>		Phase I	Phase II
Max plantarflexion	15 deg	1 deg	-15 deg*
Max internal rotation	-6 deg*	-5 deg*	10 deg
Max inversion	35 deg	41 deg	48 deg
Max plantarflexion velocity	730 deg/s	370 deg/s	93 deg/s
Max internal rotation velocity	320 deg/s	138 deg/s	271 deg/s
Max inversion velocity	638 deg/s	632 deg/s	272 deg/s

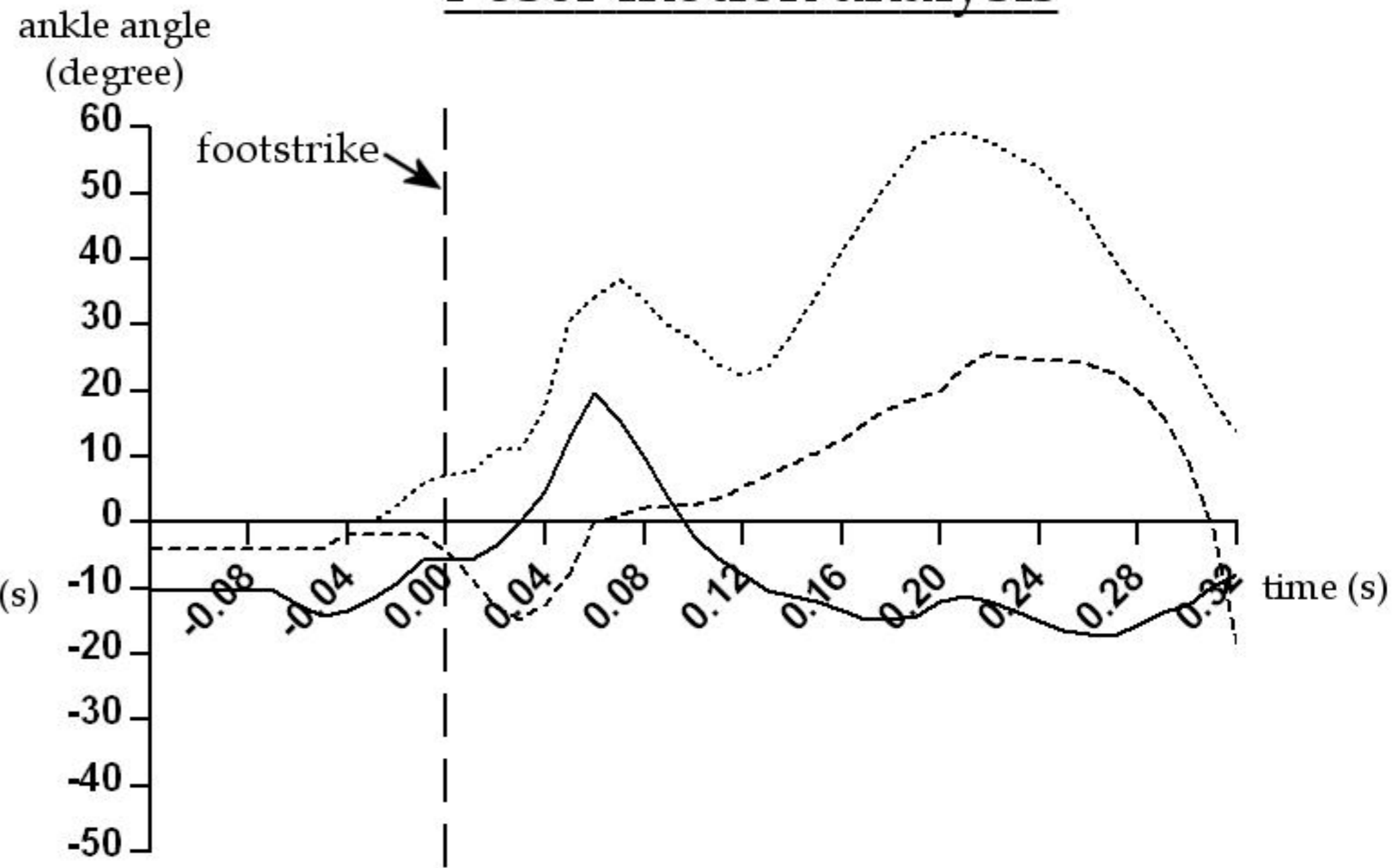
Note: * Negative value means dorsiflexion and external rotation respectively. Phase I = Pre-injury Phase, from 0.06 to 0.11s. Phase II = Injury Phase, from 0.11s onwards.



Marker-based motion analysis



Poser motion analysis



— Plantarflexion (+) / dorsiflexion (-)

- - - - Internal rotation (+) / external rotation (-)

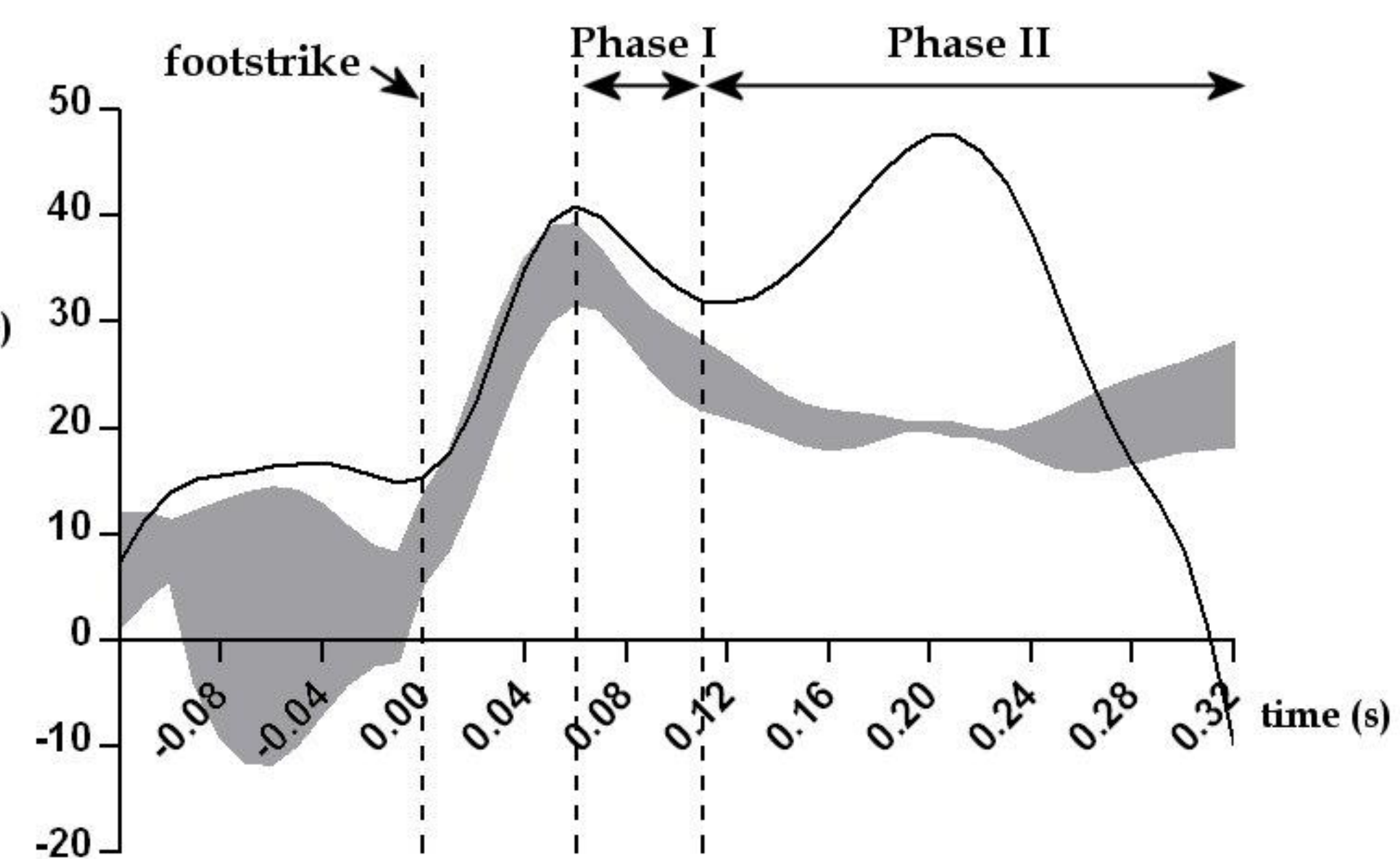
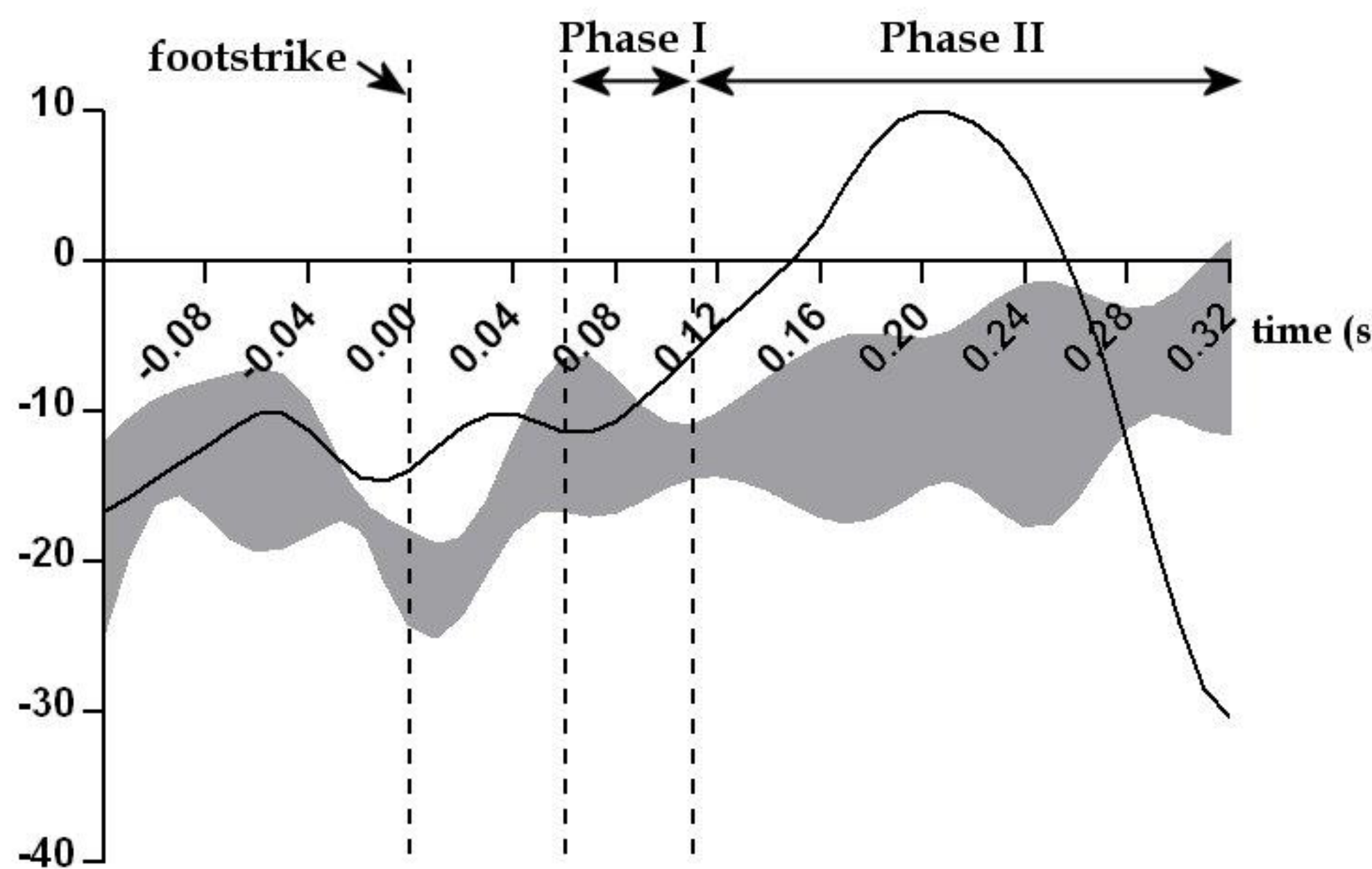
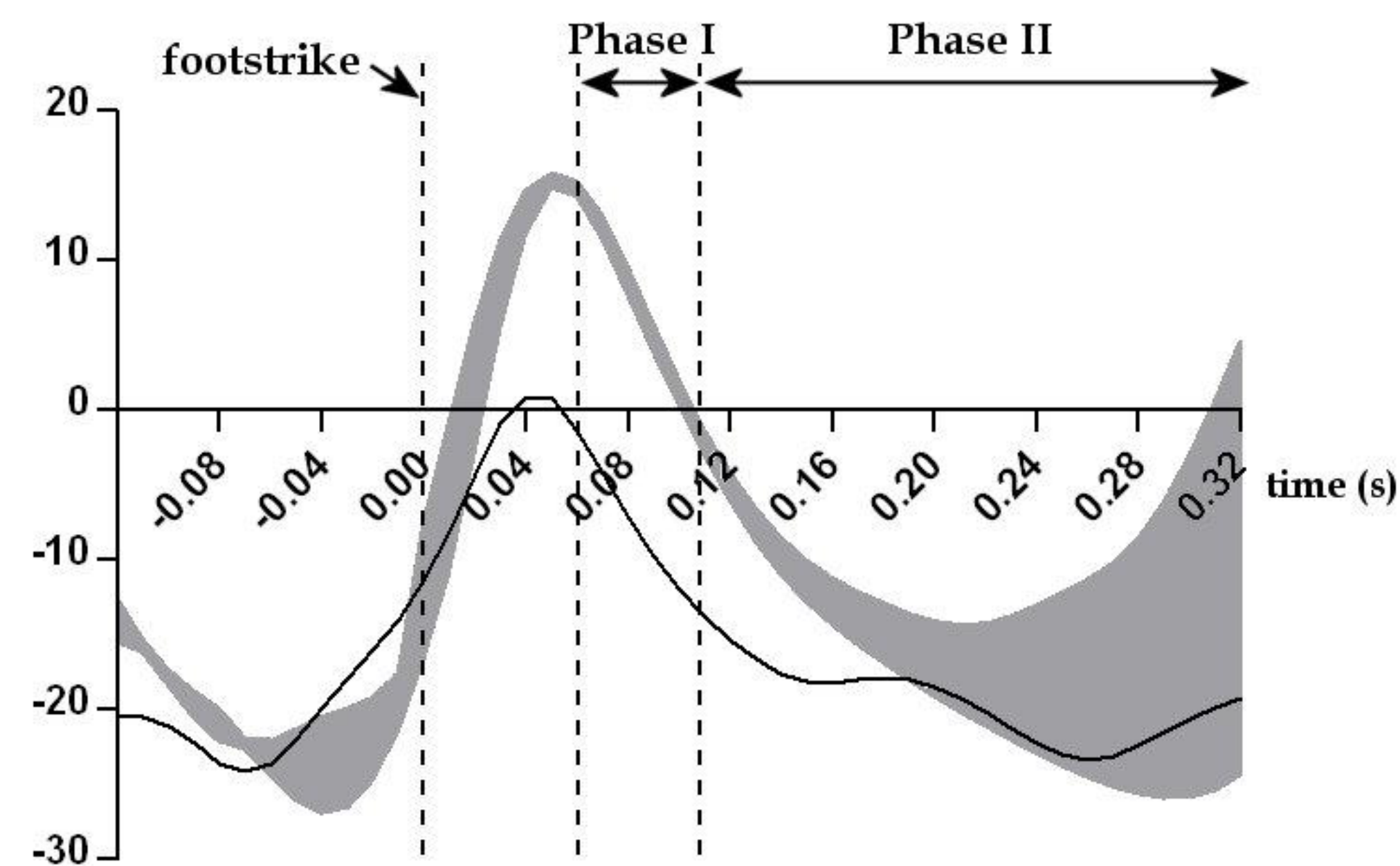
..... Inversion (+) / eversion (-)

Plantarflexion (+) / Dorsiflexion (-)

Internal Rotation (+) / External Rotation (-)

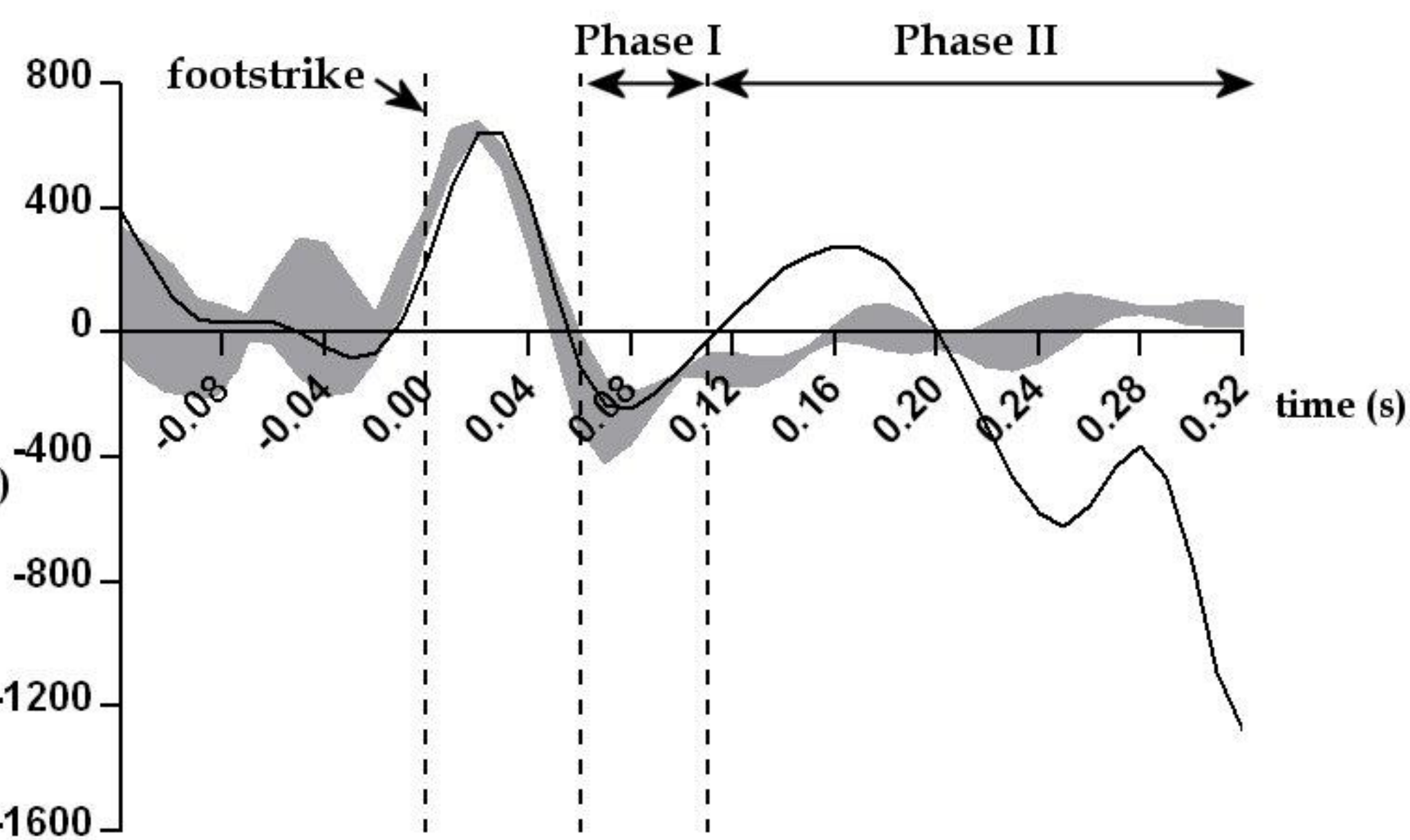
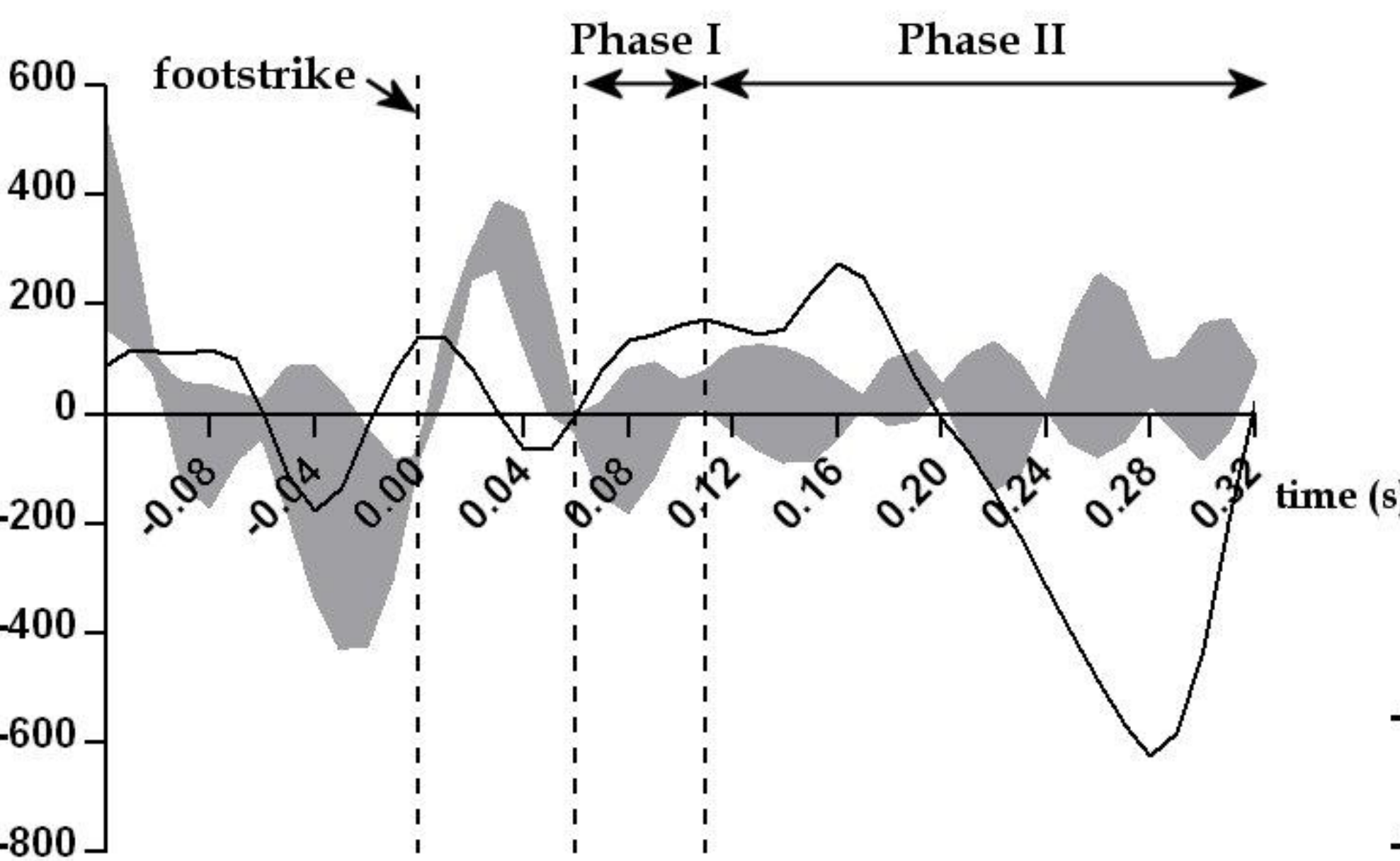
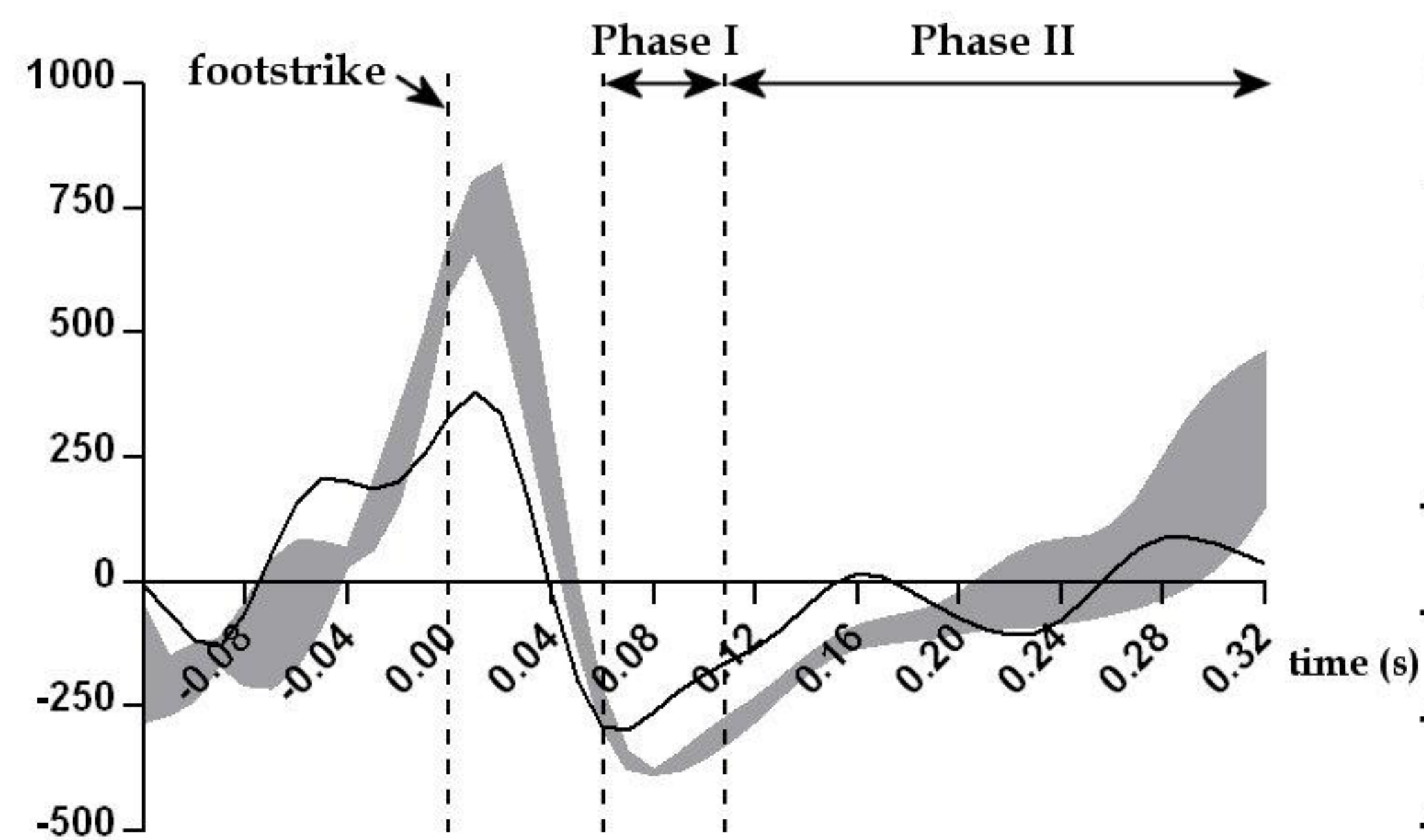
Inversion (+) / Eversion (-)

(a) ankle angle (degree)

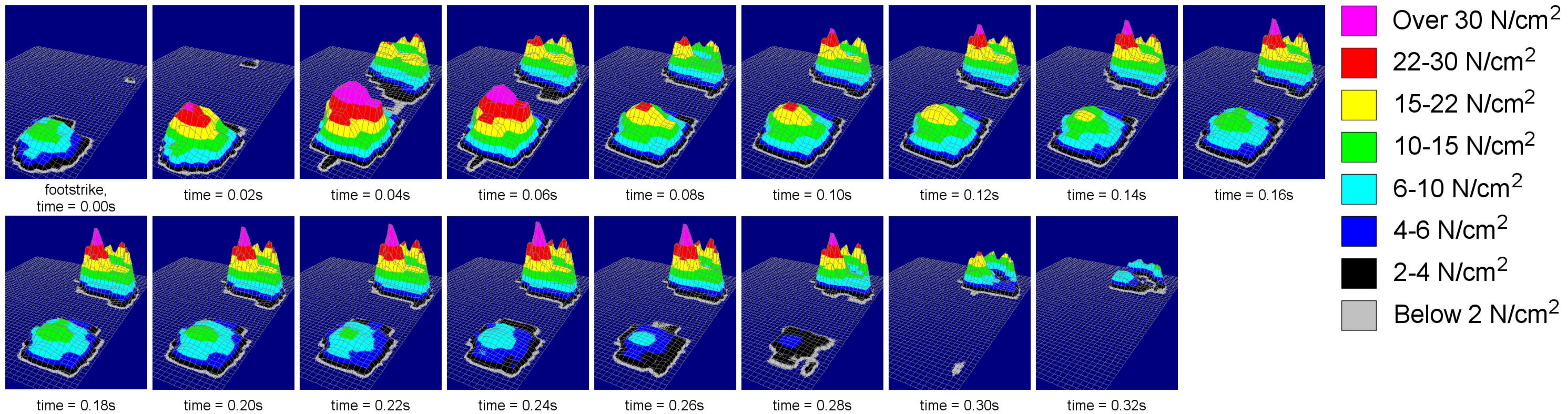


■ Mean and SD of normal trials
— Injury trial
Phase I: Pre-injury phase
Phase II: Injury phase

(b) angle angular velocity (degree/s)



(a) normal trial (selected)



(b) injury trial

