

1 **The Effects of Exercise and Sport in Solid Organ Transplant Recipients: A Review**

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29 **Abstract**

30 Solid organ transplantation is the gold-standard treatment for many with end-organ failure and can
31 offer a new independence from the burden of disease. However solid organ transplant recipients
32 (SOTRs) remain at high risk of cardiovascular disease (CVD), poor quality of life and physical
33 functioning. Increasing physical activity and exercise can improve the health of the general
34 population, however the effects on those with a transplant remain unclear. Intensive exercise and
35 sporting activity has the potential to be beneficial, although there remain concerns particularly
36 around the effects on immune function and the CV system. This review summarises what is known
37 about the effects of exercise on determinants of health in SOTR and then collates the available
38 literature investigating the consequences of intensive exercise and sport on the health of SOTR.
39 There is a paucity of high-quality research, with most evidence being case-studies or anecdotal; this
40 is understandable given the relatively few numbers of SOTR who are performing sport and exercise
41 at a high level. However if suitable evidence-based guidelines are to be formed and SOTR are to be
42 given reassurances that their activity levels are not detrimental to their transplanted organ and
43 overall health, then more high-quality studies are required.

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45 **Key Words**

46 Transplants, Rehabilitation, Exercise, Sports

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55 **Introduction**

56 Solid organ transplantation offers those with end-stage organ disease an intervention which can
57 transform their lives and is now the gold-standard of care. More patients are successfully
58 undergoing transplants, partly due to advances in surgical techniques, as well as improvements in
59 donor recruitment, organ preservation and immunosuppressive therapies. In the UK there has been
60 a 12% increase in kidney transplants and a rise of 20% from donors after circulatory-death(1).
61 Worldwide there has been a steady increase in solid organ transplants (SOT) with 114,690 estimated
62 to have taken place in 2012, however this is meeting <10% of global need, meaning there is
63 increased emphasis on improving transplant survival(2). Morbidity and mortality following SOT
64 continues to fall and one-year patient and graft survival from cadaveric transplants has increased
65 over the last ten years(3). Therefore the long-term focus is on identifying modifiable risk factors
66 which can be addressed to improve health related quality of life (HRQoL), morbidity and survival.

67 Exercise has a range of health benefits to the general population including improved HRQoL,
68 reduced CV risk and chronic inflammation. However there are many other factors which need
69 consideration such as the effects of immunosuppression, their underlying disease process and
70 altered body composition which mean that the effects of exercise in the general population cannot
71 be directly translated into solid organ transplant recipients (SOTRs). In addition, many SOTRs wish to
72 either return to, or begin, new sporting activities to improve their health following transplant and
73 this higher intensity exercise may have more unanticipated effects than activity at lower levels.

74 **Competitive Events**

75 The first Transplant Games were held in the UK in 1978(4) with 99 participants from 5 countries.
76 Since then there has been a significant growth in the event with emerging evidence of the benefit of
77 exercise in SOTRs and the publicity surrounding the Games. There are a number of reasons why
78 SOTRs take up sporting activities or exercise, ranging from perceived health benefits to enjoyment. It

79 can be done simply as a hobby or for social reasons but it can also be life-affirming, a celebration of
80 regained health, or a way of showing appreciation to their donor and the health services.

81 The US Transplant Games (USTG) attracts high-level athletes from across the country who have near
82 age-predicted cardiorespiratory fitness and HRQoL(5). Those more active participants have higher
83 VO_{2peak} and lower body fat compared to those who train less intensely(6-8). In a paediatric
84 population, participation at the World Transplant Games (WTG), results in an improvement in
85 habitual activity, with an increase in those achieving healthy CV fitness, abdominal strength and
86 upper body strength compared to controls who do not take part(9).

87 The location of the WTG has been shown to affect rates of organ donation in that local area(10).
88 Education, awareness and engaging the public through the media have all been shown to increase
89 knowledge of transplantation and affect willingness to donate. However, donation rates are rarely
90 maintained after media coverage ceases.

91 These highly organised events have the potential to greatly improve our knowledge of the effects of
92 high-intensity exercise on SOTRs and are a potential platform for the development of future
93 research studies. This review article will summarise the current available literature examining the
94 effects of physical activity and exercise on SOTRs.

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101 **Solid Organ Transplantation**

102 Patients with chronic kidney disease (CKD), and those on dialysis in particular, have an elevated CV
103 risk compared to the general population(11-13). Kidney transplantation improves survival(14),
104 quality of life(15,16) and reduces CV events(17,18) compared to individuals on dialysis, although
105 outcomes still remain poorer than in the general population(19), with the rate of cardiac death 10-
106 times higher and the annual rate of fatal or non-fatal CV events 50-times that of the general
107 population. It is thought that this is due to traditional CV risk factors which remain, such as
108 hypertension and dyslipidaemia, but non-traditional risks such as immunosuppression(20-22),
109 chronic inflammation(23,24) and altered haemostasis(25-27) also play an important role.

110 Patients with severe heart failure, understandably, have elevated morbidity and mortality compared
111 to the general population. The most common causes of death include congestive cardiac failure
112 (CCF) and arrhythmias(28). However these numbers have been improving, in part due to the use of
113 left ventricular assist devices (LVAD) which act to delay or prevent the progression to end-organ
114 failure(29). Overall outcomes remain better following heart transplant than LVAD so this still remains
115 the optimum treatment for those with end-stage heart failure(30). Following a heart transplant,
116 patients have an improvement in survival, QoL and exercise capacity, although these measures often
117 do not reach those of their non-transplanted counterparts.

118 Heart transplant recipients (HTRs) represent a complex population, with several issues needing to be
119 considered when determining their exercise capabilities(31). Prior to transplantation, the donor
120 heart experiences a significant ischaemic time and then subsequently undergoes reperfusion when
121 successfully transplanted into the recipient. Also, the anatomy is not completely restored and during
122 the operation, the heart is surgically denervated and this is not entirely corrected. In the post-
123 operative months, some patients demonstrate signs of partial cardiac re-innervation which may
124 serve to, in part, normalise their responses to exercise. HTRs also often experience diastolic
125 dysfunction. The underlying causes are likely to be multi-factorial including hypertension, episodes

126 of rejection and vasculopathy of the allograft. These factors directly affect the function of the
127 transplant and are likely to influence the response to exercise.

128 For patients with chronic lung conditions, transplantation offers the chance of improving QoL,
129 morbidity and mortality. In the USA, there are 1572 people currently waiting for a transplant with
130 the most common underlying diagnoses being congenital disease, chronic obstructive pulmonary
131 disease (COPD) and cystic fibrosis(32).

132 Lung transplantation is a highly complex procedure that carries considerable peri- and postoperative
133 risks. It is a treatment option for patients whose pulmonary function, exercise capacity, and QoL are
134 drastically restricted and predicted 5-year survival is <50%(33). There are three types of lung
135 transplant; single lung transplantation (SLTx), double lung transplantation (DLTx) and simultaneous
136 heart and lung transplantation (HLTx), with the selection depending on the underlying
137 pathology(34).The 1 and 5-year survival rates for SLTxRs and DLTxRs are 77% and 59%
138 respectively(35). Infection (38%), rejection (29%), malignancy (15%) and CVD (10.9%) are the main
139 contributors to morbidity and mortality post-operatively(33) as well as organ specific complications
140 such as obliterative bronchiolitis, all of which may be influenced by physical activity. Post-transplant
141 rehabilitation has recently been the focus, in order to optimise long-term outcomes and promote
142 physical and psychological measures as these still remain impaired despite transplantation(36)with
143 the majority of lung transplant recipients (LTxRs) remaining sedentary 3-6 months post-
144 transplant(37).

145 Liver transplantation (LivTx) offers those with end-stage liver disease improved morbidity and
146 mortality and is now an established procedure in the developed world, with over 6000 transplants
147 being performed in the United States in 2010(38). Obesity and the development of metabolic
148 syndrome are well-recognised after LivTx, with an increasing BMI seen in the first few years after
149 surgery and 22% of previously normal-weight individuals becoming obese within two years(39).

150 Independent predictors of obesity include episodes of acute rejection and a higher dose of

151 prednisolone. In LivTx recipients (LivTxR), increased BMI at one-year accurately predicts the
152 development of metabolic syndrome(40) and one-third will have CVD at 8-years. Therefore
153 addressing weight gain in the early-post-operative period should be seen as a priority to reduce CVD
154 in the future. LivTx has also been shown to improve markers of QoL including self-reported physical
155 health, daily activities, general HRQoL and social functioning(41).

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171 **Cardiovascular System**

172 Regular exercise has positive effects on CV risk in the general population(42), and now the focus has
173 switched to analysing the effect on transplant recipients.

174 Renal transplant recipients (RTRs) spontaneously increase their activity levels after transplantation
175 and this peaks at one-year despite an initial decrease in the first month post-operatively(43)whereas
176 in the first three months after receiving a heart transplant, HTRs significantly increase their physical
177 activity levels(44) although the effect plateaus after this time. Those transplant recipients who are
178 more physically active have reduced CV risk(45) and this principal has been used in the design of
179 exercise programs aiming to improve a variety of physiological parameters.

180 **Blood Pressure**

181 In RTRs, there are no overall significant effects of exercise on blood pressure (BP)(46,47) with both a
182 supervised six-week aerobic training and a 1 year home-based aerobic program yielding insignificant
183 results in those undergoing the intervention (133/87 at baseline to 132/89 at 12 months) compared
184 to the control group receiving usual care (138/88 to 133/90). Additionally, exercise programs for
185 RTRs do not seem to interact with anti-hypertensive medications either(48) with the number of anti-
186 hypertensives being similar at all time-points and between groups.

187 In HTRs, exercise has been shown to have equivocal effects on BP. Some studies of supervised
188 moderate intensity exercise for 10-12 weeks demonstrate little effect on either systolic or diastolic
189 BP(49,50) even when combined with strength training(51), with the timing of commencement of the
190 exercise program after transplantation not influencing outcomes. However, high-intensity interval
191 training (HIIT) regimes (16-min interval training with intervals of 4-, 2- and 1-min duration at >80% of
192 VO_{2peak} , separated by a 2-min active rest period) of 12-weeks duration, commenced >12 months
193 after surgery, significantly reduce systolic BP compared to a control group of exercisers who
194 continue to do moderate-intensity activity (50). Additionally, one 6-month study of cycle-based

195 moderate intensity exercise 5 times-a-week at home, reduced both systolic and diastolic BP in the
196 exercisers (143/78 at baseline to 121/69 at 6 months) compared to non-exercising controls (140/77
197 to 136/77), perhaps suggesting that a longer duration of intervention may be needed to have an
198 effect(52). Hypertension is common in HTRs and beta-blockers have been shown to have an adverse
199 effect on peak heart rate (HR) during exercise and overall exercise capability(53) therefore their use
200 needs to be carefully considered in active HTRs.

201 In LTxRs, a RCT of 3 months of three times-a-week combined aerobic and resistance training(54) led
202 to a significant improvement in ambulatory 24-hour BP in the intervention group compared to
203 controls. The exercising group maintained their BP at 1 year after transplantation (129/80 pre-
204 transplant and 126/80 at 1 year) whereas the control group experienced worsening hypertension
205 (126/73 to 142/89 $p<0.01$).

206 Serum Cholesterol

207 In RTRs, there is no clear consensus as to whether exercise has a beneficial effect on lipid levels as
208 some studies show an improvement(55) and others do not(47,56-58). The only study to show an
209 improvement in total serum cholesterol was based on a Chinese alternative therapy (Don Jeon) for a
210 duration of 9 weeks with 1 once-a-week supervised session and daily activity at home, unfortunately
211 the control group of transplanted patients was not equivalent and therefore it is difficult to draw a
212 meaningful conclusion. The studies which showed no significant improvements in cholesterol
213 profiles included a variety of interventions including combined exercise program and dietary
214 advice(56), supervised(57), and home-based exercise(47,58). Additionally there were also variations
215 in type of exercise with some being solely aerobic(47,59) and others including only
216 strengthening(57) or a combination of both(58). Interestingly, in one study(57) there was a
217 significant increase in total cholesterol in both the exercise group (5.55mmol/L to 6.92mmol/L at 5
218 weeks $p=0.001$) and the controls (5.40mmol/L to 6.85mmol/L) although this is in part due to a rise in

219 HDL-cholesterol (1.29mmol/L to 1.67mmol/L in exercisers vs 1.42mmol/L to 1.51mmol/L in controls
220 $p=0.05$). There was no change seen in triglyceride levels.

221 In LTxRs, the RCT of combined aerobic and resistance training revealed no significant improvements
222 in total cholesterol (219mg/dL to 191mg/dL at 1 year) compared to controls (169mg/dL to
223 190mg/dL) or triglycerides (107mg/dL to 159mg/dL vs 86mg/dL to 145mg/dL) (54).

224 Following LivTx, there is an increase in prevalence of hypertriglyceridaemia and
225 hypercholesterolaemia after 1-year(60) and metabolic syndrome is very common and has been
226 described in 44–58% of patients followed for 6 months after transplantation (61). The development
227 of metabolic syndrome is associated with lower exercise intensity and older age. In addition exercise
228 intensity is inversely related to the development of metabolic syndrome after LivTx suggesting that
229 those who are more active are likely to have improved CV risk factors(62). However an uncontrolled,
230 supervised 12-week combined aerobic and strength training program with lifestyle counselling(63),
231 found that there was actually no improvement in lipid profile with total cholesterol:HDL cholesterol
232 ratio (3.70 to 3.74 after 12-weeks) and LDL cholesterol: HDL cholesterol ratio (2.08 to 2.09)
233 remaining static.

234 Glycaemic Control

235 In RTRs, the total amount of physical activity is significantly lower in RTRs with impaired glucose
236 tolerance compared to RTRs with normal glucose tolerance (255 vs 580 minutes/week respectively,
237 $P = 0.03$) and total physical activity has been identified as an independent predictor of impaired
238 glucose tolerance in all RTRs ($p=0.04$)(64). A 6-month study of combined lifestyle modification advice
239 and 2-hours endurance exercise per week in RTRs(56) with either new-onset diabetes after
240 transplant (NODAT) or impaired glucose tolerance(IGT) (group 1) versus RTRs with normal glucose
241 tolerance receiving usual care (group 2) revealed a 15% improvement in 2-hr postprandial glucose in
242 group 1 versus 12% deterioration in group 2 ($p=0.001$). Additionally in group 1, 44% of impaired

243 glucose tolerance patients developed normal glucose tolerance, whereas only 4% developed NODAT
244 and 58% of NODAT patients showed improvement (29% to IGT and 29% to normal). Glucose
245 metabolism deteriorated in group 2 with 14% developing IGT and 3% developing NODAT. Another
246 study of strengthening exercise alone(57), commenced within the first few days after
247 transplantation, showed improvements in glucose levels in both the intervention group (102mg/dL
248 to 83mg/dL at the end of the study) and the control group (108mg/dL to 83mg/dL) which may reflect
249 changes in medications (such as decreased steroid doses).

250 Three months of 3 times-a-week aerobic and resistance training in LTxRs(54) leads to a trend of
251 reduced rates of overall progression to NODAT (6%) compared to the control group (25%) although
252 this is not significant ($p=0.11$) and also a reduction in fasting glucose (99mg/dL to 90mg/dL at 1 year
253 vs 88mg/dL to 107mg/dL) however again this is not significant ($p=0.13$). However in LivTxRs, after a
254 12-week aerobic and strength exercise program, there was actually a trend towards worsening of
255 glycaemic control (HbA1c 5.40% to 5.60% after the program, $p=0.071$)(63).

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265 **Body Composition**

266 Body Weight and Soft Tissue

267 Observational studies have shown that those RTRs who are more physically active have lower
268 amounts of body fat(65) however a randomised trial of home-based cardiovascular exercise versus
269 usual care has shown particularly disappointing effects on body composition(48). In fact body
270 weight and BMI increase in both groups from baseline (Intervention: 24.8 to 27.7 at 1-year vs
271 Control: 25.1 to 27.1) and lean body mass remains constant (Intervention: 49.2kg to 49.7kg at 1-year
272 vs Control: 50.1kg to 51.8kg) whilst fat mass rises (Intervention: 20.8kg to 25.8kg at 1-year vs
273 Control: 21.2kg to 27.6kg). Increased habitual activity levels do reduce body fat and increase lean
274 muscle mass in women(66) in some studies but there is no clear link in males. A 7-week isokinetic
275 bicycle-based program focusing on thigh musculature(67), discovered that RTRs taking prednisolone
276 and those who were not both benefitted from increased thigh muscle area (Prednisolone group:
277 128cm² to 133cm² p=0.01, Non-prednisolone group: 100cm² to 107cm², p=0.005) although there
278 were non-significant changes in both total fat area and overall thigh area.

279 Previous CCF and reduced pre-transplantation physical activity both contribute to deterioration in
280 overall body composition. In HTRs, a 12-week combined aerobic and strength training program
281 increased total lean tissue mass compared to the control group(51) and 6-months of resistance
282 exercise training alone also had positive results compared to the control group(68). Fat mass
283 significantly increased at 2 months after transplant in both the control (+8.3%) and exercising groups
284 (+7.3%) and 6-months of resistance training restored fat-free mass to levels 3.9% greater than
285 before transplant (p<0.05). Fat-free mass of the control group decreased progressively to levels that
286 were 7% lower than pre-transplant values (p<0.05). However HIIT programs have yet to be proven to
287 be effective in improving body composition(69), with no significant reduction in BMI in the
288 intervention group (27.2 to 26.5 at follow-up) compared to the controls (26.3 to 26.3 p=0.106) or

289 improvement in body fat percentage (Intervention: 26.1% to 25.2% at follow-up versus Control:
290 24.6% to 25.0% $p=0.152$).

291 In LTxRs, a randomised trial of combined aerobic and resistance training did not reveal any positive
292 effects on body weight or BMI in either the intervention group (22.6 to 24.4 at 1-year) or the
293 controls (21.5 to 24.1, $p=0.89$) with both overall body weight and BMI increasing over time in both
294 groups.

295 A randomised 10-month home-based aerobic exercise program in combination with dietary advice
296 has been shown to improve lean body mass, although coincidentally, there were also increases in
297 body weight, fat-mass and percentage of body fat(70) , additionally there were issues with
298 adherence to the program, with only 37% following the program as instructed. When this was taken
299 into account, total body weight, lean mass, and fat mass increased in all patients over the study
300 period ($p<0.001$) with no significant interactions found between the intervention and control groups
301 in any of the body composition variables.

302 In LivTxRs, a randomised 24-week combined resistance and aerobic based regime based either at
303 home or supervised in a clinical setting(71), resulted in a significant increase in BMI in the supervised
304 exercise group compared to the home-based group and the controls (Supervised: 20.4 to 24.4,
305 Home-based: 22.3 to 22.6, Control: 22.2 to 22.2 $p=0.005$). This increase was predominantly due to
306 an increase in lean mass in the exercising groups (Supervised: 43.4kg to 48.9kg, Home-based: 41.7kg
307 to 47.0kg, Control: 49.9kg to 45.1kg $p=0.009$) with a non-significant change in fat mass (Supervised:
308 13.9kg to 17.5kg, Home-based: 16.4 to 15.6kg, Control: 13.2 to 15.1kg $p>0.05$). However in another
309 study(63), 3-months combined training in a supervised group setting, resulted in stabilisation of the
310 BMI (29.7 to 30.0 after completion, $p=0.287$) and a significant reduction in body fat percentage
311 (33.0% to 31.8%, $p=0.049$).

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313 Bone Mineral Density

314 In RTRs, 1-year of home-based aerobic exercise(48) did not influence bone mineral density (BMD) in
315 either the exercisers (0.970g/cm² to 0.995g/cm² at 12 months) compared to the control group
316 (1.012g/cm² to 1.024g/cm², p>0.05).

317 In HTRs, a 6-month weekly lumbar extensor and twice weekly upper and lower limb resistance
318 training program(72), found that bone demineralisation occurred early after transplantation, and
319 BMD losses from compartments with trabecular bone, such as the lumbar spine, were greater than
320 BMD losses from regions with cortical bone, such as the femoral neck. BMD losses in the lumbar
321 vertebra were 12% and 15% in the control and training groups, respectively, at 2 months after
322 transplantation. Following the intervention, losses were significantly reduced compared to the
323 control group (-3% vs -16%, p<0.05). The main finding of this study was that resistance training was
324 osteogenic and restored BMD toward pre-transplantation levels in HTRs despite continued
325 immunosuppression with glucocorticoids. In contrast, regional BMD in the control group did not
326 indicate any significant recovery toward preoperative levels by 8 months after transplantation.
327 Additionally, a 6-month resistance training program with calcitonin supplementation versus
328 calcitonin alone(73), found that total body BMD did not decrease significantly below pre-
329 transplantation values at 2-months after transplantation in either the calcitonin plus training (-0.5%)
330 or calcitonin reference groups (-1.7%). At 8-months post-transplantation both the calcitonin group
331 (-2.4%) and the calcitonin plus training group (-2.6%) experienced small but significant (p<0.05)
332 losses in total body BMD when compared to pre-transplantation values. The magnitude of change in
333 total body BMD at 2 and 8-months after transplantation were comparable in the two groups. This
334 suggests that neither calcitonin nor calcitonin plus mechanical loading were efficacious in preventing
335 small long-term reductions of BMD in non-metabolically active, slow turnover, cortical bone cells of
336 the appendicular skeleton in HTRs.

337 In LTxRs, prior to commencing the training study(74), both the trained (0.63 to 0.54 g/cm² of
338 hydroxyapatite) and control groups (0.62 to 0.53 g/cm² of hydroxyapatite) lost significant and
339 comparable amounts (-14.5%) of BMD between study entry and 2 months post-transplantation.
340 After 6-months of weekly lumbar extensor training, the control group lost further lumbar BMD
341 between 2 and 8 months post-transplantation (0.53 to 0.50 g/cm² of hydroxyapatite, p<0.05),
342 decreasing to values that were 19.5% less than pre-transplantation baseline. Lumbar BMD in the
343 trained group increased significantly (+9.2%) after 6 months (0.54 to 0.60 g/cm² of hydroxyapatite)
344 and returned to values that were within 5% of pre-transplantation baseline. The aforementioned
345 resistance training program, in combination with alendronic acid led to a bigger improvement than
346 alendronic acid alone(75) . Lumbar BMD decreased significantly to below pre-transplant baseline at
347 2-months after transplantation in controls (-12.5%), but not in the alendronate (1.5%) or
348 alendronate + training (1.5%) groups. At 8-months after transplantation, lumbar BMD in controls
349 was 14.1% below baseline (p<0.05), but was 1.4% above baseline in alendronate recipients (p>0.05).
350 The alendronate + training group showed a significantly increased lumbar BMD with values 10.8%
351 greater than before transplant. This indicates that pharmacological intervention should be
352 prescribed alongside resistance exercise for the greatest benefit in LTxRs.

353 A 24-week combined resistance and aerobic based regime based either at home or supervised in a
354 clinical setting for LivTxRs(71) found that total BMD did not change significantly in any group from
355 baseline to after completion of the program (Supervised: 1.11g/cm² to 1.11g/cm², Home-based:
356 1.21g/cm² to 1.11g/cm², Control: 1.21g/cm² to 1.11g/cm²). However proximal femoral BMD did
357 differ significantly in the home-based exercise group compared to the controls (Home-based: 0.9
358 g/cm² to 0.8 g/cm², Control: 0.9 g/cm² to 0.9 g/cm², p=0.017) although there were no differences in
359 T or Z-scores. Similarly, a randomised home-based cardiovascular exercise and dietary education
360 program, found no difference in BMD between the exercisers (1.14 g/cm² to 1.15 g/cm²) and
361 controls (1.15 g/cm² to 1.17 g/cm²).

362 **Exercise Capacity**

363 **Muscle Strength and Function**

364 In RTRs, a thrice-weekly strengthening focused exercise program of 6 months duration(76), found
365 that upper extremities muscle strength increased more in the rehabilitation group (15.99 to 18.56
366 after completion) compared to the control group (15.89 to 16.88) although this did not reach
367 significance. Similarly, a 7-week isokinetic bicycle-based exercise program investigating outcomes in
368 those on prednisolone compared to those on steroid-sparing regimes(67), found improvements in
369 peak torque (at 60°) in both groups (Prednisolone-free: 236Nm to 289Nm after training completion
370 $p<0.005$, Prednisolone: 207Nm to 237Nm $p<0.005$) and in total work output (at 180°/s
371 (Prednisolone-free: 3563J to 4584J after training completion $p<0.001$, Prednisolone: 2712J to 3587J
372 $p<0.001$). Benefits were also seen in a study of Don Jeon(55), for a duration of 9 weeks with 1 once-
373 a-week supervised session and daily activity at home, where grip strength ($p<0.001$), back muscle
374 strength ($p=0.01$) and sit and reach distance ($p<0.001$) all improved in the intervention group
375 compared to those receiving usual care.

376 In RTRs 1-year of home aerobic training(48), also resulted in an improvement in peak torque (per
377 body weight) in the intervention group (34.5 ft/lb/kg to 42.5ft/lb/kg) compared to the control group
378 (33.7ft/lb/kg to 37.2ft/lb/kg, $p<0.003$). Furthermore, a study of 24-weeks of treadmill exercise
379 alone(77), revealed that exercise time to exhaustion (12min $p<0.001$) improved and there were also
380 large increases seen in isokinetic muscle function in both the quadriceps and hamstrings ($p<0.001$ to
381 $p<0.0001$) although values were still lower than in the untrained non-transplanted healthy control
382 group. Muscle biopsies showed an unexpected rise in type-2 muscle fibres and low oxidative
383 capacity suggesting that enhanced muscle contractile function is partly responsible for improved
384 overall performance.

385 In comparison of aerobic training versus resistance training alone in RTRs(78), 12-weeks of twice
386 weekly supervised exercise found an improvement in the isometric quadriceps muscle force in both
387 of the exercising groups (Aerobic: 77.9nM to 81.0nM after 12-weeks, Resistance: 91.2nM to
388 130.3nM) whereas the control group actual deteriorated (101.0nM to 95.1nM), with a significant
389 difference between the resistance trained group and the controls ($p=0.006$) A similar pattern was
390 seen in performance in the sit-to-stand 60 test (Aerobic: 24reps to 27 reps, Resistance: 30reps to 37
391 reps, Control: 26reps to 26reps) where improvements were seen in both intervention groups in
392 comparison to the controls although only the difference between resistance training and controls
393 was significant ($p=0.009$).

394 In HTRs, a 6-month study of cycle-based moderate intensity exercise 5 times-a-week at home(52),
395 found that in the training group, physical performance improved significantly, with exercise time
396 (7.65mins to 11.40mins, $p<0.01$) and maximal workload (75W to 105 W, $p<0.01$) both improving and
397 the anaerobic threshold was reached at higher workloads (50 to 75 W). In the control group, physical
398 performance did not improve with VO_{2peak} (14.33ml/kg/min to 15.60ml/kg/min), exercise time
399 (8.00mins to 8.50mins) and peak workload (70W to 78W) remaining comparable to baseline.
400 Another study of 10-weeks of aerobic exercise 2-3 times per week(49), found a significant increase in
401 peak HR in the exercising group (128bpm to 146bpm) compared to the control group (136bpm to
402 142bpm, $p<0.05$) and in duration of the exercise to exhaustion test (Exercisers: 9.2mins to 10.7mins,
403 Control: 8.5mins to 8.8mins, $p<0.05$).

404 A 6-month structured aerobic and strength training program designed for HTRs(79) revealed that
405 peak workload increased in the exercisers (59W to 94W) compared to the usual care group (66W to
406 78W $p=0.01$) and the duration of exercise performed also improved (Exercisers: 6.9mins to 9.0mins,
407 Controls:7.2mins to 8.3mins $p=0.07$).

408 A study of either moderate exercise or a HIIT regime of 12-weeks duration, commenced >12 months
409 after heart transplant(50) found improvements between groups in workload achieved (HIIT: 148W to

410 162W, Moderate: 155W to 158W, $p=0.003$) and peak HR (HIIT: 139bpm to 144bpm, Moderate:
411 140bpm to 141bpm, $p=0.027$). A second study of HIIT versus usual care(69) also found a significant
412 improvement in peak HR (HIIT: 159bpm to 163bpm, Control: 154bpm to 153bpm, $p=0.035$) as well as
413 the test duration (HIIT: 10.6mins to 14.1mins, Control:12.2mins to 13.0mins $p<0.001$) and
414 quadriceps and hamstring strength (HIIT: 394Nm to 402nM, Control: 380nM to 359nM $p=0.043$).

415 After LTx, there are significant gains in exercise capacity. In the first 3 months, there is a 60–75%
416 increase in the distance covered in 6 minute walking test (6MWT), with an ensuing plateau in
417 performance(80). However VO_{2max} remains deficient compared to expected levels with a function of
418 40-60% of predicted achieved(81,82) but there is no overall ventilatory limitation to mild exertion
419 required for daily living(83). Exercise capacity is reduced in both SLTxRs and DLTxRs(84). LTxRs have
420 similar thigh muscle volumes, intramuscular fat infiltration and strength of the quadriceps and
421 hamstrings to those with COPD who have not undergone transplantation. However, quadriceps
422 endurance tends to be lower in LTxRs compared to people with COPD(85). A 12-week study of
423 thrice-weekly cycling(86) found that endurance time was improved in LTxRs to the same extent as
424 healthy subjects but with greater variability between patients (LTxRs: +9min, Healthy Control:
425 +8min, $p<0.05$). Additionally, muscle strength significantly improved in LTxRs, with similar increases
426 also seen in the healthy controls (LTxRs: +4.6kg $p = 0.001$, Controls: +3.1kg $p=0.047$), leading to a
427 recovery of muscle strength as compared with the initial healthy subjects' value. In LTxRs, there
428 were also trends to significant changes in the percentage of type I fibre (+7%, $p = 0.10$) and the type
429 II fibre diameter ($-3\mu\text{m}$, $p = 0.10$).

430 Three-months of supervised combined aerobic and resistance training(54) resulted in an
431 improvement in performance in the 6MWT at 1-year in the LTxRs undergoing exercise training
432 (56%pred to 86%pred) compared to the controls receiving usual care (51%pred to 74%pred $p=0.002$)
433 as well as peak workload (Exercisers: 47%pred to 69%pred, Controls: 39%pred to 53%pred, $p=0.043$)
434 and quadriceps force (Exercisers: 63%pred to 92%pred, Controls: 56%pred to 71%pred, $p=0.001$). An

435 early intervention of mixed aerobic and strengthening training(87), initiated in the first few weeks
436 after transplant also reported an improvement in performance in the 6MWT (451m at 1 month to
437 543m at 3 months post-transplantation). Improvements between all time points were statistically
438 significant ($p<0.0001$), although with no control group for comparison it is difficult to make
439 assumptions from this study that LTxRs would not have shown improvement after transplant
440 without the intervention.

441 In LivTxRs, 10-months of home-based aerobic exercise(70), led to a significant increase in quadriceps
442 strength in the exercising group over time (26.9ft/lb/weight to 32.6ft/lb/weight $p<0.001$) although
443 this was not significantly different to the control group (29.2ft/lb/weight to 32.9ft/lb/weight).
444 Additionally, after 24 sessions of aerobic treadmill-based exercise(88), the exercising group
445 increased their walking distance (Baseline: 453.6m to 582.5m after the program $p<0.05$) compared
446 to the controls receiving usual care (Baseline 516.5m to 517.7m).

447 A randomised 24-week combined resistance and aerobic based regime based either at home or
448 supervised in a clinical setting(71) revealed no significant improvement in performance in the 6MWT
449 between the supervised exercisers (Baseline: 506.2m to After program: 573.3m), home-based
450 exercisers (491.2m to 550.5m) or the control group receiving usual care (530.9m to 553.6m $p>0.05$)
451 or in the quadriceps strength (Supervised: 506.2Nm to 573.3Nm, Home: 491.2Nm to 550.5Nm,
452 Control: 530.9Nm to 553.6Nm, $p>0.05$). However, a supervised 12-week combined aerobic and
453 strength training program with lifestyle counselling(63) with no control group, did find a non-
454 significant trend towards improvement in quadriceps strength (Baseline 1.3Nm/kg, After program:
455 1.4Nm/kg $p=0.058$), although improvements in workload (Baseline 1.6W/kg, After program: 1.7W/kg
456 $p=0.004$) and performance in the 6MWT (Baseline: 546.5m, After Program: 578m $p=0.004$) were
457 significant.

458

459 Cardiorespiratory Fitness

460 In RTRs, a 6-month strengthening program(76), discovered that peak expiratory flow rate (PEF)
461 increased significantly in those undergoing strength training (Intervention: 419L/min to 516L/min,
462 Control: 439L/min to 483L/min). One year of home aerobic training(48), also resulted in a significant
463 improvement in age-predicted VO_2 (Intervention: 70.9% to 85.4% at 1-year, Control: 71.6% to 77.4%,
464 $p<0.03$) although peak respiratory exchange ratio did not change (Intervention: 1.32 to 1.35,
465 Control: 1.38 to 1.37 $p>0.05$). Another study of treadmill exercise alone(77), revealed that exercise
466 time to exhaustion (12min $p<0.001$), VO_{2max} (37.5 ml/kg/min $p<0.05$) and maximum ventilation rate
467 (68.5L/min $p<0.05$) all improved. In comparison of resistance or aerobic training versus standard
468 care(48), VO_{2max} increased over time in all groups (Aerobic: 12.3ml/kg/min to 15.1ml/kg/min,
469 Resistance: 14.1ml/kg/min to 16.8ml/kg/min, Control: 11.8ml/kg/min to 12.8ml/kg/min) however
470 significantly greater gains were seen in those who were trained compared to the control group
471 (Aerobic vs Control $p=0.02$, Resistance vs Control $p=0.002$). Nevertheless, in comparison of VO_{2max}
472 between very well-trained RTRs and non-transplanted controls the transplant patients still have
473 decreased mechanical efficiency as reflected by an increased VO_2 /treadmill-speed relationship(89).

474 Observational studies have found that, even in well-trained HTRs, there were still deficiencies in
475 VO_{2max} and treadmill speed and the VO_{2max} /treadmill speed relationship compared to healthy
476 controls(89,90). A 6-month study of cycle-based moderate intensity exercise (52), found that in the
477 training group, VO_{2peak} improved significantly (14.93ml/kg/min to 19.61ml/kg/min, $p<0.001$)
478 compared to the control group (14.33ml/kg/min to 15.60ml/kg/min $p<0.05$) and this is supported by
479 a shorter study of 10 weeks duration of aerobic exercise(49) where VO_{2max} also increased to a
480 greater extent in the exercisers (Intervention: 16.7ml/kg/min to 20.0ml/kg/min, Control:
481 15.5ml/kg/min to 16.1ml/kg/min, $p<0.05$).

482 Following a 6-month combined aerobic and strength training program(79)it was observed that
483 VO_{2peak} increased significantly in the intervention group (9.2ml/kg/min to 13.6ml/kg/min) compared
484 to the controls (10.4ml/kg/min to 12.3ml/kg/min, $p=0.01$).

485 HIIT training(50) has been found to be more beneficial in HTRs than moderate aerobic exercise in
486 improving VO_{2peak} (HIIT: 23.2 to 28.1ml/kg/min, Moderate: 23.0ml/kg/min to 25.6ml/kg/min,
487 $p<0.001$). However the respiratory exchange ratio remained unchanged in both the HIIT (1.18 to
488 1.15) and moderate groups (1.20 to 1.18, $p=0.754$). These results are supported by another
489 study(69) of 24-weeks of HIIT training where VO_{2peak} also improved significantly in those in the
490 intervention group (27.7ml/kg/min to 30.9ml/kg/min) compared to the controls (28.5ml/kg/min to
491 28.0ml/kg/min, $p<0.001$) and the respiratory exchange ratio remains stable (HIIT: 1.07 to 1.08,
492 Control: 1.06 to 1.07, $p=0.602$).

493 Following a 12-week, home-based cycling program comparing healthy controls and LTxRs(91), FVC
494 (Forced Vital Capacity) (LTxRs: 78%pred to 82%pred $p=0.19$, Controls: 109%pred to 109%pred
495 $p=0.82$) and FEV_1 (Forced Expiratory Volume in the first second) (LTxRs 74%pred to 77%pred $p=0.44$,
496 Controls: 108%pred to 106%pred $p=0.20$) remained generally stable in both groups. However VO_{2max}
497 did show a trend for improvement in the LTxRs (63%pred to 68%pred, $p=0.07$) and increased
498 significantly in the healthy controls (101%pred to 113%pred $p=0.02$). Similarly, a 12-week study of
499 thrice-weekly home-based aerobic exercise(86) discovered that VO_{2peak} was significantly improved in
500 healthy subjects after the program, although there was only a trend to improvement observed in
501 LTxRs ($+0.13 \pm 0.22$, $p = 0.059$). Additionally, a 6-week part home-based, part-supervised, aerobic
502 training program(92) found a significant improvement in VO_{2peak} from baseline (18.4ml/kg/min)
503 compared to after training (20.3ml/kg/min $p<0.05$), although there were no controls for comparison
504 therefore is difficult to conclude that the improvements seen were greater than would have been
505 seen in those receiving usual care.

506 Following a supervised mixed aerobic and strength training program of the same duration, VO_{2max}
507 showed a non-significant improvement compared to the non-exercising controls (Exercisers:
508 55%pred to 78%pred, Controls: 47%pred to 63%pred, $p=0.082$) with FEV_1 also being non-significant
509 (Exercisers: 79%pred to 92%pred, Controls: 69%pred to 89%pred, $p=0.615$). A mixed endurance and
510 resistance rehabilitation programme (with no control group)(87), completed in the first 12-weeks
511 post lung-transplant, found that both FEV_1 and FVC significantly increased(87) after the training
512 program ($p<0.0001$). FEV_1 increased from 71% at 1 month to 78% at 2 months and 81% at 3 months.
513 The improvement from 2 to 3 months was not statistically significant. FVC improved from 69% at 1
514 month to 77% at 2 months and 81% at 3 months with improvements between each time point being
515 statistically significant.

516 Following LivTx, VO_{2peak} increases by a modest amount(93), however it actually decreases in one-
517 quarter of patients. This persistent impairment of exercise tolerance is principally peripheral in origin
518 but anaemia and beta-blocker treatment should be considered as major aggravating factors(94). A
519 randomised 10-month home-based aerobic exercise program in combination with dietary advice(70)
520 discovered that age-predicted VO_{2peak} increased significantly in the exercising group(71.9%pred to
521 90.1%pred) compared to the control group receiving usual care (73.2%pred to 83.0%pred $p<0.04$),
522 although the respiratory exchange ratio did not change in either group from baseline to 12-month
523 assessments (Intervention: 1.11 to 1.10 , Control: 1.12 to 1.11 $p>0.05$). An uncontrolled, supervised
524 12-week combined aerobic and strength training program with lifestyle counselling(63) also found
525 that VO_{2peak} increased significantly from baseline (20.9ml/kg/min) to after the intervention
526 (22.4ml/kg/min $p=0.031$).

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530 **Quality of Life and Anxiety and Depression**

531 HRQoL describes the subjective assessment of the impact of disease and its treatment across the
532 physical, psychological and social domains of functioning and well-being(95). There are many factors
533 of HRQoL including satisfaction with life and individual happiness, as well as objective assessments
534 of physical and psychological functioning(96). In research studies involving transplant recipients, the
535 most commonly used method of evaluation of anxiety and depression is the Hospital Anxiety and
536 Depression Scale (HADS)(97). The HADS is a validated screening instrument for the presence of
537 depression and anxiety symptoms, which is widely used in hospital settings, it takes less than 5
538 minutes to complete and has been shown to be acceptable by the population for which it was
539 designed. The HADS consists of 14 Likert scaled items with two subscales (0–21). Each subscale
540 includes seven items scored on a four-point scale between 0 and 3. Subscale scores of up to 7 are
541 considered normal, whereas scores of 8–10 are regarded as borderline and set as clinical
542 manifestation. Both scales can be interpreted independently from each other. HRQoL is most
543 commonly measured using the internationally validated SF-36 questionnaire(98). Thirty-six items are
544 combined into 8 scales such as physical functions (PF, 10 items), social functions (SF, 2 items), role
545 limitations due to physical problems (RP, 4 items), role limitations due to emotional problems (RE, 3
546 items), mental health (MH, 5 items), energy and vitality (VIT, 4 items), bodily pain (BP, 2 items), and
547 a general perception of health (GH, 5 items). The subscales can be combined into two summation
548 scales measuring the overall physical and mental HRQoL (a physical component summary and a
549 mental component summary). Sometimes shortened versions of this questionnaire can be use when
550 time constraints apply (for example SF-12 or SF-8).

551 **HRQoL and Anxiety and Depression following Transplantation**

552 HRQoL often does not improve according to expectations, as RTRs often have ongoing physical and
553 psychological issues which persist post-operatively. Diagnoses of psychiatric disorders remain high
554 with some studies reporting a prevalence of 50% with affective disorders, major depression and

555 anxiety being most common at around 5 years post-transplant (99) and those with a cadaveric
556 transplant are more likely to experience anxiety and depression than those who receive a living
557 transplant(100). Using the Spielberg State Trait Anxiety Inventory and the Beck Depression Inventory
558 (BDI), anxiety scores are significantly lower among living transplant recipients (80.2) compared to
559 cadaveric transplant recipients (86.9, $p=0.03$). There is also a significant relation between depression
560 score and kind of graft donation (Living: 11.6, Cadaveric: 16.4, $p<0.005$). However HRQoL has been
561 found to improve following renal transplant with a significant improvement in all QoL domains(101).
562 This improvement occurs within the first 6 months after surgery and remains stable following that.
563 Predictors of QoL include number of hospital admissions (representing early morbidity after
564 transplantation), work (representing economic autonomy), and social support.

565 Following heart transplant, a significant overall improvement in QoL is perceived not only
566 immediately after the operation(102), but also in the long-term(103). However another study found
567 that in the immediate post-transplant period there were no significant differences in adjustment,
568 physical function and employment between HTRs and similar medically managed heart failure
569 patients(104). The long term improvements(103) are apparent in the physical domain, social
570 dimension and psychological dimension. The improvement remains stable up to 5 years post-
571 transplant and is not correlated with age, rejection episodes, preoperative medical parameters, or
572 medication and this is supported by other studies which have also shown improvements in physical
573 functioning(105,106) and perceived quality of life(107,108) in HTRs.

574 In the first 3 years after heart transplant, survival analysis indicates that cumulative risks for disorder
575 onset are major depression, 25.5%; adjustment disorders, 20.8%, post-traumatic stress disorder
576 (PTSD), 17.0%; and any assessed disorder, 38.3%, with only one case of generalised anxiety
577 disorder(109). PTSD onset is limited almost exclusively to the first year after transplantation.
578 Episodes of major depression (but not anxiety disorders) that occur at 8-36 months post-transplant
579 are more likely than early post-transplant episodes to be treated with psychotropic medications.
580 Factors increasing cumulative risk for post-transplant psychiatric disorder include a pre-transplant

581 psychiatric diagnosis, female gender, longer hospitalisation, more impaired physical functional status
582 and lower social supports from caregivers or family in the peri-operative period. At around 10 years
583 post-transplantation moderate or severe depression is still experienced by around 37% of
584 patients(110), although interestingly around 50% report good or very good satisfaction with QoL and
585 physical health. However the prevalence of depression is negatively associated with physical domain
586 ($r = -0.45$, $p < 0.01$), social domain ($r = -0.49$, $p < 0.05$), and psychological domain ($r = -0.3$, $p < 0.05$).
587 The worst QoL signifies a high prevalence of depression and the subjective assessment of QoL
588 negatively correlates with the prevalence of depression ($r = -0.43$; $p < 0.01$).

589 Within the first 2 years following lung transplantation(111), LTxRs report that several symptoms are
590 both frequently occurring and rather distressing such as muscle weakness (occurs in 40%, distressing
591 in 46%, shortness of breath with activity (occurs in 32%, distressing in 36%, and increased hair
592 growth (occurs in 54%, distressing in 29%). At around 5 years after lung transplant, patients
593 demonstrate statistically lower rates of respiratory problems as compared with reference values for
594 patients with COPD(112). Following completion of the SF-36, in the sub-scales of vitality and mental
595 health and the mental component summary scale, LTxRs had scores similar to those of the healthy
596 control population, although the remaining scores were significantly lower. Also, there were no
597 significant differences between the LTxRs and the reported reference healthy population on the
598 anxiety and depression sub-scales. However 10% of the patients showed clinical depression and 12%
599 had clinical anxiety.

600 Levels of anxiety are higher in those waiting for transplant than in the LivTxR group (7.1 vs 5.6
601 $p < 0.05$)(113) and the mean score for the depression scale is higher in the waiting group as well (6.5
602 vs 4.9 $p < 0.05$). Comparing both groups with data from the reference population (mean scores:
603 anxiety: 4.7, depression: 5.1, total score: 9.9) reveals significant differences for anxiety ($p < 0.001$),
604 depression ($p < 0.05$) and the summation score ($p < 0.001$). There are also significantly higher scores
605 for anxiety ($p < 0.001$), depression ($p < 0.05$) and HADS total score ($p < 0.001$) in the group of patients

606 on the waiting list compared to the reference population. However after liver transplant, anxiety
607 scores are significantly higher ($p < 0.001$).

608 Prior to liver transplantation, half of patients report some level of distress in 14/21 HRQoL
609 determinants whereas 1-year post-operatively they report distress in only 10/21(114). The most
610 commonly reported symptoms causing distress at baseline are fatigue and muscle weakness which
611 are experienced by 98% and 90% respectively, however these are statistically likely to improve at 1-
612 year although 86% remain distressed by fatigue and 79% by weakness demonstrating that these
613 continue to be a substantial problem for these patients. At baseline the Index of Wellbeing is 8.4 and
614 this increases to 11.2 at follow-up which is similar to that of the general population (11.8). Although
615 wellbeing generally improves it does actually decrease after transplantation in around 15% of
616 patients.

617 Exercise and HRQoL and Anxiety and Depression

618 One study of active RTRs showed that regular exercise significantly improves different aspects of
619 HRQoL such as social function, general health perception and mental health, compared to their
620 sedentary counterparts(115). Additionally, just 2 hours of exercise per week significantly ameliorates
621 RTRs' self-reported health and fitness condition. The amount of exercise participation also positively
622 correlates to the patient's health and fitness condition with no effect on graft function(116). In RTRs,
623 10-weeks of thrice-weekly cycling has been shown to improve anxiety (HADS score: Baseline: 9.9
624 After program: 6.0 $p < 0.004$) and depression (HADS score: Baseline 8.3, After program 5.9 $p < 0.008$)
625 scores in RTRs(117) as well as overall HRQoL as measured by the SF-36 questionnaire (Baseline: 394
626 After program: 553 $p < 0.0001$) although the authors did not provide a breakdown of the scoring for
627 each component. A 9-week intervention of Don Jeon, with 1 once-a-week supervised session and
628 daily activity at home (55), found reductions in both stress ($p = 0.03$) and uncertainty ($p = 0.001$)
629 compared to the control group and improvements in self-esteem ($p < 0.001$) and overall QoL
630 ($p = 0.001$) although they do not report which questionnaires have been used.

631 After one-year of home-based aerobic exercise(48), the only scale on the SF-36 questionnaire that
632 approached significant differences between the two groups was the physical functioning scale
633 (Baseline Exercisers: 68.1, Control: 64.1; 12 months: Exercisers: 84.8, Control: 73.2, P=0.06).
634 Although both groups improved in the role physical during the 12 months (Baseline Exercisers: 39.0,
635 Control: 54.9; 12 months: Exercisers: 59.4, Control: 60.6), it remained low compared with the
636 general population (average for general population is 83). Both groups improved with time in the
637 physical component summary during the 12 months, (Baseline Exercisers: 40.1, Control: 40.8; 12
638 months: Exercisers 47.0, Control 44.8, $p<0.0001$), but there were no significant differences between
639 the groups as a function of time. At 12 months, both groups were similar to the general population
640 scores for mental health and the mental component summary; all other scale scores remained lower
641 than the scores reported for the general population.

642 A 1-year resistance training intervention for 1 hour twice-a-week, with psychological and dietary
643 support, specifically designed for obese RTRs (118), found that the mean SF-36 score at 6 months
644 was significantly higher in the intervention group compared with the control group (583 vs 436
645 $p=.008$) and the exercisers had improvements in the domains of vitality (Intervention: 53 to 62,
646 Control: 59 to 25, $p<0.05$) and general health (Intervention:39 to 50, Control: 45 to 29 , $p<0.05$)
647 compared to the controls receiving usual care. This translated positively into job prospects, as
648 overall, at 12-months there was a significantly higher employment rate of 77.7% in the exercise
649 group, compared with 12.5% in the control group ($p=0.02$).

650 HTRs who participated in an early post-transplant rehabilitation program of supervised cycling or
651 treadmill-walking for 12 weeks showed a significant increase of SF-36 scores in physical functioning
652 (59.7 to 77.0), physical role (21.1 to 38.3), bodily pain (57.4 to 73.6), social functioning (63.6 to 72.8),
653 emotional role (59.2 to 76.3), and mental health (67.1 to 73.4) (119). This study compared the
654 results to patients undergoing coronary artery bypass grafting (CABG) and found that after early

655 post-operative cardiac rehabilitation HTRs showed greater improvement in HRQoL than patients
656 with CABG regardless of lower physical capacity.

657 Additionally a hospital-based exercise program of combined aerobic and strength training three-
658 times-a-week over an eight-week period, produced a significant improvement in all aspects of the
659 SF-36 questionnaire except for mental health(120), although there was a significant improvement in
660 depressive symptoms as measured by the BDI (7.36 to 5.86 $p<0.05$). However there was no change
661 in symptoms of anxiety as recorded on the Spielberger State-Trait Anxiety Inventory (43.89 to 45.58
662 $p>0.05$).

663 A 24-week HIIT program for HTRs(69) found that both groups had high HRQoL scores and there were
664 no significant changes in any of the sum-scores. However, there was a significant difference between
665 the exercisers and the control group on the SF-36 General Health subscale at follow-up (Exercisers:
666 54 Control: 49, $p<0.05$). As for subjectively improved health, the exercisers reported 65 on the visual
667 analogue scale compared to 26 in the control group ($p<0.001$).

668 A 12-week home-based aerobic training program after lung transplantation(86) improved symptoms
669 of dyspnoea (3.6 to 4.2 after training, $p=0.03$) and a there was an observed trend towards decreased
670 fatigue (4.5 to 4.8, $p=0.07$) as measured by the chronic respiratory questionnaire.

671 A program for LTxRs within the first 12-weeks post-transplant, of mixed aerobic and strengthening
672 training(87), revealed that all HRQoL domains improved from month 1 to 3 when measured using
673 the SF-36. Physical conditioning demonstrated gains up to 3 months (Physical functioning: 46 at
674 baseline to 75 at 3-months, $p<0.05$, Role functioning physical: 31 to 71, $p<0.05$) whereas symptoms
675 related to surgery for example pain (39 at baseline to 62 at 2-months to 66 at 3-months), showed a
676 reduction up to 2 months ($p<0.05$) and then this effect plateaued.

677 A 3-4 week inpatient intensive rehabilitation program of aerobic and strengthening training for
678 LTxRs around 4.5 years post-transplantation(121) found no significant improvements in HRQoL (SF-

679 36) could be demonstrated in either the exercise group or the usual care group. In fact, the
680 groups were found to have an already good HRQoL at the first evaluation visit, as indicated by SF-36
681 scores >80% of 100% best possible and although there was a slight trend toward increased HRQoL
682 levels, this did not reach statistical significance.

683 A RCT of 3 months of three times-a-week combined aerobic and resistance training(54) only found a
684 significant improvement in the physical components of the SF-36 when the intervention group were
685 compared with controls (Physical functioning: Intervention: 43 at baseline to 77 after 1-year,
686 Control: 36 to 65 p=0.039. Role functioning physical: Intervention: 31 to 83, Control: 22 to 52
687 p=0.011).

688 More physically active LivTxRs have improved general health, physical function, bodily pain and
689 vitality(122). Fatigue is a common problem following LivTx(123) with 60% perceiving themselves to
690 be fatigued or severely fatigued. . Following an uncontrolled twice-a-week, 12-week aerobic and
691 strength rehabilitation program, LivTxRs reported an increase in health-related daily functioning
692 (Sickness Impact Profile-68, 10.39 to 7.94 p=0.007). When assessing HRQoL with the SF-36 only
693 physical functioning (67.5 to 75.3 p=0.007) and vitality (7.8 to 58.1 p=0.019) were statistically
694 significant and the HADS score did not improve for either anxiety (5.5 to 5.4 p=0.929) or depression
695 (5.8 to 5.4 p=0.432). Furthermore, another uncontrolled, supervised 12-week combined aerobic and
696 strength training program with lifestyle counselling(63) found that there was a clinically relevant
697 improvement in fatigue after the intervention (Fatigue Severity Scale 5.5 to 4.9 p=0.014, Visual
698 Analogue scale 63.5 to 50.3 p=0.043 and Checklist Individual Strength 38.5 to 28.0 p=0.007).

699 A randomised 10-month home-based aerobic exercise program in combination with dietary
700 advice(70) found that only the general health aspect of HRQoL improved (SF-36 Intervention: 54.5 to
701 65.1, Control: 58.8 to 63.0 p<0.05). This indicates that the increase in general health over time is
702 greater for the exercisers than for those receiving usual care. All other physical scales increased in
703 both groups (p<0.05) but the change was not significantly different between the exercisers and

704 controls. Of the mental scales only mental health showed a significant group by time interaction
705 (Exercisers:78.5 to 83.4, Control: 79.3 to 77.9, $p=0.009$) with the increase being greater over time in
706 the exercising group. Vitality and social functioning increased in both groups ($p<0.001$) at 12-
707 months.

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724 **Sporting Performance Following Transplantation**

725 Renal Transplant

726 High intensity exercise and sport have not been extensively investigated in transplant recipients,
727 with most publications being case report or small-scale studies.

728 A study of RTRs, performed at the WTG(124), determined that the average energy expenditure was
729 3376 ± 739 kcal/day, corresponding to 43.7 ± 13.6 kcal/kg per day, which is 25% higher than those of
730 age-matched inactive healthy subjects. The transplant recipients were also able to perform activity
731 at an intensity level greater than three times the resting MET for 197 ± 112 min suggesting that SOTRs
732 are capable of taking part in sustained moderate-vigorous activity. However the RTRs did perform at
733 a lower level compared to age-matched best performances of nationally competitive healthy
734 athletes. Following a complicated post-transplant recovery a previously competitive swimmer has
735 managed to complete a successful rehabilitation programme and return to swimming(125), taking
736 part in several WTG and gaining many medals and records. Although transplant patients are
737 performing at a lower level than healthy athletes, with a structured training program there is
738 potential for them to return to performance levels which match their non-transplanted counterparts
739 with adequate training, motivation and nutrition. Another example of a RTR returning to
740 competition with non-transplanted athletes is seen in a case study of a professional boxer(126).
741 Within three years of transplantation he had returned to regular training and commenced protein
742 supplements to improve his body composition. Despite recommendations from physicians he
743 continued to box professionally and has had no adverse effects to his graft function.

744 Heart Transplant

745 A patient, who developed cardiac failure due to dilated cardiomyopathy, underwent a cardiac
746 transplant(127) and as part of his rehabilitation he was introduced to tennis. At 22-months post-
747 transplant he was able to match the aerobic capacity of an age-matched member of the general

748 population. He went on to participate successfully at the WTG and has managed to maintain his
749 physiological function a decade later at his most recent assessments. Furthermore, an elite cyclist
750 who experienced heart failure following a myocardial infarction (MI) received a heart transplant 4
751 months later(128,129). He resumed training after 1 month and underwent maximal exercise testing.
752 Prior to his MI, his VO_{2max} was 58ml/kg/min, this remained reduced at both 6 and 12-months post-
753 transplant, although there were significant improvements over time. These results imply that if an
754 individual is physically fit prior to their transplant then this may positively impact on their
755 subsequent work capacity. In active HTRs it may be beneficial to introduce a more intensive
756 rehabilitation program so that they can return to competition faster.

757 It is difficult to predict which patients chronotropic incompetence will affect. A young HTR
758 experienced a severely reduced exercise capacity with a workload of only 56% predicted(130),with
759 the major contributor an insufficient HR response to activity. She had a pacemaker implanted and
760 this significantly improved her physical performance, resulting in her winning several medals at the
761 European Heart and Lung Transplant Games. This demonstrates that chronotropic incompetence can
762 be successfully treated and it does not necessarily limit subsequent athletic performance.

763 Further case-reports have shown that, following an intensive endurance training program, HTRs are
764 able to improve maximal power, oxygen consumption, resting and submaximal HR and ventilator
765 anaerobic threshold(131). One such report featured a HTR who completed the Boston marathon in
766 less than six hours with no observed complications(131) and the second successfully competing for
767 an intercollegiate conference championship soccer team(132). Additionally, in endurance-trained
768 HTRs(133), graded exercise does not alter levels of malondialdehyde in either HTRs or healthy
769 controls and there is also no difference in glutathione peroxidase activity or vitamin E levels
770 suggesting that exercise to fatigue does not promote an increase in oxidative stress in the blood of
771 HTRs.

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773 Heart and Lung Transplant

774 A patient with cystic fibrosis successfully underwent a HLTx(134). One year after surgery, exercise
775 capacity was slightly reduced due to cardio-circulatory rather than ventilatory limitations. In the 10-
776 years after transplantation he took part in strenuous training in order to compete in sports events
777 for transplant patients. Exercise testing revealed better-than-normal aerobic and ventilatory
778 capacity as characterized by a noticeably high oxygen uptake, oxygen pulse and delayed onset of
779 ventilatory anaerobic threshold. This suggests that patients who have had a HLTx are capable of
780 achieving high levels of physical fitness and can perform well in competitive environments.
781 Therefore, those patients who are motivated to return to intense physical activity should be
782 encouraged, as their maximal physical capabilities are often not reached by conventional
783 rehabilitation.

784 Sporting Performance in an Extreme Environment

785 Performance in an extreme environment has been assessed by studying RTRs whilst trekking in the
786 desert(135). There were minimal differences between transplant and healthy-controls for BP,
787 hydration status, walking velocity and intensity of physical activity. The selected transplant patients,
788 who had a creatinine clearance >55 ml/min, showed a near-normal physical performance and
789 acclimatisation to the extreme conditions of the desert environment which suggests that
790 performance of RTRs can be maintained even in challenging environmental conditions. Moreover, 6
791 LivTxRs and 15 healthy controls participated in a trek up Mount Kilimanjaro in Tanzania, with an
792 ascent of 5895m(136). Most of the participants successfully completed the trek (83% LivTxRs vs
793 84.6% controls). Of those who were unable to complete the climb, the LivTxR reported physical
794 exhaustion and the two controls developed gastroenteritis and cerebral oedema respectively.
795 Overall, there was no difference in physical performance, perceived exertion or altitude sickness
796 between groups. However both experienced reduced oxygen saturations and a rise in BP and HR as
797 altitude increased. The only significant difference was the development of hypertension in LivTxRs at

798 3950m. This study provides evidence that LivTxRs can safely participate in strenuous physical activity
799 at high altitude, as their adaptive responses are similar to the control participants with few adverse
800 events seen.

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817 **Risks of Exercise and Sport**

818 There are risks to the transplant recipients of a return to sport, although documented adverse
819 events are mostly linked to traumatic events. One RTR developed a traumatic lymphocoele following
820 direct trauma from a squash ball(137) requiring marsupialisation of the lymphocoele in the
821 peritoneal cavity although the patient recovered fully despite being very unwell initially.
822 Additionally, following intense gym sessions and heavy lifting, a RTR reported anuria(138).
823 Investigations revealed a haematoma secondary to a spontaneous pseudocapsular bleed or intense
824 activity. Following evacuation of the blood clots in theatre, the patient began to pass urine and has
825 made a full recovery.

826 Infection remains a concern in transplant recipients and, as exercise can be detrimental to the
827 immune system, it should be considered when athletes who are already immunosuppressed are
828 training intensely(139). After cycling in an 81km event, 10 RTRs and 10 healthy-controls underwent
829 simulated exposure to pathogens(140). Analysis revealed an oppositional pattern of gene expression
830 in RTRs compared to controls. In addition, in RTRs several apoptotic genes were over-represented
831 whereas immune response genes were seen more commonly in controls. RTRs also had a
832 significantly lower relative increase in neutrophils following the bout of exhaustive exercise(141).

833 In HTRs, one study discovered that lymphocyte subpopulations do not change during or after a six-
834 week cycling exercise intervention(142),with only slight, non-significant, increases in total T cells,
835 activated T cells, cytotoxic T cells and the T4+/T8+ ratio. Additionally, levels of immunosuppression
836 in the bloodstream, episodes of rejection and infections are also unaffected. Another supervised 8-
837 week aerobic program found there is no effect on plasma markers of inflammation (C-reactive
838 protein, IL-6, TNF- α)(143). However the control group (HTRs receiving usual care) did have a
839 significant rise in TNF- α following completion suggesting that activity does have a role in improving
840 inflammation in HTRs.

841 Many individuals who receive a SOT, regard it as an opportunity to embark on a new healthier
842 lifestyle or to re-engage with sporting activities they enjoyed prior to their transplant. There are
843 many opportunities for SOTRs to partake in competitive sporting events and they are often able to
844 achieve a fitness level near to that of the general population. Although the intensive training and
845 exercise that they participate in is usually well-tolerated there are potential issues which may arise
846 and therefore the benefits of exercise cannot simply be considered as for the general population.
847 The effects of immunosuppression and the known increase in CV risk means that undertaking
848 physical training may result in abnormal responses of the immune and CV systems to exercise. Given
849 the paucity of research, and that it has been highlighted as a top research priority by a panel of
850 transplantation and sports experts(144), further studies need to be conducted, so that evidence-
851 based guidelines can be produced for this complex population, ensuring the best possible outcomes
852 whilst limiting adverse events.

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863 **Conclusions and Recommendations**

864 This review explores the benefits of structured exercise programs on the health of SOTRs.
865 Incorporation of exercise into the routine post-operative care of transplant recipients should be
866 strongly considered due to the improvement in many aspects of wellbeing in these patients and the
867 absence of significant complications or side-effects.

868 **Cardiovascular System**

869 Exercise programs of combined aerobic and strength training of at least 3 months duration seem to
870 be most effective at reducing BP in HTRs and LTxRs, with HIIT being a promising alternative. However
871 RTRs seem to gain less benefit and this may be in part due to their underlying disease process and
872 suboptimal renal function. Cholesterol and triglyceride levels do not appear to be augmented by
873 exercise, with transplant recipients experiencing increases over time regardless of activity. LivTxRs,
874 who are more likely to experience metabolic syndrome, did however have static total
875 cholesterol:HDL cholesterol after training and this may represent a slowing of the progression of
876 hypercholesterolaemia. Glycaemic control in RTRs is improved by a 6-month combined lifestyle
877 education and endurance exercise program and LTxRs achieve benefit after 3-months of combined
878 training. However LivTxRs experience worsening of glycaemic control despite 12-weeks of exercise
879 and this again reflects the complexities of treating those with increased risk of metabolic syndrome,
880 LivTxRs may benefit from longer interventions or modification of the training program to include
881 dietary and lifestyle advice.

882 **Body Composition**

883 Both aerobic and combined resistance and aerobic training programs of at least 12-weeks duration
884 are effective in increasing lean muscle mass, however there are few improvements seen in fat mass
885 and overall body weight and BMI. Even when dietary advice has been incorporated into the
886 programs, there have been no additional benefits observed. BMD decreases in transplant recipients

887 post-operatively, predominantly due to immunosuppression and steroids, and this decline is reduced
888 by targeted lumbar resistance training of at least 6-months duration. The greatest benefit is seen
889 when combined with alendronic acid, although calcitonin supplementation is not effective. Results
890 have been less promising in improving femoral BMD and also in LivTxRs, and this requires further
891 investigation.

892 Exercise Capacity

893 Interventions comprising of aerobic or combined aerobic and resistance exercise have consistently
894 been shown to improve workload and muscle strength. Durations of between 12-weeks and 12-
895 months and both home-based and supervised training have been effective in all types of transplant
896 recipient. These improvements are also translated into a significant improvement in physical
897 performance in a variety of tests such as the 6MWT and the capacity to exercise until exhaustion.

898 In RTRs, HTRs and LivTxRs aerobic, resistance or combined training leads to consistent gains in
899 aerobic capacity with improvements being seen in home-based and supervised programs and also in
900 durations of between 10-weeks and 1-year, with frequencies of between 2 and 5 times-a-week.
901 However LTxRs generally see minimal improvement in FVC, FEV₁, VO_{2max} in comparison to transplant
902 recipients receiving usual care in studies of 12-weeks duration, irrespective of supervision. This is a
903 reflection of their underlying disease status and they may require longer interventions to see a
904 benefit. In HTRs, HIIT is more effective than moderate continuous exercise in improving exercise
905 capacity and this could be a potential area of further investigation in other transplant recipients,
906 particularly LTxRs.

907 Quality of Life

908 The most commonly used measures of HRQoL and anxiety and depression are the SF-36 and HADS
909 respectively. In general there is an improvement in the overall SF-36 score and in particular physical
910 functioning, vitality and general health in all types of transplant recipients, with changes being seen

911 following supervised, inpatient and home-based exercise of varying types. Measures of mental
912 health prove more variable, with no clear trend emerging with regards to which type of exercise
913 intervention is most beneficial. Similarly, when anxiety and depression are assessed, a short 10-week
914 program of cycling for RTRs significantly improved both anxiety and depression, whereas an 8-week
915 combined program for HTRs showed only a statistically significant improvement in depressive
916 symptoms and in LivTxRs, 12-weeks of aerobic and strength training failed to show an improvement
917 in either anxiety or depression. Structured exercise programs may be useful in improving anxiety and
918 depression and more importantly they are not detrimental to mental health.

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939 **Conflict of Interest**

940 J. Neale, A.C Smith and N.C Bishop declare that they have no conflict of interest.

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