

1 **Evaluating the importance of catchment hydrological parameters for urban surface water flood**
2 **modelling using a simple hydro-inundation model**

3 Dapeng Yu¹ and Tom J. Coulthard²

4 ¹ Department of Geography, Loughborough University, Tel: 01509228191; Fax: 01509223930;
5 d.yu2@lboro.ac.uk

6 ²Department of Geography, Environment and Earth Science, University of Hull

7

8 **Abstract**

9 The influence of catchment hydrological processes on urban flooding is often considered through river
10 discharges at a source catchment outlet, negating the role of other upstream areas that may add to the
11 flooding.. Therefore, where multiple entry points exist at the urban upstream boundary, e.g. during extreme
12 rainfall events when surface runoff dominates in the catchment, a hydro-inundation model becomes
13 advantageous as it can integrate the hydrological processes with surface flow routing on the urban floodplain.
14 This paper uses a hydro-inundation model (FloodMap-HydroInundation2D) to investigate the role of
15 catchment hydrological parameters in urban surface water flooding. A scenario-based approach was
16 undertaken and the June 2007 event occurred in Kingston Upon Hull, UK was used as a baseline simulation,
17 for which a good range of data is available. After model sensitivity analysis and calibration, simulations were
18 designed, considering the improvement of both the urban and rural land drainage and storage capacities.
19 Results suggest the model is sensitive to the key hydrological parameter soil hydraulic conductivity.
20 Sensitivity to mesh resolution and roughness parameterisation also agrees with previous studies on fluvial
21 flood modelling. Furthermore, the improvement of drainage and storage capacity in the upstream rural area is
22 able to alleviate the extent and magnitude of flooding in the downstream urban area. Similarly urban
23 drainage and storage upgrade may also reduce the risks of flooding on site, albeit to a less extent compared
24 to rural improvements. However, none of the improvement scenarios could remove the flow propagation
25 completely. This study highlights that in some settings, urban surface water flood modelling is just as
26 strongly controlled by rural factors (e.g. infiltration rate and water storage) as internal model parameters such
27 as roughness and mesh resolution. It serves as an important reminder to researchers simulating urban
28 flooding that it is not just the internal parameterisation that is important, but also the use of correct inputs
29 from outside the area of study, especially for catchments with a mixture of urban and rural areas.

30

31 **Keywords:** Hydro-inundation model; urban flooding; surface water flooding; pluvial flooding.

33 **1 Introduction**

34 Flood risk managers and decision-makers often face the challenging tasks of designing effective mitigation
35 and adaptation strategies in response to low-frequency and unexpected urban flooding arising from extreme
36 storm events, during which, the combination of surface water runoff and storm sewer surcharge are the two
37 major sources of inundation. Storm sewer flooding is due to the surcharge of excess water that can not be
38 drained by the sewer system and is therefore usually localized. The modelling of storm sewer induced urban
39 flooding has seen a great body of literature in the last few decades, with a range of modelling approaches
40 developed including the ‘dual-drainage modelling’ (1D/2D) (Djordjević *et al.* 1991; Hsu *et al.* 2000; Schmitt
41 *et al.* 2004) and the 1D/1D approach (Mark *et al.* 2004). Such approaches typically couple: (i) the solution of
42 the 1D shallow water equations for the storm sewer systems; and (ii) a 1D or 2D representation of surface
43 flow. These approaches are able to provide a good estimate of urban flood risks at the local scale. The
44 accuracy of the model predictions depends on a number of factors, including the accuracy of: (i) the
45 topographic data; (ii) inflow to the drainage inlets, usually derived from hydrological estimation; and (iii) the
46 geometries of the storm sewer pipes. In comparison, direct surface water runoff in urban environments are
47 less well studied. Surface water flooding may arise from rainfall-generated overland flow before the runoff
48 enters watercourses or is captured by the sewer system. It is usually associated with high intensity rainfall
49 (e.g. >30 mm/hour), during which urban storm sewer drainage systems and surface watercourses may be
50 overwhelmed, preventing drainage through artificial (e.g. pumping) or natural means (e.g. gravity).
51 Moreover, even when fully functioning, urban storm sewer systems may not have the capacity to capture all
52 the surface runoff through inlets during extreme events and direct surface runoff can overpass manholes and
53 accumulate to form ponding in topographic depressions due to inlet efficiency (Aronica and Lanza 2005). In
54 addition, surface water flooding can also originate from rural areas adjacent to the urban settlements where
55 extreme rainfall runoff accumulates along flow paths without being captured by the land drainage/storage
56 systems. Recently, 2D surface flow routing models have been used to simulate the urban surface water
57 runoff originating from point sources (e.g. manholes), using synthetic or model-derived flow hydrographs
58 (e.g. Mignot *et al.* 2006; Fewtrell *et al.* 2011). In these studies, the interaction between surface runoff and
59 storm sewer is either considered as insignificant, or represented through a mass loss term determined based
60 on the drainage capacity. Modelling 2D surface water runoff in urban catchment is challenging due to the

61 needs to consider both the hydrological (e.g. precipitation, infiltration and evapotranspiration) and hydraulic
62 processes (surface flow routing), in a topographically complex environment. The representation of
63 spatiotemporal variation in precipitation, and effect of land characteristics (e.g. land use and soil type) is
64 required for the former in order to calculate the right amount of rainfall runoff, while high-accuracy
65 topographic data where topographic connectivity is preserved is essential for routing the surface runoff to the
66 correct places.

67

68 More recently, researchers have incorporated direct precipitation into 2D flow routing models in urban
69 environments. Such models can be termed as “hydro-inundation models” whereby hydrological processes are
70 considered simultaneously with floodplain flow routing. Hydrological and inundation processes are two
71 interlinked processes but they have so far been largely investigated in isolation, with hydrological outputs at
72 the catchment-scale used as inputs to surface flow routing at the upstream boundary. Linking these two sub-
73 systems using a unified hydro-inundation model is a logical step towards integrated modelling, especially
74 when multiple entry points exist at the catchment/floodplain boundary. The use of a hydro-inundation model
75 is particularly advantageous for decision makers to evaluate the impact of catchment-wide hydrological
76 processes on urban flood inundation. The role of land management scenarios (e.g. improved storage capacity
77 and improved drainage) can be tested using such models. Whilst commercial software packages already offer
78 such functions, represented by the surface water flood map produced by the EA (EA, 2013), research studies
79 coupling hydrological and inundation processes are rare, especially in urban areas. Chen *et al.* (2009) used a
80 nested approach to incorporate hourly rainfall on a 5 km grid upstream in the upstream catchment and a finer
81 rainfall field of 15-minute on a 2 km grid for hydraulic modelling in the downstream. A non-inertial model
82 was used (URM, Chen *et al.* 2007) and the focus was placed on filtering rainfall events and considering
83 future climate change scenarios derived from UKCP09 predictions. Sampson *et al.* (2013) presented a
84 modelling study of surface water flooding at a local scale (0.5 km²) with a uniform rainfall input and a
85 synthetic single point culvert surcharge using a flood inundation model (LISFLOOD-FP), focusing on: (i)
86 routing rainwater from elevated features; and (ii) comparison with commercial modelling packages.
87 Hydrological factors (e.g. infiltration and evapotranspiration) were not considered due to the solely urban
88 nature of their study site, and validation was not undertaken due to limited data availability. In this study, we
89 describe the application of a hydro-inundation model (FloodMap-HydroInundation2D) to investigate the

90 importance of urban and rural land drainage/storage capacity on flood inundation in catchment with a
 91 mixture of urban and rural areas, using the June 2007 event in the city of Kingston upon Hull, UK as the
 92 baseline simulation.

93

94 **2 Methods**

95 **2.1 The hydro-inundation model used**

96 The model (FloodMap-HydroInundation2D) is developed based on the modified version (local inertial-based)
 97 of FloodMap (Yu and Lane 2006a), which is a two-dimensional flood inundation model designed for
 98 modelling flood inundation over topographically complex floodplains. The model has been tested and
 99 verified with a range of boundary conditions and in a number of environments (Yu 2005; Yu 2010; Tayefi *et*
 100 *al.* 2007; Lane *et al.* 2008; Casas *et al.* 2010; Yin *et al.* 2013). It is modified to incorporate the key
 101 hydrological processes during an urban storm event into surface flow routing, including infiltration and
 102 evapotranspiration.

103

104 **2.1.1 Surface flow routing**

105 The 2D flood inundation model (FloodMap-Inertial) takes the same structure as the inertial model of Bates *et*
 106 *al.* (2010), but with a slightly different approach to the calculation of time step. Neglecting the convective
 107 acceleration term in the Saint-Venant equation, the momentum equation becomes:

$$108 \quad \frac{\partial q}{\partial t} + \frac{gh\partial(h+z)}{\partial x} + \frac{gn^2q^2}{R^{4/3}h} = 0 \quad (1)$$

109 Where q is the flow per unit width, g is the acceleration due to gravity, R is the hydraulic radius, z is the bed
 110 elevation, h is the water depth and n is the Manning's roughness coefficient. Discretizing the equation with
 111 respect to time produces:

$$112 \quad \frac{q_{t+\Delta t} - q_t}{\Delta t} + \frac{gh\partial(h+z)}{\partial x} + \frac{gn^2q_t^2}{h_t^{7/3}} = 0 \quad (2)$$

113 To further improve this, one of the q_t in the friction term can be replaced by $q_{t+\Delta t}$ and this gives the explicit
 114 expression of the flow at the next time step:

$$115 \quad q_{t+\Delta t} = \frac{q_t - gh_t\Delta t\left(\frac{\Delta(h_t+z)}{\Delta x}\right)}{(1 + gh_t\Delta tn^2q_t/h_t^{10/3})} \quad (3)$$

116 The flow in the x and y directions is decoupled and take the same form. Flow is evaluated at the cell edges
117 and depth at the centre.

118

119 FloodMap evaluates the flow directions in x and y for each pixel at each iteration based on the orthogonal
120 slopes. The flow rate across a cell boundary is calculated using (3) for the two directions associated with the
121 greatest orthogonal slope. Therefore, only positive flow is allowed in each direction. Net inflow is calculated
122 for each pixel based on total inflow and outflow which can then be used to update water depth for the time
123 step. Instead of using a global Courant-Freidrich-Levy Condition (where the time step for the next iteration is
124 calculated based on the maximum water depth and velocity found at the last time step e.g. Bates and De Roo
125 2000; Yu and Lane 2006a), the Forward Courant-Freidrich-Levy Condition (FCFL) approach described in
126 Yu and Lane (2011) for the diffusion-based version of FloodMap is used in the inertial model to calculate
127 time step. The maximum time step that will satisfy the CFL condition for a given wet cell is calculated as:

$$128 \quad \Delta t_{i,j} \leq \frac{w \times n \times (S_i^2 + S_j^2)^{1/4}}{d^{0.67} (S_i + S_j) + \sqrt{g \times D \times n \times (S_i^2 + S_j^2)^{1/4}}} \quad (4)$$

129 where w is the cell size, d is the effective water depths, S_i and S_j are water surface slopes, and i and j are the
130 indices for the flow direction in the x and y direction respectively. The effective water depth is defined as the
131 difference between the higher water surface elevation and the higher bed elevation of two cells that exchange
132 water. The minimum time step that satisfies the FCFL condition for all the wet cells is used as the global
133 time step for this iteration. Comparison with the ATS scheme using the analytical solution of floodplain
134 wetting over a horizontal plane used by Hunter et al. (2005), the FCFL condition was found to be less
135 constraining due to the lower exponent (0.67 as opposed to 1.67) on effective water depth in the denominator
136 (Yu and Lane 2011). As the FCFL condition is not strictly the right stability criteria for an inertial system,
137 this scheme still may not guarantee a stable solution, and thus may still produce unrealistic wave propagation.
138 The universal time step calculated with FCFL may need to be scaled further by a coefficient, the value of
139 which ranges between 0 exclusive and 1 inclusive. A scaling factor of 0.5-0.8 was found to give stable
140 solution to all the simulations carried out in this study and a scaling factor of 0.7 was used in all the
141 simulations undertaken.

142

143 2.1.2 Infiltration and Evapotranspiration

144 Infiltration over saturation is represented by the widely used Green-Ampt infiltration equation, which
145 approximates the rate of infiltration as a function of the capillary potential, porosity, hydraulic conductivity
146 and time, taking the following form:

$$147 f(t) = K_s \left(\frac{\varphi_f + h_o}{z_f} + 1 \right) \quad (5)$$

148 Where K_s is the hydraulic conductivity of the soil at field saturation, φ_f is the capillary potential across the
149 wetting front, h_o is the ponding water on the soil surface, and z_f is cumulative depth of infiltration.

150 Hydraulic conductivity is often used as a calibration parameter in hydrological studies.

151

152 Evapotranspiration is calculated using a simple seasonal sine curve for daily potential evapotranspiration
153 (Calder *et al.* 1983) with the equation below:

$$154 E_p = \overline{E_p} \left[1 + \sin \left(\frac{360i}{365} - 90 \right) \right] \quad (6)$$

155 Where $\overline{E_p}$ is the mean daily potential evapotranspiration and i is the day of the year.

156

157 For hydro-inundation modelling, the amount of evapotranspiration during storm and flooding
158 conditions is in the order of 3-5 mm/day, a small amount compared to infiltration and drainage
159 processes.

160

161 2.1.3 Drainage capacity in urban areas

162 Mass loss to the storm sewer system is considered in the model by its design capacity, usually corresponding
163 to a rainfall event of certain intensity (mm/h) and return period. If the model is applied to an extreme event
164 (defined here as a > 1 in 100 year), it is reasonable to assume that the storm sewer system drains water away
165 at the maximum design capacity. For each time step, the amount of runoff loss to the urban storm sewer
166 systems is calculated by scaling the drainage capacity (mm/hour) for the time step. Distributed drainage
167 capacity also can be incorporated into the model on a cell by cell basis. However, manholes and drains are
168 not explicitly represented in the model (e.g. Liu *et al.* 2014). Rather, the drainage capacity is considered as a
169 lump value that operates over a specific area, draining to its design capacity throughout that whole area.

170

171 2.2 Study site and the event

172 The city of Kingston upon Hull (hereafter Hull) is located on the River Hull at its junction with the Humber
173 estuary. The terrain of the city itself is low-lying, with ground elevation ranging between 2 m to 4 m AOD.
174 The mean sea level in the East coast of the UK is above AOD and the Humber estuary experiences a tidal
175 range of c.6m. Therefore, over 90% of the city is below the high tidal level. Until the mid 1960's, a system
176 of open drains and tidally operated gates drained the city, but these were replaced with a combined sewage
177 and drainage system evacuated by three large pumping stations. As a result, the drainage system for the city
178 of Hull is entirely pumped (Coulthard and Frostick, 2010). The city is protected from tidal inundation by
179 embankments and flood walls along the estuary and by a tidal barrier operating on the River Hull to prevent
180 the progression of high tides up the river that dissects the city. Following the modernisation of the drainage
181 system in the 1960's and prior to 2007, significant fluvial and coastal flooding has been absent from recent
182 history although it is anticipated that sea level rise and increased storminess might be increasing the risks of
183 coastal flooding. In 2007, the city experienced widespread flooding from a pluvial event for >>12 hours,
184 totalling 110 mm. The 25th June 2007 24-hour rainfall is estimated to be a one in 150 year (CEH Flood
185 Estimation Handbook; Yorkshire Water pers. comm., Coulthard and Frostick 2010) and greater than 1 in 200
186 years by Hanna *et al.* (2008). Antecedent conditions were wet due to a 1 in 30 year event ten days
187 previously. The 25th June flooding caused damage to over 8600 residential and 1300 businesses, and flooded
188 over 600 roads (Coulthard *et al.* 2007a). During the event, water was contained in the River Hull and it was
189 reported that only the Setting Dyke, which is an open drain to the west of the city overtopped briefly
190 (Coulthard *et al.* 2007a). Groundwater was greatly elevated but it was not found to cause flooding during this
191 event. The major cause of the flooding is surface water runoff (Halcrow 2009) both locally in the urban area
192 and through the rural lands surrounding the city. Hull has a storm sewer system with a design standard of 1
193 in 30 years (70mm/day, Coulthard *et al.* 2007a). However, due to the sheer magnitude of the 2007 event,
194 although fully functioning during the event, the storm sewer system was overwhelmed and unable to drain
195 the excess surface runoff. This event has prompted the suggestion that, for a low-lying coastal city such as
196 Hull, a one in 30-year storm sewer system is insufficient, especially in the wake of the potential climate
197 change and variability (Coulthard *et al.* 2007b). In this study, we focus on the worst hit areas to the west of
198 the city (Figure 1) where surface runoff was found to be the most severe.

199

200 *Figure 1*

201

202 **2.3 Data availability and processing**

203 **2.3.1 Topographic data**

204 Elevation data in Hull is available in the form of a high resolution (1 m) LiDAR dataset, processed by the
205 UK Environment Agency's National Centre for Environmental Data Surveillance in Twerton, Bath, to a
206 vertical precision of +/- 25 cm throughout, and +/- 15 cm in low relief areas with solid reflectance surfaces.

207

208 **2.3.2 Precipitation data**

209 Within and in the vicinity of Hull, six rainfall gauging records are available from the UK Met Office and
210 Hull University, but only one is in the city itself. The rainfall hyetographs of the stations are shown in Figure
211 2, demonstrating the spatial and temporal heterogeneity of precipitation in the records. The 24-hour
212 precipitation total ranges between 51.6 mm (Cottingham) and 119.6 mm (Winestead). Considering the
213 degree of consistency within the data records, the gauging data at the Hull University (total 110 mm) was
214 used in the modelling. It should be noted that this rainfall record is un-calibrated, and using data from a
215 single site is likely to introduce uncertainties into the representation of rainfall spatiotemporal characteristics.
216 However, given the size of the study site and the scenario-based nature of the modelling approach, this is
217 considered adequate.

218

219 *Figure 2*

220

221 **2.3.3 Observed inundation**

222 A set of observation data describing the inundation extent of the event is available, including: (i) the extent
223 of inundated areas provided by the UK Environment Agency and the Hull City Council, consisting of
224 information derived from various sources including ground survey and aerial photos; and (ii) buffer of
225 houses flooded provided by the Hull City Council. The observation data within the study area are shown in
226 Figure 3. Water depths are reported to be up to 3 m locally, but for most areas affected the depth is less than
227 1m and many properties were flooded less than 50 cm (Coulthard and Frostick 2010).

228

229 *Figure 3*

230

231 **2.4 Simulation design**

232 Digital Terrain Models (DTMs) of two resolutions (10 m and 20 m) were produced to test model sensitivity
233 to topographic resolution. The total number of pixels in each DTM is 0.9 million and 0.2 million respectively,
234 indicating the quadratic increase of the computational resources required with the fining of mesh resolution.

235

236 Roughness and hydraulic conductivity are the key parameters for model calibration. An initial screening was
237 undertaken to constrain the possible range of values for these two parameters in simulating this particular
238 flood event. A hydraulic conductivity (K_s) value of between 0.001 m/h and 0.005m/h was found to produce
239 reasonable model response. Further justification to the choice of K_s values is provided in Section 3.2 where
240 model calibration is discussed. Model sensitivity to roughness parameterisation is evaluated by varying the
241 Manning's n value (0.01, 0.02, 0.03, 0.04 and 0.05), while keeping the hydraulic conductivity at 0.005 m/h.
242 The default drainage capacity of the urban areas takes the design drainage capacity of the city, i.e. 70
243 mm/day, and that of the rural areas is set as 15 mm/day, based on the typical design capacity of 10 mm/day
244 widely used in the lower rainfall areas of the UK (Trafford, 1971). This, in combination with the mesh
245 resolution, generates 15 simulations, allowing the model response to mesh resolution and roughness
246 parameterisation to be investigated.

247

248 Land drainage and storage capacity affects the amount of surface runoff that in turn may cause flooding.
249 Improving the drainage and storage capacity through rural land management (e.g. tilting, piping and ponds)
250 and urban drainage improvement (e.g. storm sewer retro-fit, SuDS, aqua-green and underground storm water
251 storage) may result in reduced amounts of surface runoff. After testing the model sensitivity to mesh
252 resolution and calibration with roughness and hydraulic conductivity parameters, simulations were designed,
253 accounting for various urban and rural drainage and storage capacities and their combinations. Urban (80%)
254 and rural (20%) areas were delineated based on the Ordnance Survey MasterMap dataset. Drainage and
255 storage improvement scenarios were designed by considering: (i) an increase of urban drainage and storage

256 capacity to 120 mm/day at a 10 mm interval (i.e. 80 mm, 90 mm, 100 mm, 110 mm and 120 mm); and (ii)
257 improvement of rural drainage/storage capacity up to 115 mm/day at a 20 mm interval (i.e. 35 mm, 55 mm,
258 75 mm, 95 mm and 115 mm). These are summarized in Table 1. It is noted that the values of drainage and
259 storage improvement are rather optimistically designed if we consider typical drainage capacity alone (e.g.
260 30 mm/h). However, for both urban and rural environments, there is scope for innovative and ‘extreme’
261 storage improvement (e.g. Water Plazas and underground storm water storage) which will render the above
262 design drainage and storage feasible.

263

264 It should be recognized that the impact of rural land drainage on river peak flow is highly uncertain and
265 likely to be site specific, depending on the soil type, antecedent conditions and rainfall event (Blanc *et al.*
266 2012 and Robinson 1990). Interested readers could refer to the studies by Robinson (1990) and Blanc *et al.*
267 (2012) for extensive review on the impact of land drainage. As we focus on surface water flooding, measures
268 that improve land drainage and storage capacity are likely to exert a positive effect as it reduces surface
269 runoff. However, it is uncertain whether such improvement will aggravate fluvial flooding.

270

271 *Table 1*

272

273 **3 Results**

274 **3.1 Sensitivity to roughness and mesh resolution**

275 Model sensitivity to the roughness parameter is evaluated by varying the Manning’s n value (0.01, 0.02, 0.03,
276 0.04 and 0.05). Figure 4 demonstrates the model response to the variation and in terms of inundation extent
277 (Figure 4a), the model responds as expected for individual mesh resolution, with a higher roughness value
278 slowing flood propagation. An n value of 0.01 produces the largest inundation in all cases. However,
279 inundation extent differs only marginally for n values between 0.02 and 0.05, suggesting that in this
280 application the model is relatively insensitive to roughness specification. F statistic and RMSE (Figures 4b
281 and 4c) compare the temporal difference between the spatial distribution of inundated areas and water depths
282 for simulations with an n value of 0.02, 0.03, 0.04 and 0.05 using the 0.01 simulation as the reference for each
283 mesh resolution. The model becomes more sensitive when evaluated against F statistic and RMSE,
284 demonstrating the spatial and temporal variability of the predicted wetted area and depth distribution.

285

286 After a brief peak, the F statistic drops to a rather low level, suggesting a mismatch in the predicted
287 inundated areas during the initial wetting process. However, when the timing (8th hour) of the F statistic peak
288 is cross-examined with the total inundation area (Figure 4a), it can be seen that this peak is associated with
289 minor wetted area. The F value gradually picks up with the onset of surface runoff. As the peak inundation
290 occurs (c. 16:00), the F statistic reaches the highest. Model's sensitivity to roughness when evaluated using F
291 statistic suggests the varying flow velocity associated with different roughness values.

292

293 The magnitude of RMSE is relatively small (< 2.5 cm) in all cases and varies over time and is a function of
294 the roughness value. However, it should be noted that the RMSE is the aggregated depth variation from the
295 base simulation ($n=0.01$) over the study area at a particular time. Therefore, the spatial distribution of depth
296 difference is not considered explicitly. Spatial variation of the depth prediction is expected and this will be
297 illustrated further.

298

299 *Figure 4*

300

301 Figure 5 explores the model sensitivity to mesh resolution also considering the roughness parameters. When
302 the total inundation area is evaluated over time, the model is relatively insensitive to mesh size during the
303 rising limb and demonstrates a certain degree of sensitivity during the falling. However, the sensitivity to
304 mesh resolution is also a function of the roughness parameter, as roughness value increases the sensitivity
305 decreases. Sensitivity is also reflected in the F statistic, however, the correlation between mesh resolution
306 and roughness becomes notably weaker when the F statistic is used. There is a slight increase in the
307 sensitivity with the increase of roughness value when F is considered. RMSE response is more complex, but
308 consistent for n values of 0.03, 0.04 and 0.05. As F and RMSE are relative metrics, calculated against the
309 reference simulation with an n value of 0.01 for respective mesh resolution, comparing these for different
310 resolutions might not reveal the sensitivity.

311

312 *Figure 5*

313

314 3.2 Model calibration and validation

315 Given the marginal difference in the model sensitivity to mesh resolution when peak inundation is
316 considered (Figure 4a) and accounting for computational efficiency, the 20 m DTM is used in the subsequent
317 simulations. Manning's n is kept at 0.03, a value in the theoretical range of roughness specification. Whilst a
318 uniform roughness value of 0.03 simplifies the representation, given the scenario-based nature of this study,
319 it is regarded as an adequate assumption.

320

321 Due to the uncertainties in rainfall representation and drainage and storage capacity (both rural and urban),
322 soil hydraulic conductivity (K_s) was used as a calibration parameter. This compensates for the simplified
323 representation of rainfall and drainage/storage capacity and aims to produce the optimal match with the
324 observation data for the base simulation.

325

326 Soil hydraulic conductivity can be determined either use empirically-based correlation methods or through
327 in-situ hydraulic laboratory measurements. The latter is practically infeasible for urban catchments. We use
328 empirically-based methods to estimate soil hydraulic conductivity in West Hull. Such methods typically
329 associate K_s with soil properties (texture, pore-size and grain size distribution) or soil mapping units
330 (Oosterbaan and Nijland 1994). Surface deposit in Hull is characterised by alluvium and tidal flat deposits
331 comprising of clay and silt, and major soil types include stony, silty or clay loams, characterised by fine silty
332 material overlying lithoskeletal chalk usually occurring in well-drained areas (O'Donnell *et al.* 2004). The
333 K_s value for the study site is therefore determined based on the lower range of the typical K_s suggested by
334 Smedema and Rycroft (1983) and through a calibration process, during which Fit statistic is used to evaluate
335 the match in extent between the model prediction and observation (Figure 3). The final set of K_s values
336 tested include 0.001, 0.002, 0.003, 0.004 and 0.005 (m/h), covering the lower range of the K_s values
337 suggested by Smedema and Rycroft (1983), reflecting the urbanized nature of the catchment. The results are
338 shown in Figure 6.

339

340 The model was found to be very sensitive to the specification of hydraulic conductivity (Figure 6) and a
341 small variation of this parameter results in a notable change in the amount of infiltration (Figure 6b) and

342 extent of inundation (Figure 6a). The simulation with a Ks value of 0.001 is used as the reference simulation
343 and RMSE and F are calculated over time. RMSE and F statistic (Figures 6c and 6d) also demonstrate the
344 spatiotemporal variation of model predictions.

345

346 *Figure 6*

347

348 Furthermore, we decouple the main hydrological components into total rainfall, infiltration loss,
349 evapotranspiration loss and drainage loss to evaluate the temporal changes in water balance in Figure 7.

350

351 *Figure 7*

352

353 Model validation aims to reproduce the extent of inundation that best approximates the observed extent in
354 the worst-hit areas, i.e. the urban areas adjacent to the rural lands to the west of the city. A hydraulic
355 conductivity value of 0.003 m/h was found to produce the best match, with an overall F value of 35%. It
356 should be noted that given the nature of surface water flooding, the observed data are likely to underestimate
357 the extent of flooding, especially for isolated patches of flooded area. Indeed, the inundation extent collated
358 by the EA and Council differs to a large extent (Figure 3). Therefore the relatively low F value may not be a
359 good indication of the model performance. This will be further evaluated in section 4.2. The time series of
360 inundation is shown in Figure 8. The temporal sequence of inundation is reproduced well in the simulation.
361 Excess water that cannot be drained away due to the limited urban and rural drainage capacity is routed to
362 the topographic lows and accumulates to the edge of the urban areas following topographic gradients (10:00
363 Figure 8). Water then enters the worst-hit regions and propagates further into the city centre (12:00 Figure 8).
364 Water starts to recede at around 16:00 but there remain areas of inundation until late in the day (22:00
365 Figure 8).

366

367 *Figure 8*

368

369 **3.3 Effects of improved urban drainage and storage capacity**

370 One immediate question following this significant flood event is whether improved urban drainage capacity
371 through pumping could alleviate its impact. The Final Independent Report (Coulthard *et al.* 2007) on the
372 flood recommended that designs based on industry standards to protect from a 1 in 30 years storm event may
373 not be adequate and additional capacity should be considered due to potential climate change and variability.
374 The Interim Independent Report (Coulthard *et al.* 2007) commissioned by the City Council suggested that to
375 slow down the addition of water to the drainage systems, temporary reservoirs could be created. Strategic
376 interception of surface water could also be considered for routing the excess water to storage areas. In the
377 council's Surface Water Management Plan, similar measures are suggested (Hull Council 2009).

378

379 We undertook simulations to evaluate the potential impact of improved drainage and storage capacity in the
380 urban areas. Urban drainage and storage improvement scenarios consider capacity increase from the current
381 70 mm/day to 120 mm/day at a 10 mm interval. The total inundated area is shown in Figure 9a for the
382 baseline simulation and the scenarios. This is shown in comparison with the combination of: (i) a medium
383 improvement of urban and rural drainage/storage to 100 mm/day and 75 mm/day respectively (dotted red
384 line); and (ii) the optimal improvement of urban and rural drainage/storage to 120 mm/day and 115 mm/day
385 respectively (solid red line). The predicted extent for each scenario over time is compared to the baseline
386 simulation using the Fit statistic and this is shown in Figure 9b. Figure 9c shows the global derivation over
387 time for the depth prediction in each scenario compared to the baseline simulation. As expected, the total
388 inundated area decreases with the improvement of drainage capacity. An increase to 120 mm/day results in a
389 marked reduction (40%) of the peak inundation extent from the default simulation. This is also reflected in
390 the F statistic.

391

392 *Figure 9*

393

394 In terms of the predicted water depth, although the magnitude of RMSE (overall deviation from the default
395 simulation) is relatively small (Figure 9c), the spatial distribution of the depth difference suggests big
396 variations in the reduction magnitude across the study area (Figures 9). The difference is localized in places
397 where water depth is high in the default simulation.

398

399 *Figure 10*

400

401 Water depth over time is plotted (Figure 11) for: (i) discrete points along the two main flow pathways
402 leading to the urban areas (P1-P5 and P6-P10); (ii) one point at the edge of the urban area (P11); and (iii) one
403 point in the city centre (P12). Among the points, P2 and P11 are located in rural areas. Points 1-5, and points
404 6-10 follow the two main flow pathways leading to the worst-hit areas respectively. Depth profiles
405 demonstrate the rapid response to precipitation in the headwaters (P1, P2, P6 and P7), during both the rising
406 and falling limbs of the flood event. Water depth rises fast in the worst-hit areas but the receding phase is
407 prolonged as water accumulates to the local topographic lows (P3, P4, and P10). As expected, the urban
408 drainage capacity does not directly affect the point depths in the rural areas (P11), except for places that
409 urban water feeds to (P2). Sensitivity to urban drainage/storage capacity is more pronounced for points in the
410 city centre where water accumulates (P3, P4, P5, P10 and P12).

411

412 *Figure 11*

413

414 **3.4 Effects of improved rural land drainage and storage**

415 Surface water runoff from rural land adjacent to the urban settlement is the major source of flooding for
416 West Hull during the event. Upgrading the urban drainage and storage capacities may reduce flooding in the
417 city centre itself. However, it will not affect the amount of water entering the city from the adjacent rural
418 land to the west. Intercepting surface runoff from rural land is seen as a potentially useful measure for
419 managing surface flood risks in Hull (Coulthard *et al.* 2007; Hull Council 2009). Modelling work undertaken
420 in the Council's Surface Water Management Plan suggests that preventing overland flow entering the urban
421 area by means of embankments or walls could have significant benefits. Two options were explored
422 including an embankment to the west of A164 and using a golf course adjacent to the city centre as storage
423 area in conjunction with an embankment (Figure 3). Apart from creating temporary water storages on the
424 floodplain, improving land drainage and storage capacity could also be considered in conjunction with other
425 options. Instead of assessing the effectiveness of individual/combined options, we focus on their net impact
426 on the total amount of water entering the urban areas. In this way, the combined impact of measures taken in
427 the rural areas is simplified into a reduced amount of floodwater entering the urban area from various entry

428 points (Figure 8). In a similar way to the investigation of urban drainage and storage capacity, the potential
429 impact of improved rural land drainage and storage capacity was evaluated, based on five improvement
430 scenarios from 15 mm/day to 115 mm/day at a 20 mm interval. The comparison with the default simulation
431 is shown in Figure 12, alongside with the combination of: (i) a medium improvement of urban and rural
432 drainage and storage to 100 mm/day and 75 mm/day respectively; and (ii) the optimal improvement of urban
433 and rural drainage and storage to 120 mm/day and 115 mm/day respectively.

434

435 *Figure 12*

436

437 The reduction of maximum water depth with an improved rural land drainage and storage capacity from 15
438 mm/day to 55 mm/day and 115 mm/day is shown in Figures 11a and 11b respectively. A moderate
439 improvement to 55 mm/day results in notable reduction of water depth, especially in the Derringham area
440 (Figure 3). The difference becomes much more pronounced when the drainage and storage capacity of the
441 rural land is increased to 115 mm/day.

442

443 *Figure 13*

444

445 The point depth profiles over time are shown in Figure 14 for different drainage and storage improvement
446 scenarios. The patterns are as expected but none of the scenarios result in substantially reduced water depth
447 for the points investigated, except point 5.

448

449 *Figure 14*

450

451 **4 Discussion**

452 **4.1 Sensitivity analysis and model calibration**

453 Model sensitivity to mesh resolution and roughness parameter reveals an interesting model response in
454 comparison to studies in fluvial flood modelling. Yu and Lane (2006a) reported greater inundation with a
455 coarser mesh, for a relatively urban site with extended but laterally confined floodplain. In an application to a
456 small urban district considering surface flooding due to sewer surcharge, Ozdemir *et al.* (2013) found that a

457 finer mesh allows water to propagate along “channels” that form at the road edge, thus resulting in greater
458 inundation. The former finding can be explained by the simplified nature of a diffusion-based inundation
459 model, while the latter is associated with the degree of details in the representation of urban features that
460 control flow propagation. With the additional consideration of hydrological processes such as precipitation,
461 infiltration and evapotranspiration, the surface flow routing demonstrates various degrees of sensitivity to
462 mesh resolution and roughness parameter when evaluated against different metrics. The sensitivity is
463 therefore two-fold. On one hand, the model is rather insensitive to varying mesh sizes and roughness values
464 (Figure 4 and Figure 5), when the inundation area is considered. On the other, the spatial metrics (i.e. F and
465 RMSE) demonstrate much greater degree of spatial/temporal variability in the predication than the global
466 metric (i.e. total inundated area), suggesting model’s sensitivity to mesh resolution and roughness
467 specification. Figure 15 shows the prediction of maximum water depth reached for the whole study area and
468 in a subset, for the 5 m, 10 m, 50 m and 100 m mesh respectively. The “channel” effect exerted by a finer
469 mesh reported in Ozdemir *et al.* (2013) can be confirmed from this. As the inertial model used in this study
470 differs from Yu and Lane (2006a) due to the additional consideration of momentum terms in the governing
471 equation, the response to mesh resolution might change and future studies could be undertaken to explore
472 any difference.

473

474 Figure 15 also illustrates the deterioration in the details of prediction if a 50 m or 100 m DEM is used in the
475 simulation. Systematic evaluations of the sensitivity to roughness and mesh resolution for fluvial flood
476 inundation models have been undertaken in previous studies (e.g. Yu and Lane 2006a; Ozdemir *et al.* 2013).
477 However, as hydro-inundation modelling is relatively new, studies in this area are rather limited. This study
478 focuses on finer meshes for an urban site. Future studies could be directed to evaluate DEM of various mesh
479 resolution and in a range of environments, to better understand the interaction between roughness
480 parameterisation and topographical representation.

481

482 *Figure 15*

483

484 Model calibration shows that the model is highly sensitive to soil hydraulic conductivity (K_s). With a 0.001
485 m/h decrease of K_s , an average increase of 1.65 sq. km of peak inundated area is predicted (Figure 6a). This
486 is due to the amount of reduced infiltration associated with a smaller hydraulic conductivity value (Figure
487 6b). Global metric RMSE shows notable difference between simulations (Figure 6c) and spatial comparison
488 (F) of extent shows a similar trend (Figure 6d). The water balance profiles shown in Figure 7 corroborate
489 those in Figure 6, suggesting that the model is highly sensitive to hydraulic conductivity, a key parameter in
490 model calibration.

491

492 **4.2 Model evaluation and uncertainty analysis**

493 Although reconstruction of the flooding temporal sequence proved to be difficult due the fast-developing
494 nature of surface water flooding and the challenges in accounting for the temporal and spatial dynamics,
495 discrete information on the timing of flooding is available from various sources. The Hull City Council
496 reported that, from 6:00 am, calls for emergency assistance quickly reached a peak of around 100 an hour
497 and this level were sustained till 9:00 pm, with a Major Incident being declared at 09:30 am. In terms of the
498 operation of the drainage system, it was reported that the inlet penstocks to West Hull Pumping Station
499 were opened at approximately 7:00 am. Between 8:00 am and 8:15 am, the levels in the sumps for West
500 Hull pumping station rose by 6 m from approximately -1 m (Coulthard *et al.* 2007), indicating when water
501 discharged into the pumping station wells and the pumps started. It is likely that the sewers in West Hull
502 were fully surcharged when the pumps in West Hull started (Coulthard *et al.* 2007). The temporal
503 information available agrees in general with the model predictions (Figure 8). However due to the resolution
504 of the information, a statistical evaluation is not possible.

505

506 Comparisons between model predictions and observation data prove challenging due to the uncertainties in
507 both. Observation data are likely to be incomplete and uncertain due to the challenges associated with
508 gaining a full picture of pluvial flooding - which is often localized and fast-developing. This becomes
509 apparent when the inundation extents collated by the EA and Council are compared (Figure 3). Large
510 discrepancy can be noted in places. Furthermore, the accuracy of model prediction can be equally uncertain,
511 due largely to: (i) the quality of the input data, including the representation of spatial and temporal

512 characteristic of precipitation, and topography; and (ii) simplified treatment of infiltration and negligence of
513 flooding from pluvial sources (i.e. drains). Despite the relative small size of the catchment (12 km by 7 km),
514 variability in the spatial and temporal distribution of rainfall is expected. A single rainfall time series
515 immediately adjacent to the study site to the northeast is used in the simulation and it is likely that this has
516 likely introduced some errors to the representation of rainfall, especially in the rural regions to the west. The
517 use of high resolution radar-derived precipitation data might provide a more accurate representation though
518 this is not without its own uncertainties. Uncertainty is also present in the topographical data with a vertical
519 error of +/-15-20 cm in the original LiDAR dataset. Sensitivity to mesh resolution suggests that, although the
520 difference in the total inundated area is similar, the spatial and temporal distribution of the predicted wet area
521 and water depth can vary to a large extent (Figure 4). A similar conclusion can be drawn with regards to the
522 roughness specification (Figure 4) where the model is relatively sensitive to roughness when evaluated
523 against the Fit statistic but less so when evaluated against the total inundation area. Despite this sensitivity,
524 the use of 20 m DTM still captures the spatial dynamics of surface flow routing.

525

526 There are also uncertainties in the process representation. The model assumes runoff due to infiltration
527 excess dominates. Furthermore, surcharge from storm sewers is not considered by the model, but rather, a
528 drainage capacity coefficient is used to represent the effect of drainage. Errors are expected with this
529 approach, particularly at the local scale. The uncertainties involved in the process representation are offset
530 during model calibration, when soil hydraulic conductivity is adjusted aiming to reproduce the observed
531 flooded areas, with a focus on the Derringham Area. It is recognized that soil hydraulic conductivity is a
532 complex coefficient to determine, especially for an urban catchment like West Hull. However, a uniform
533 hydraulic conductivity is used in the simulations and we did not attempt to represent the spatial variation of
534 soil hydraulic conductivity due to the complexity involved in determining K_s for urban catchment and the
535 simplified nature of the model.

536

537 **4.3. Effects of urban and rural drainage and storage capacity**

538 Improvement to urban drainage and storage capacity is regarded as a potential measure to reduce the risks of
539 catastrophic pluvial flood events in Hull (Hull Council 2009). Results suggest that improving drainage and
540 storage capacity indeed could reduce the extent of inundation (Figure 9), but due to the magnitude of the

541 event and the contribution of flood water from rural land, it may not completely drain the excess surface
542 water, even with an increase of capacity to 120 mm/day. Though for localized ponding with no inflow from
543 rural land (e.g. Points 5 and 12) this increase in capacity would be effective. It should be noted that we
544 assume that the drainage system functions throughout a flood event to its full capacity. However, it is
545 possible that in many situations, the actual drainage capacity could be degraded by malfunctioning pumps or
546 blocked drains.

547

548 **4.4 Effect of improved rural land drainage and storage capacity**

549 When the rural land drainage and storage improvement scenarios are investigated, greater sensitivity is noted
550 compared to the urban improvement scenarios, both globally (Figure 13) and at discrete points along the two
551 main flow pathways (Figure 14). Comparing the scenarios of improved rural drainage/storage capacity
552 (Figure 13) with urban drainage/storage scenarios of similar magnitude, it is clear that areas adjacent to the
553 rural parts benefit most from rural intervention. These areas (e.g. Derringham Park, Figure 1) were amongst
554 the worst-hit during the 2007 flood. Mass balance analysis in Figure 9d and Figure 12d suggests that a 10
555 mm improvement in urban areas has a similar effect on water balance as a 20 mm improvement in rural areas.
556 Given the size ratio between the urban and rural areas in this case study (4:1), the rural improvement can be
557 regarded as more effective on a unit area basis. In other words, a 20 mm improvement over one-unit rural
558 area is as effective as a 10 mm improvement over four-unit urban area in reducing surface water for this
559 specific site.

560

561 Furthermore, comparing Figure 10b with Figure 13b, although similar in the capacity to reduce total volume
562 of surface water as shown in Figures 9d and 12d, a 40 mm rural improvement (Figure 13b) is significantly
563 more effective in reducing maximum flood (both depth and extent) than a 20 mm urban improvement
564 (Figure 10b).

565

566 Combining urban land drainage and storage improvement, the water depth can be reduced substantially.
567 However, none of the scenarios could reduce surface runoff completely. This is not surprising when the
568 magnitude of the flood event and the size ratio of rural to urban area (1:4) are considered. It is expected that

569 improved rural land drainage and storage capacity will become more effective for larger catchments and
570 lower-intensity rainfall events.

571

572 **4.5 Process representation**

573 The model treats the drainage capacity using a simplified approach and assumes a uniform mass loss for
574 individual pixels to represent the sewer capacity. A similar method is used by Mignot *et al.* (2006), where
575 drainage capacity is subtracted from the model-derived flow hydrographs in two inlets of an urban site to
576 represent the effect of storm sewer drainage in the upstream of the city. Although the total volume of water
577 lost to storm sewers is expected to be reasonably well represented, the temporal changes in capacity of the
578 storm sewer network at the local scale will be simplified due to the interaction at the surface/sewer
579 boundaries (manholes). Therefore, this may over- or under-estimate the amount of mass loss to the storm
580 sewer systems. Due to the intensity and magnitude of the storm simulated and observations during the flood,
581 the drainage capacity was reached early on in the event. Therefore the simulations may have overestimated
582 the mass loss to storm sewers. Further modifications to the model may use ideas from the rational or Lloyd-
583 Davies equation (Hamill 2010) widely used in the design of storm sewer systems, which takes the form of
584 $Q_p = CiA$, where Q_p is peak discharge to a sewer inlet; A is the catchment area; C is a coefficient of runoff
585 representing the characteristics of the catchment (e.g. impermeability); and i is the rainfall intensity, which is
586 calculated as the average rainfall during the time of concentration, defined as the total time required for rain
587 falling at the catchment boundary to flow to the first sewer and then carried through the sewer system to the
588 design point. The rational method is essentially a lump-model that translates rainfall into runoff based on
589 sub-catchment characteristics while relating rainfall intensity to time of concentration. The effect of
590 coefficient of runoff (C) is represented in this study in a distributed way using the combination of infiltration
591 capacity and evapotranspiration, with the former being related to land uses. Routing runoff explicitly
592 improves the representation of runoff timing. However, the use of drainage capacity on a cell-by-cell basis
593 assumes storm sewer explicitly drains rainfall at every single pixel, whilst in reality, only at certain points
594 (manhole inlets), rainfall-runoff is drained by the sewer system. As a result, overestimation of drainage loss
595 is expected with the current approach as the timing of flow through the system is not considered explicitly.
596 The extent of overestimation depends on the interplay between rainfall intensity, topographic gradient and
597 parameters used in the modelling. However, the loss overestimation should diminish if the simulation is

598 allowed to run long enough as the sewers capture the runoff (e.g. in this case study). An alternative approach
599 to the cell-by-cell representation is to consider the actual locations of manhole inlets and use empirical
600 equations to calculate the amount of water drained at the inlets.

601

602 Finally, we note that the choice of drainage capacity adopted for a particular simulation should correspond to
603 the duration of an event. For shorter duration events, the design standard corresponding to the event duration
604 should be used instead of scaling the daily design standard as it is a parameter that cannot be scaled linearly
605 with time. In this study, we used the daily drainage design standard (70mm/day in Hull) to estimate drainage
606 loss. As the rainfall lasted for most of the day (Figure 2), the daily design capacity is thought to be a valid
607 representation. Further studies could be directed to evaluate alternative approaches to representing storm
608 sewer design capacity, e.g. adopting temporally-varying hourly design capacity according to the rainfall
609 pattern observed in the rainfall hyetograph.

610

611 **5. Conclusion**

612 This paper presents the application of a simple urban hydro-inundation model, coupling hydrological
613 processes within an inertial-based surface flow routing model. After sensitivity testing and model calibration
614 using the June 2007 flood event occurred in the City of Kingston upon Hull, UK, the application focuses on
615 evaluating the effect of improved drainage and storage capacities at both the urban and rural areas.

616

617 Sensitivity analysis reveals the danger of using a global metric (e.g. inundation extent) to evaluate model
618 sensitivity, as when using inundation extent, we found that the peak inundation varies only marginally.
619 However, a comparison of distributed flood areas show the model is sensitive to both mesh resolution and
620 roughness specification. The results obtained from the combined hydrological/hydraulic modelling
621 complement previous studies on scaling issues in flood inundation modelling (e.g. Yu and Lane 2006a;
622 Ozdemir *et al.* 2013). It is expected that the degree of sensitivity to mesh resolution and roughness is also
623 associated with the topographic characteristic of the study site. With a sloped terrain, the sensitivity will
624 likely be magnified as compared to a mild sloped terrain. The model was calibrated using soil hydraulic
625 conductivity against the reported inundated areas collated from two sources (EA and Hull Council) and the
626 timeline of the event. Results highlight the challenges in validating surface water flood modelling in urban

627 areas. This is primarily due to the nature of surface water induced urban flooding. Such events are often
628 unexpected and sudden in nature, characterised by shallow water depth and local ponding. As this study
629 shows, it is therefore very important to include not only the urban areas but the rural/suburban areas that may
630 contribute to the drainage area and flooding. This study clearly illustrates how the correct parameterisation of
631 infiltration and water loss in the contributing hills west of Hull are vital for successful model performance.
632 Overall, model performance is just as strongly controlled by these rural factors as internal model parameters
633 such as roughness. This serves as an important reminder to researchers simulating urban flooding that it is
634 not just the internal parameterisation that is important, but also to use the correct inputs of water from outside
635 the area of study, the rationale that behind tightly coupling catchment hydrological processes and urban flood
636 inundation.

637

638 Future work should be directed towards obtaining high resolution and good quality observation data for
639 model validation. Calibration also highlights the needs for further improvement of the modelling approach,
640 including improved representation of drainage capacity and precipitation, and improved computational
641 efficiency to allow for finer topographic data to be used in the simulation.

642

643 The scenario-based approach used to evaluate the effect of drainage and storage capacity provides some
644 useful insight into the potential adaptation measures to surface water flooding and their effectiveness. Such
645 measures are often site-specific. This paper used a simplified parameter (i.e. drainage and storage capacity)
646 to represent the bulk effect of improved urban and rural drainage and storage capacities. Improved drainage
647 and storage capacities result in corresponding reductions of flood extent and magnitude as expected.
648 However, none of the scenarios result in complete drainage. Due to the magnitude of the flood event
649 considered and the relative size of the rural areas, the findings are therefore limited to the particular
650 catchment and event. Future studies could be undertaken to evaluate: (i) the impacts of drainage and storage
651 capacity in catchments with varying urban/rural size ratio; (ii) the response of a catchment to precipitation of
652 varying magnitude, and spatiotemporal characteristics; and (iii) the alternative measures to alleviate the
653 potential impacts of surface flood risks.

654

655 **Acknowledgements**

656 The author wishes to thank two anonymous reviewers who provided very useful and constructive comments
657 on the paper. The author also thanks the editors who processed the submission.

658

660 **Reference**

- 661 Aronica, G.T. and Lanza, L.G. 2005. Drainage efficiency in urban areas: a case study. *Hydrological*
662 *Processes*, 19(5):1105-1119.
- 663 Bates, P.D., Horritt, M., and Fewtrell, T. 2010. A simple inertial formulation of the shallow water equations
664 for efficient two-dimensional flood inundation modelling. *Journal of Hydrology*, 387(1-2): 33-45.
- 665 Blanc, J., Arthur, S., Wright, G. and Beevers, L. 2012. *Natural flood management (NFM) knowledge system:*
666 *Part 3 - The effect of land drainage on flood risk and farming practice. Scotland's Centre of Expertise*
667 *for Waters, final report*, 38pp.
- 668 Calder, I.R., Harding, R.J. and Rosier, P.T.W. 1983. An objective assessment of soil moisture deficit models.
669 *Journal of Hydrology*, 60: 329-355.
- 670 Casas, A., Lane, S.N., Yu, D. and Benito, G. 2010. A method for parameterising roughness and topographic
671 sub-grid effects in hydraulic modelling from LiDAR data. *Hydrology and Earth System Sciences*, 14(8):
672 1567-1579.
- 673 Chen, A.S., Djordjević, S., Leandro, J. and Savić, D., 2007. The urban inundation model with bidirectional
674 flow interaction between 2D overland surface and 1D sewer networks, NOVATECH 2007, Lyon,
675 France, pp. 465-472.
- 676 Chen, A.S., Djordjević, S., Fowler, H.J., Burton, A., Walsh, C., Harvey, H., Hall, J., Dawson, R. and Wood,
677 G. 2009. Pluvial flood modelling of the South East London Resilience Zone in the community
678 Resilience to Extreme Weather (CREW) Project. 44th Flood and Coastal Risk Management Conference
679 2009, Telford, UK.
- 680 Coulthard, T.J. and Frostick, L.E. 2010. The Hull floods of 2007: implications for the governance and
681 management of urban drainage systems. *Journal of Flood Risk Management*, 1-9.
- 682 Coulthard T.J., Frostick, L.E., Hardcastle, H., Jones, K., Rogers, D. and Scott, M. 2007a. *The 2007 floods in*
683 *Hull: Interim Report by the Independent Review Body*. Hull City Council, 36pp.
- 684 Coulthard T.J., Frostick, L.E., Hardcastle, H., Jones, K., Rogers, D., Scott, M. and Bankoff, G. 2007b. *The*
685 *2007 floods in Hull: Final Report by the Independent Review Body*. Hull City Council, 68pp.
- 686 Djordjević, S., Ivetić, M., Maksimović, C., and Rajcević, A. 1991. An approach to the simulation of street
687 flooding in the modeling of surcharged flow in storm sewers. *Proceedings: New Technologies in Urban*
688 *Drainage UDT*, Maksimovic, Editor, Elsevier Publishers, 101-108.
- 689 Environment Agency UK, 2013. What is the updated Flood Map for Surface Water?
- 690 Fewtrell, T.J., Duncan, A., Sampson, C., Neal, J.C. and Bates, P.D. 2011. Benchmarking urban flood models
691 of varying complexity and scale using high resolution terrestrial LiDAR data. *Physics and Chemistry of*
692 *the Earth*, 36: 281-291.
- 693 Hamill, L. 2010. *Understanding hydraulics*, 3rd edition. Palgrave and Macmillan. pp631.
- 694 Hanna, E., Mayes, J., Beswick, M., Prior, J. and Wood, L. 2008. An analysis of the extreme rainfall in
695 Yorkshire, June 2007, and its rarity. *Weather*, 63(9):253-260.

- 696 Hsu, M.H., Chen, S.H., and Chang, T.J. 2000. Inundation simulation for urban drainage basin with storm
697 sewer system. *Journal of Hydrology*, 234, 21–37.
- 698 Hull Council. 2009. *Surface Water Management Plan and Aqua Green Project*. 72pp.
- 699 Lane, S.N., Reid, S.C., Tayefi, V., Yu, D., Hardy, R.J. 2008. Reconceptualising coarse sediment delivery
700 problems in rivers as catchment-scale and diffuse. *Geomorphology*, 98(34): 227-249.
- 701 Liu, L, Liu, Y, Wang, X, Yu, D, Liu, K, Huang, H, Hu, G (2014) Developing an effective 2-D urban flood
702 inundation model for city emergency management based on cellular automata, *Nat. Hazards Earth Syst.*
703 *Sci. Discuss*, 2, pp.6173-6199.
- 704 Mark, O., Weesakul, S., Apirumanekul, C., Aroonnet, S.B., and Djordjević, S. 2004. Potential and
705 limitations of 1D modelling of urban flooding. *Journal of Hydrology*, 299(3-4): 284-299.
- 706 Mignot, E., Paquier, A. and Haider, S. 2006. Modelling floods in a dense urban area using 2D shallow water
707 equations. *Journal of Hydrology*, 327:186-199.
- 708 Oosterbaan, R.J. and Nijland H.J. 1994. Determining the saturated hydraulic conductivity. Chapter 12: In
709 Ritzema, H.P. (Ed.), *Drainage Principles and Applications*. International Institute for Land Reclamation
710 and Improvement (ILRI), Publication 16, second revised edition, Wageningen, The Netherlands.
- 711 O'Donnell, K.E., Freestone, S.E., Brown, S.E. 2004. *Geochemical baseline data for the urban area of*
712 *Kingston-upon-Hull*. Urban Geoscience and Geological Hazards Programme, Internal Report IR/02/08.
713 British Geological Survey, 61pp.
- 714 Ozdemir, H., Sampson, C., de Almeida, Gustavo A.M. and Bates, P.D. 2013. Evaluating scale and roughness
715 effects in urban flood modelling using terrestrial LIDAR data. *Hydrology and Earth System*
716 *Sciences*, 10: 5903-5942.
- 717 Robinson, M. 1990. Impact of land drainage on river flows. Institute of Hydrology, Wallingford, UK.
718 233pp.s
- 719 Schmitt, T.G., Thomas, M. and Ettrich, N. 2004. Analysis and modelling of flooding in urban drainage
720 systems. *Journal of Hydrology*, 299(3-4): 300-311.
- 721 Sampson, C., Bates, P.B., Neal, J.C. and Horritt, M.S. 2013. An automated routing methodology to enable
722 direct rainfall in high resolution shallow water models. *Hydrological Processes*, 27: 467-476.
- 723 Seyoum, S.D., Vojinovic Z., Price R.K. and Weesakul S. 2012. Coupled 1D and Noninertia 2D Flood
724 Inundation Model for Simulation of Urban Flooding. *Journal of Hydraulic Engineering*, 138: 23-24.
- 725 Tayefi, V., Lane, S.N., Hardy, R.J. and Yu, D. 2007. A comparison of one- and two-dimensional approaches
726 to modelling flood inundation over complex upland floodplains. *Hydrological Processes*, 21(23): 3190-
727 3202.
- 728 Trafford. B.D. 1971 Agricultural land drainage. Welsh Soils Discussion Group Report 12: 68-84.
- 729 Yin, J., Yu, D., Yin, Z.E., Wang, J. and Xu, S.Y. 2013. Modelling the combined impacts of sea-level rise and
730 land subsidence on storm tides induced flooding of the Huangpu River in Shanghai, China. *Climatic*
731 *Change*, 119(3-4): 919-932.
- 732 Yu, D. 2005. *Two-dimensional diffusion wave modelling of structurally complex floodplains*, Ph.D Thesis,
733 School of Geography, University of Leeds, U.K.

- 734 Yu, D. and Lane S.N. 2006a. Urban fluvial flood modelling using a two-dimensional diffusion wave
735 treatment, part 1: mesh resolution effects. *Hydrological Processes*, 20(7): 1541-1565.
- 736 Yu, D. and Lane S.N. 2006b. Urban fluvial flood modelling using a two-dimensional diffusion wave
737 treatment, part 2: development of a sub grid-scale treatment. *Hydrological Processes*, 20(7): 1567-1583.
- 738 Yu, D. 2010. Parallelization of a two-dimensional flood inundation model based on domain
739 decomposition. *Environmental Modelling and Software*, 25(8): 935-945.
- 740 Yu, D. and Lane S.N. 2011. Interaction between subgrid-scale resolution, feature representation and grid-
741 scale resolution in flood inundation modelling. *Hydrological Processes*, 25(1): 36-53.
- 742

743

744

745 List of tables

746 Table 1: Baseline simulation and scenarios with various urban and rural drainage and storage capacities.

Scenarios	Urban drainage and storage capacity (UD) (mm per day)	Rural drainage and storage capacity (RD) (mm per day)
A: Base simulation, assuming urban storm sewer system functions at its full capacity (70 mm/day) and the rural land drainage and storage has a capacity of 15 mm/day during the event.	70	15
B: Improved drainage and storage capacity in urban areas (e.g. engineering measures; swales and balancing ponds).	80	15
	90	15
	100	15
	110	15
	120	15
C: Improved rural land drainage and storage capacity (e.g. land management; flow interceptors and storage areas).	70	35
	70	55
	70	75
	70	95
	70	115
D: Combined BandC	100	75
	120	115

747

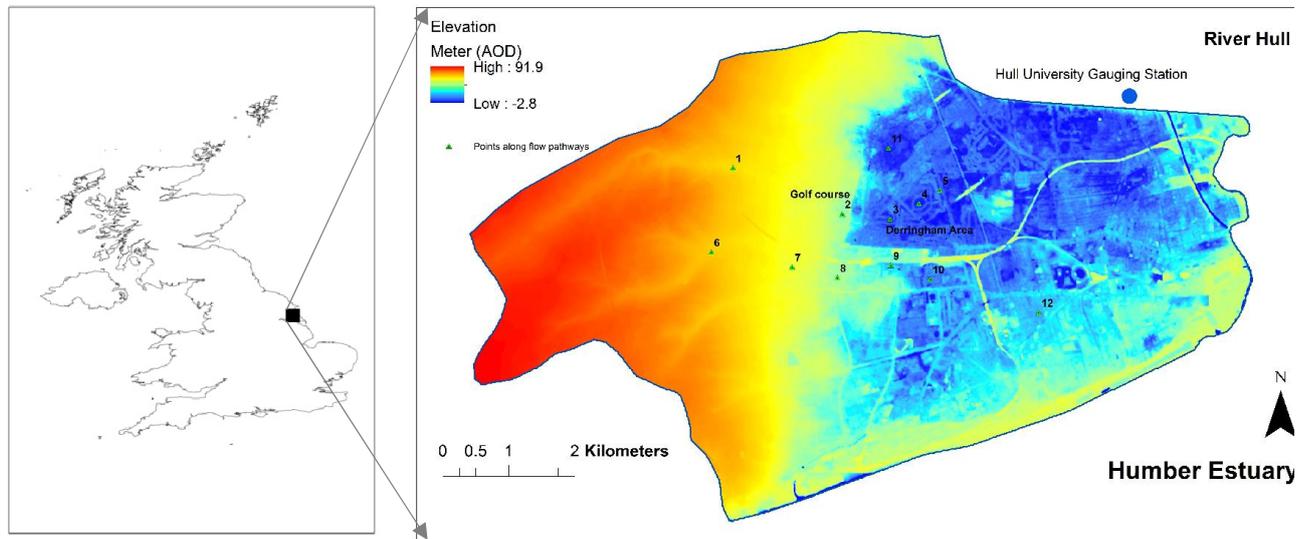
748

749

750

751 List of figures

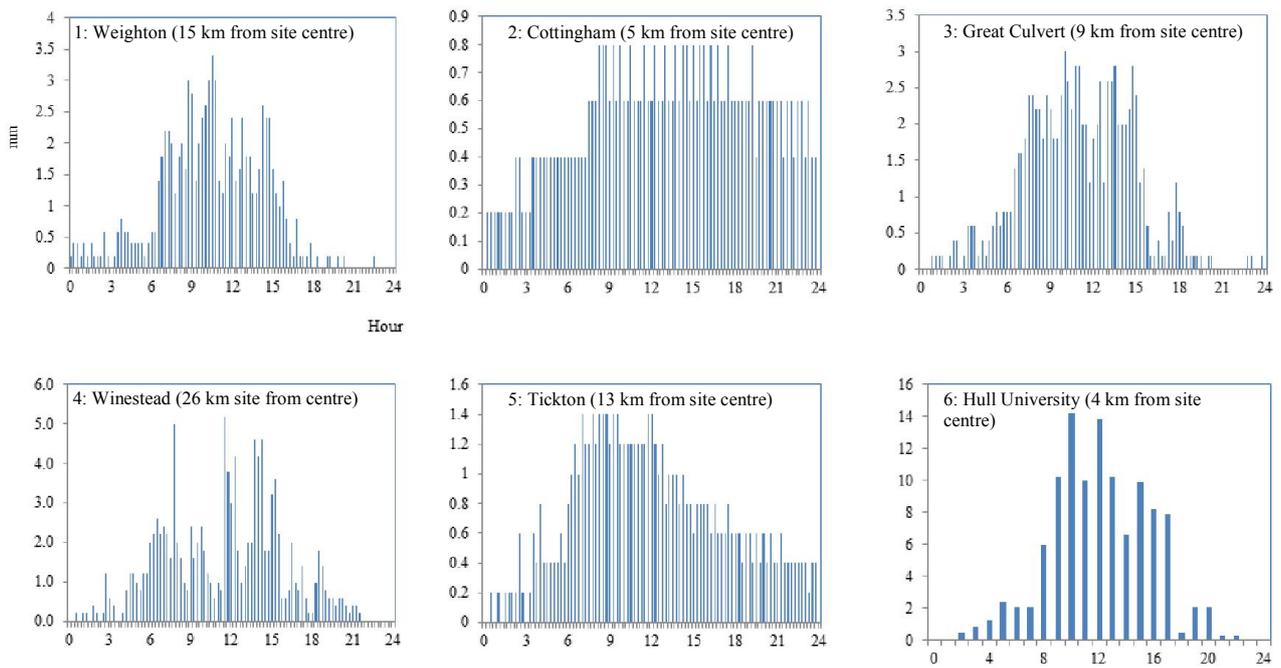
752



753 Figure 1: Digital Elevation Model of the West part of the City of Kingston upon Hull, UK and contributing catchment
754 areas. Points are locations where the depths are analysed.

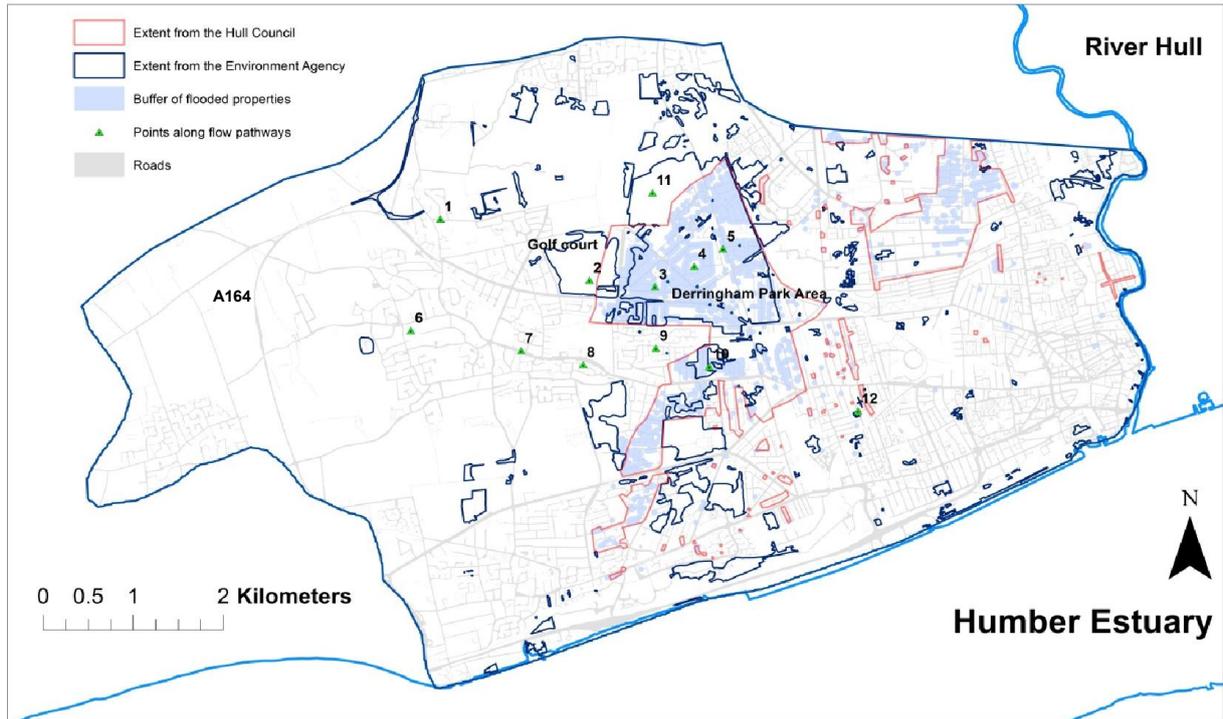
755

756



757 Figure 2: Rainfall hyetographs recorded at the gauging stations in and around the city. Unit: mm/15 minutes for sites 1-
758 5; mm/h for site 6.

759



761
762
763

Figure 3: Inundation extent derived from ground survey and aerial photos (UK Environment Agency and Hull City Council); and buffer of properties flooded (Hull City Council).

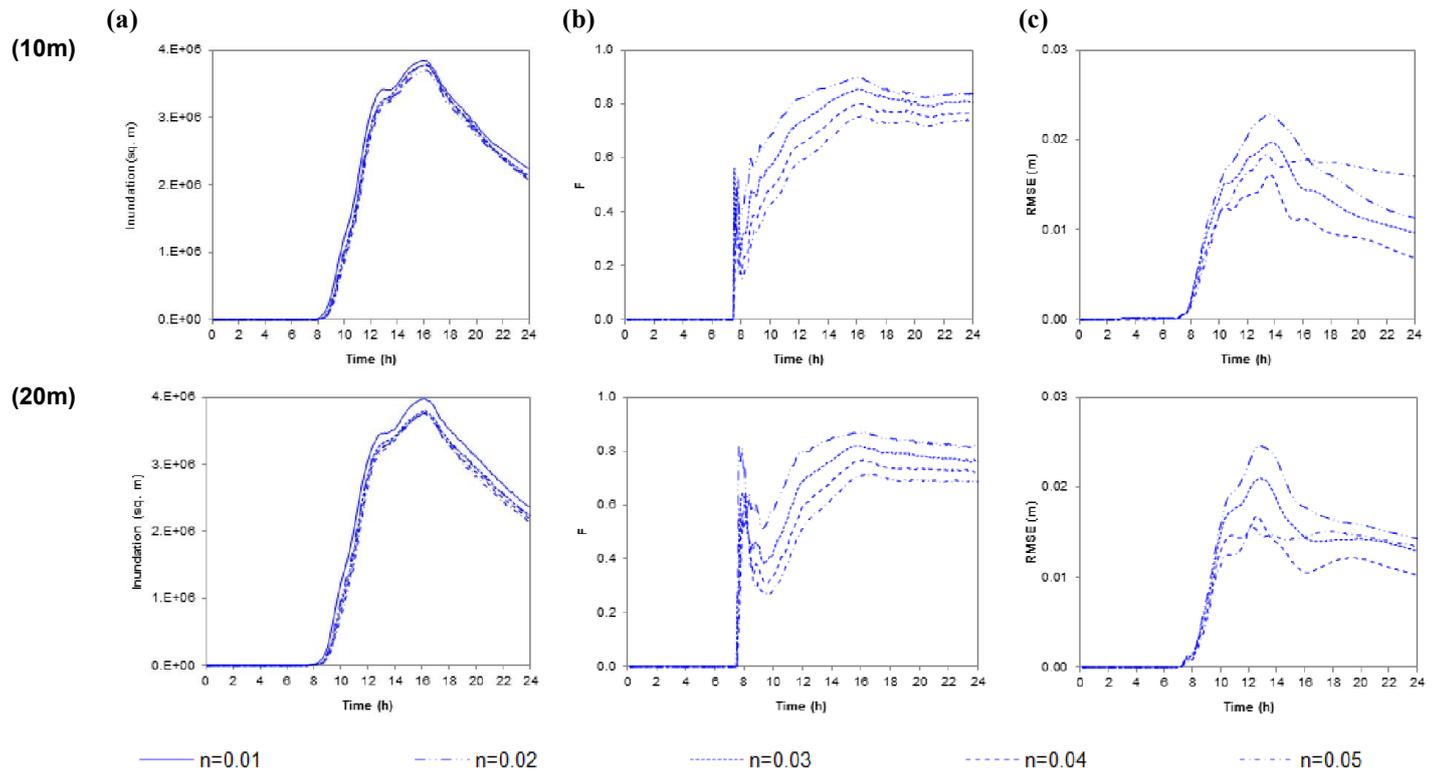
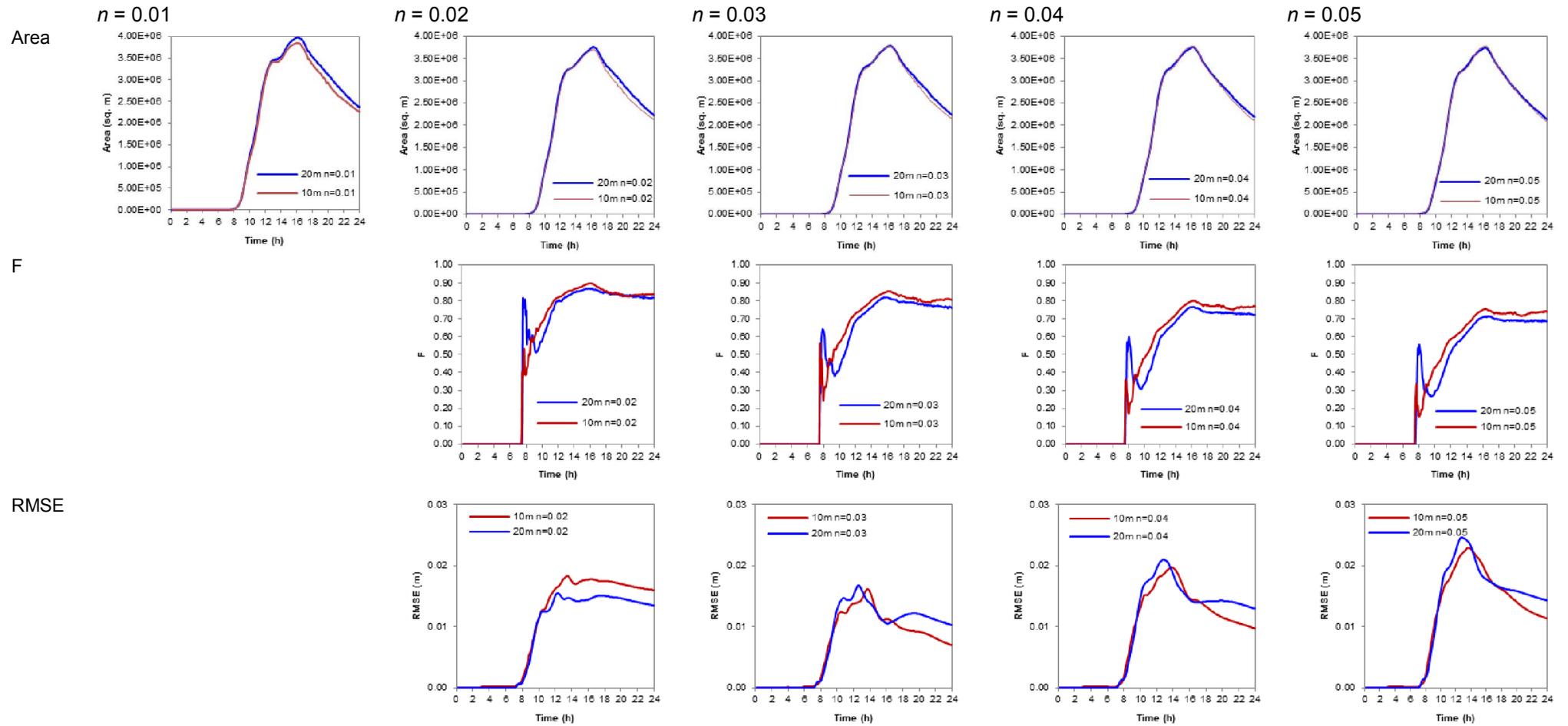
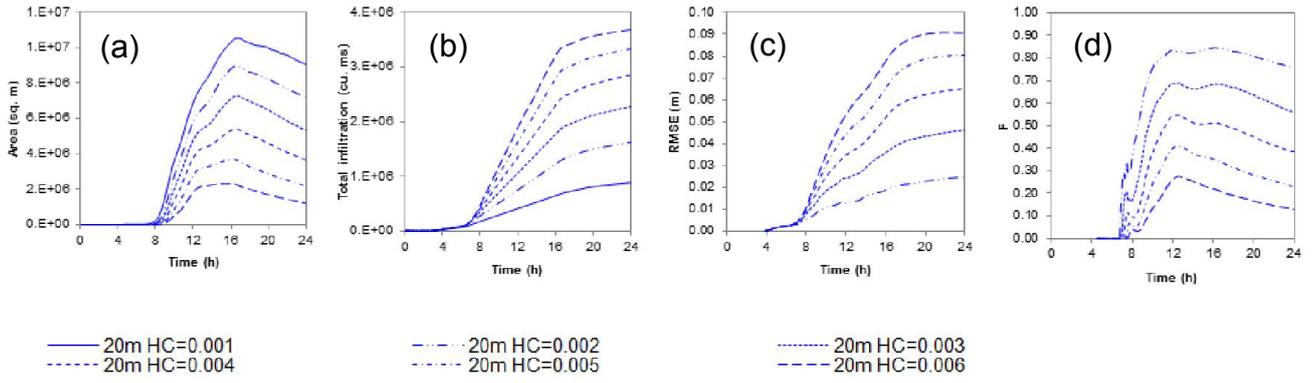


Figure 4: Sensitivity analysis to mesh resolution and roughness.

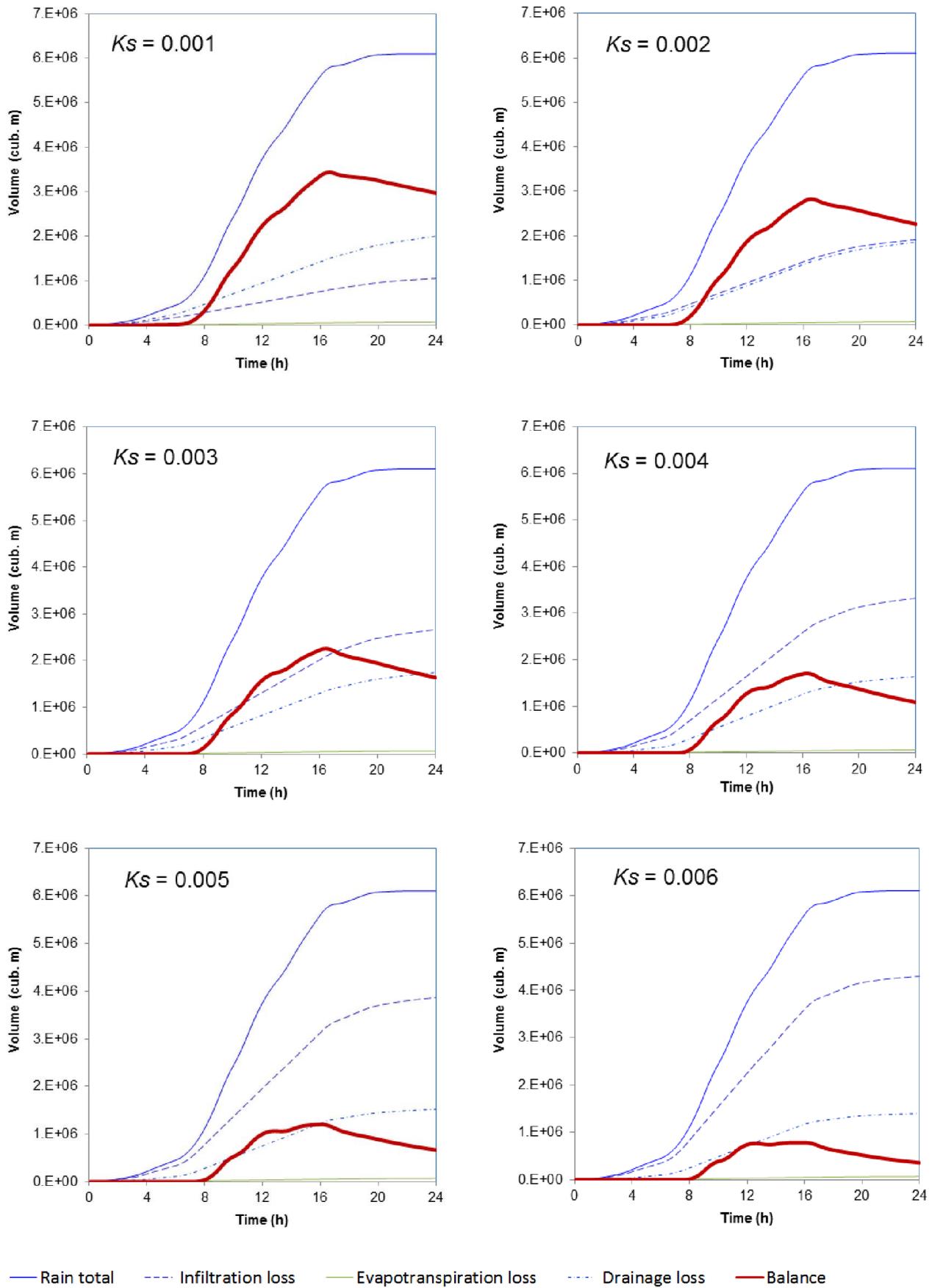


768 Figure 5: Model sensitivity to mesh resolution for different roughness values.



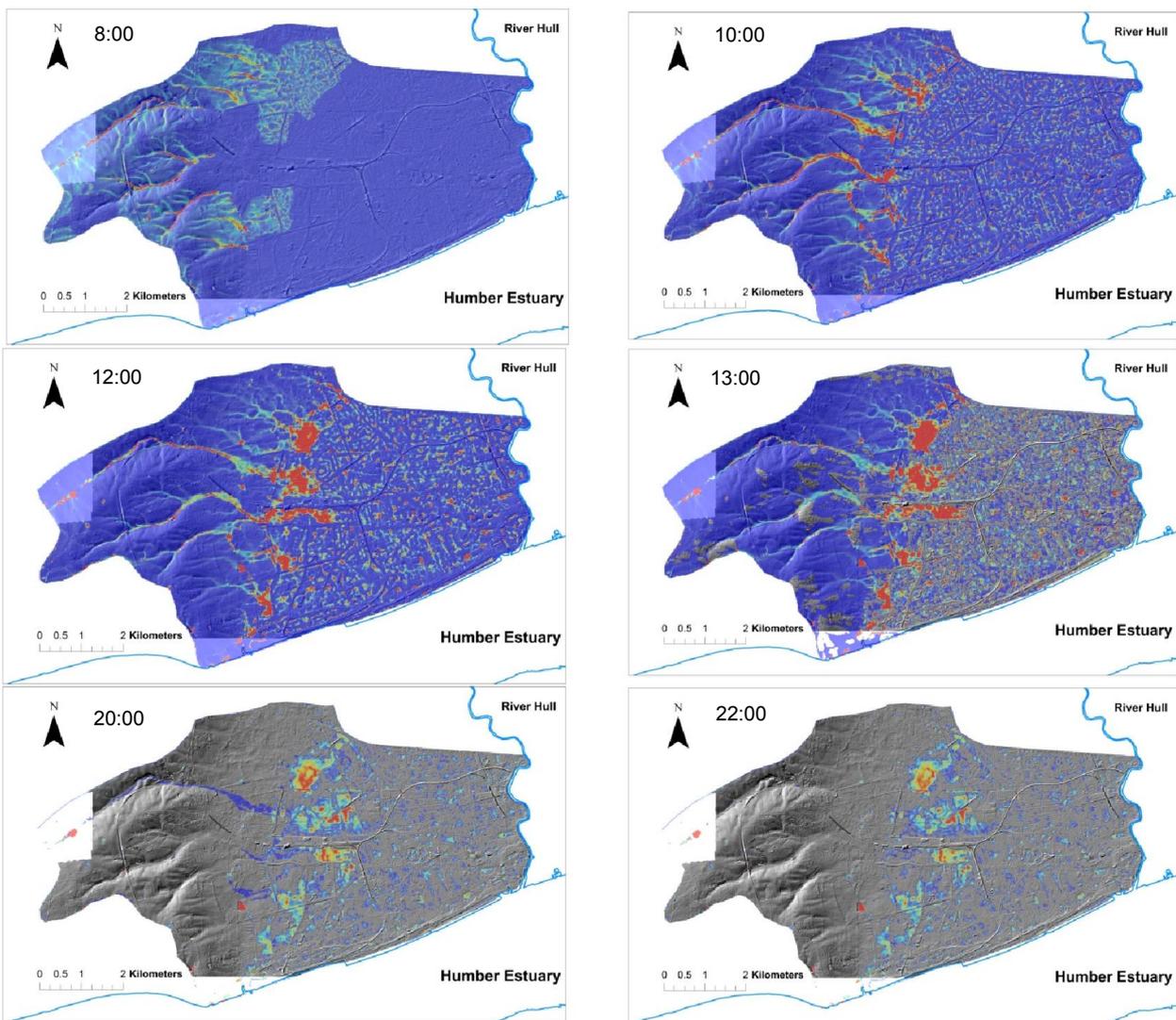
769 Figure 6: Sensitivity analysis to hydraulic conductivity.

770



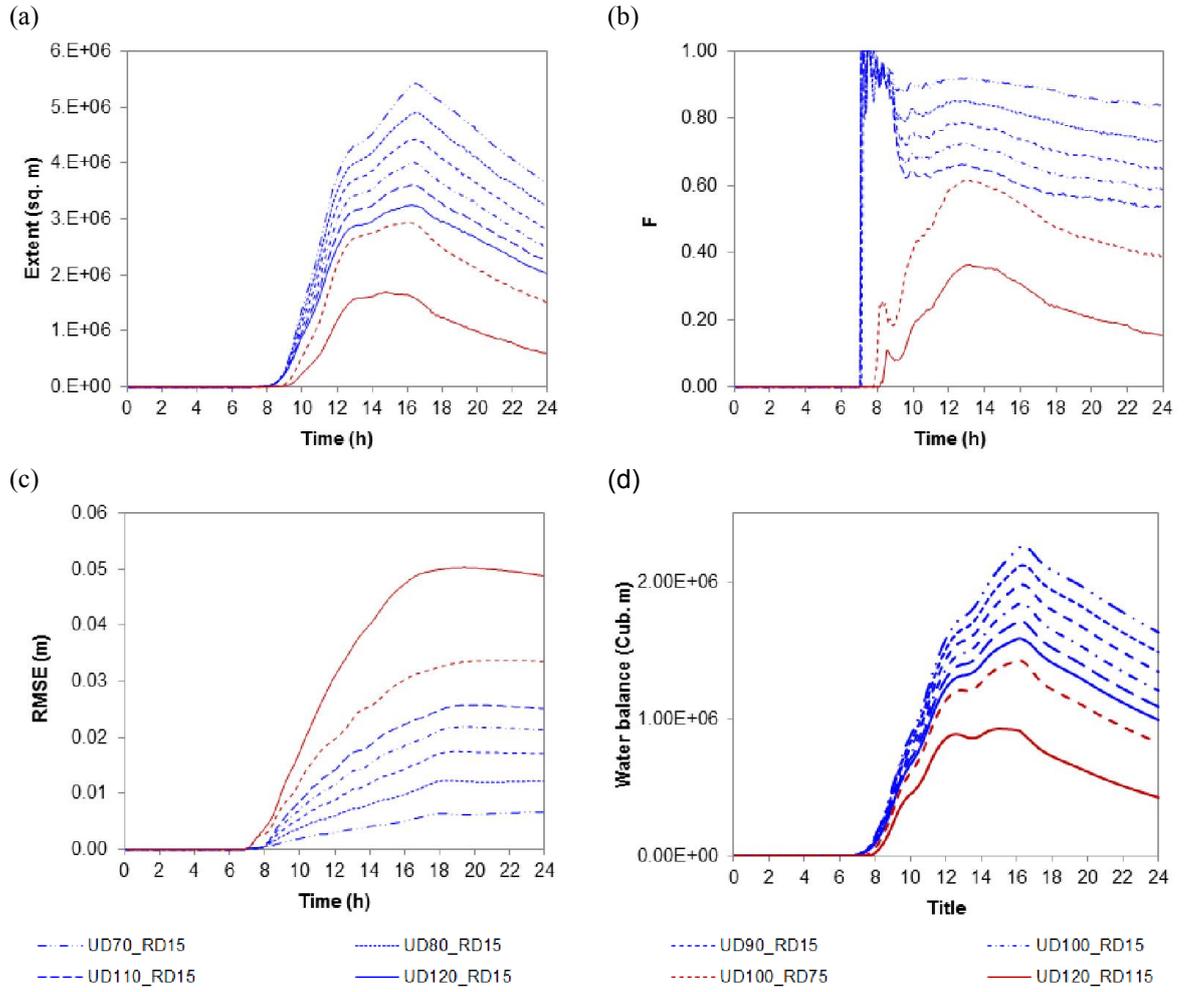
772 Figure 7: mass balance for simulations with different K_s values.

774
775



776
777

Figure 8: Time series of inundation over the study area.



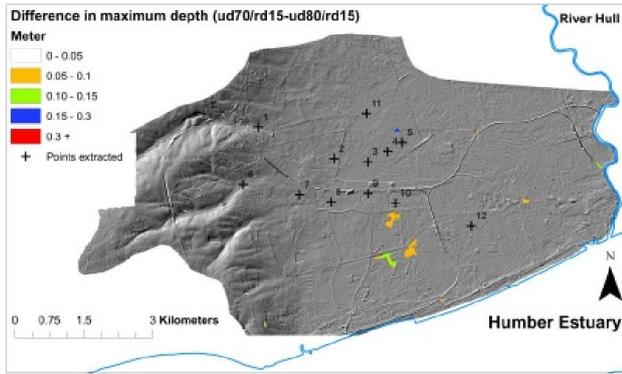
779
780
781
782

Figure 9: Impacts of improved urban drainage capacity scenarios: (a) total inundated areas; (b) F statistics compared to the base simulation (UD70/RD15); (c) RMSE compared to the base simulation (UD70/RD15); and (d) water balance for each simulation.

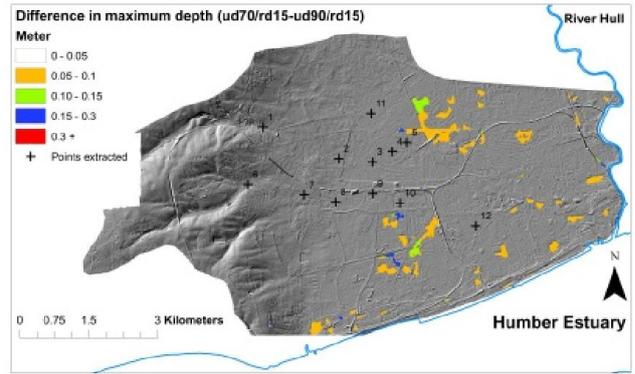
783

784

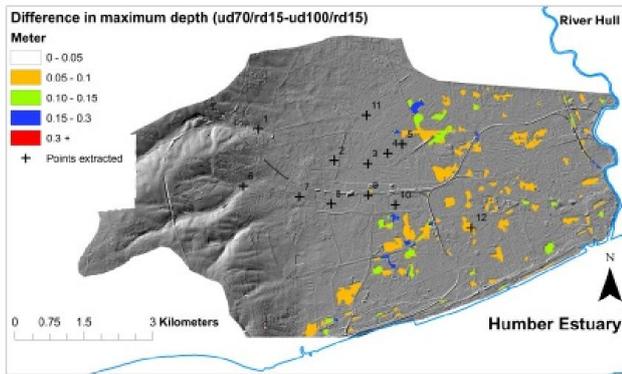
(a)



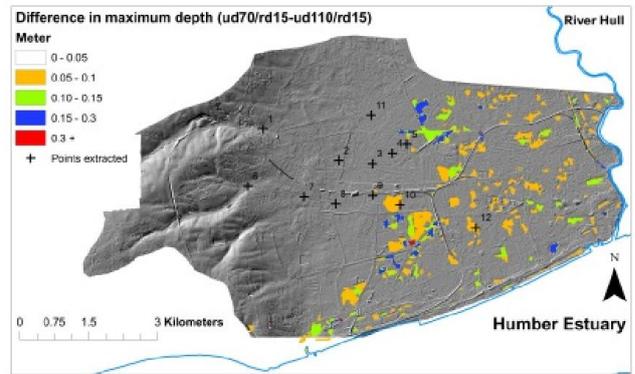
(b)



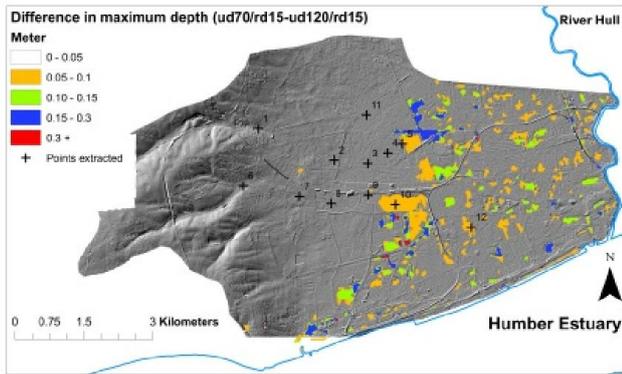
(c)



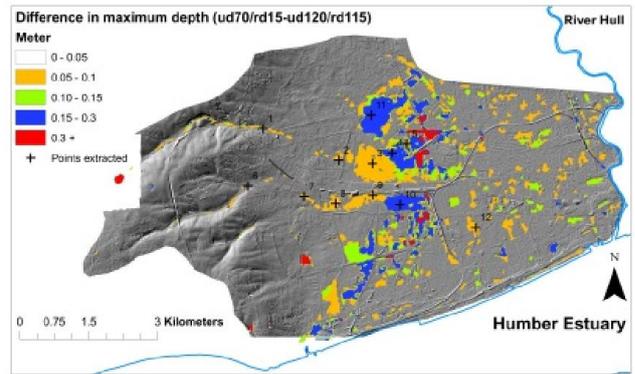
(d)



(e)



(f)



785

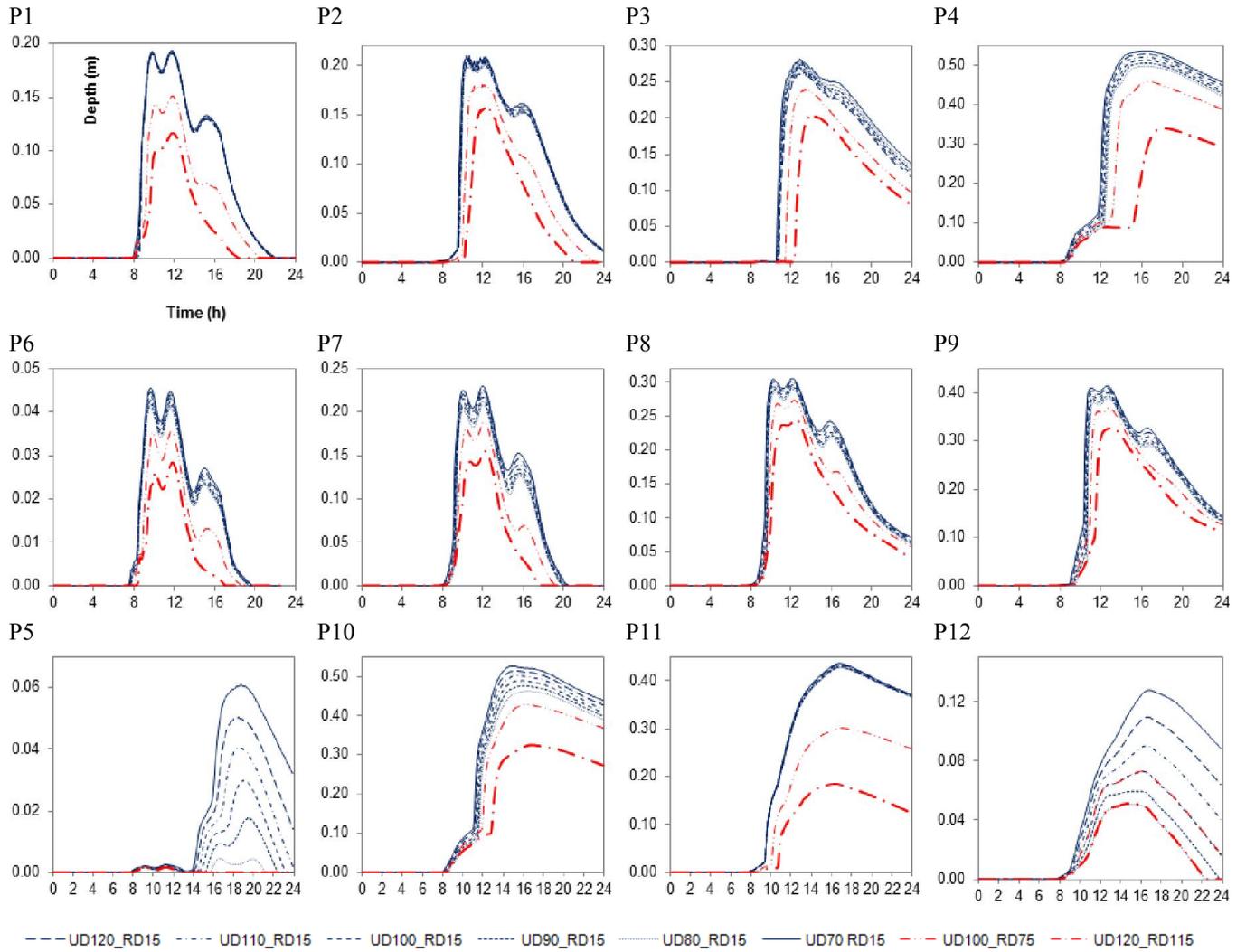
786

787

788

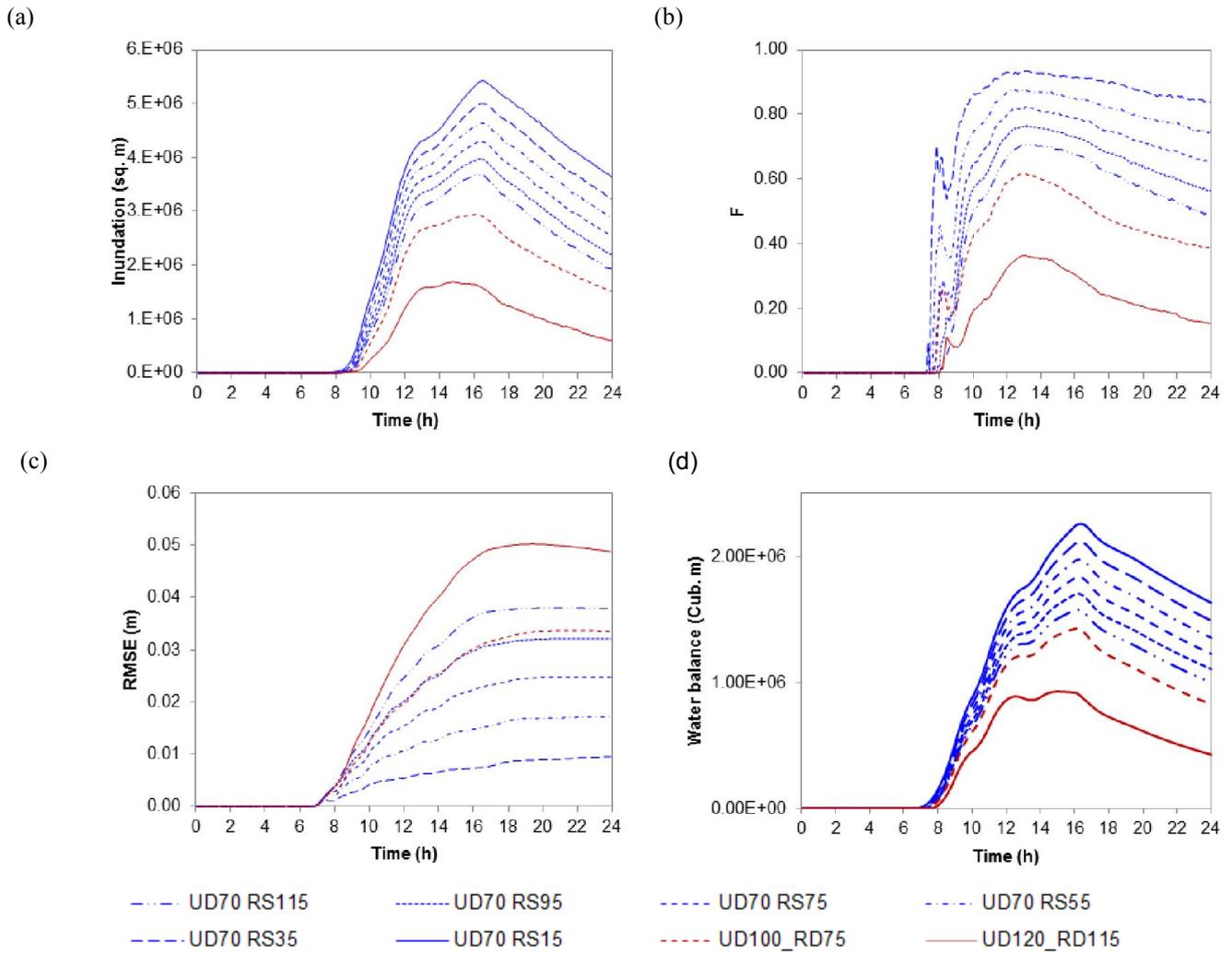
789

Figure 10: Predicted maximum water depth of the simulations with a 70 mm/day (a) and 120 mm/day (b) urban drainage capacity. Difference in the maximum water depth between the default simulation, and simulations with an improved urban drainage capacity of 120 mm/day (c).

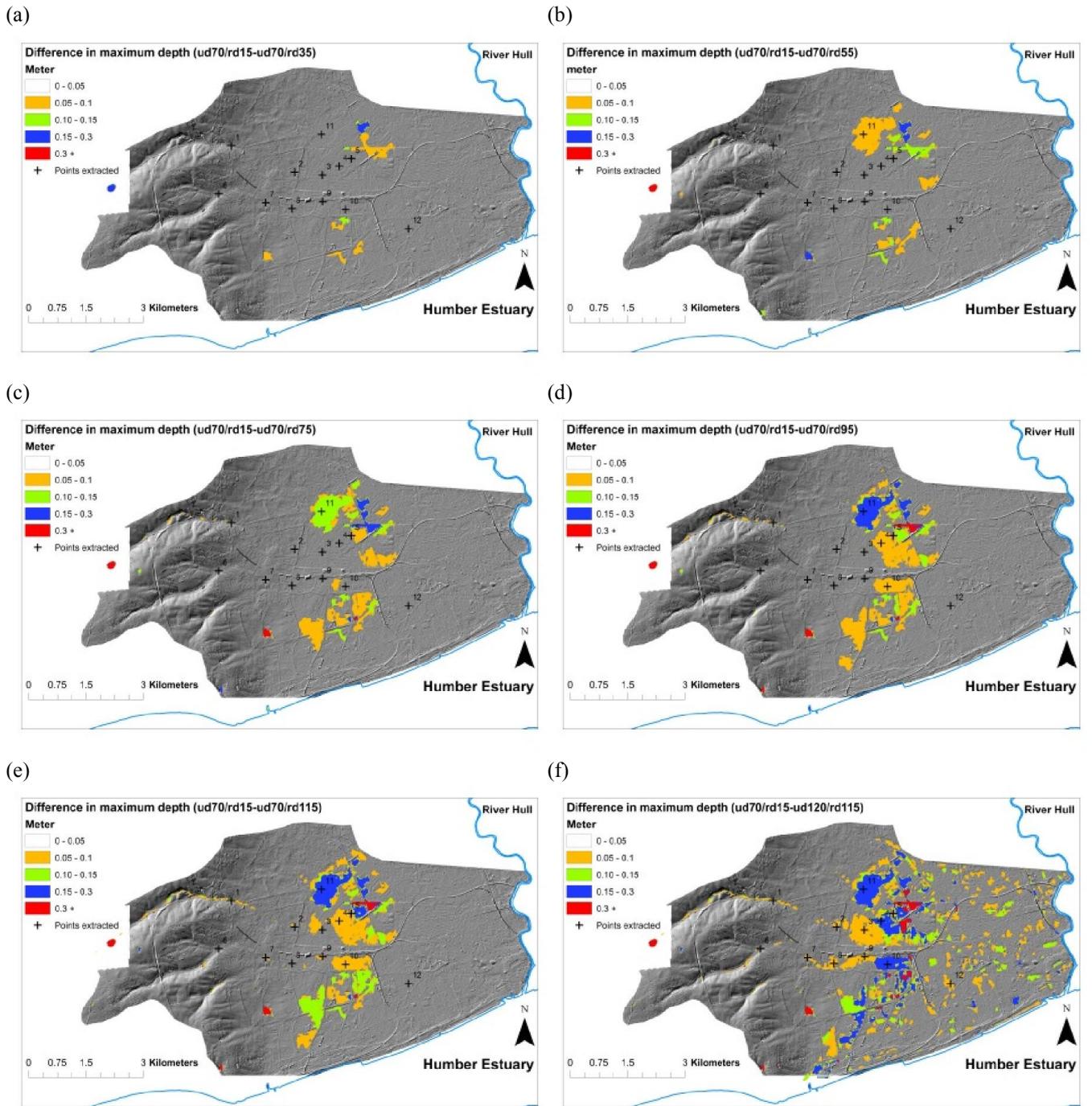


791
792
793

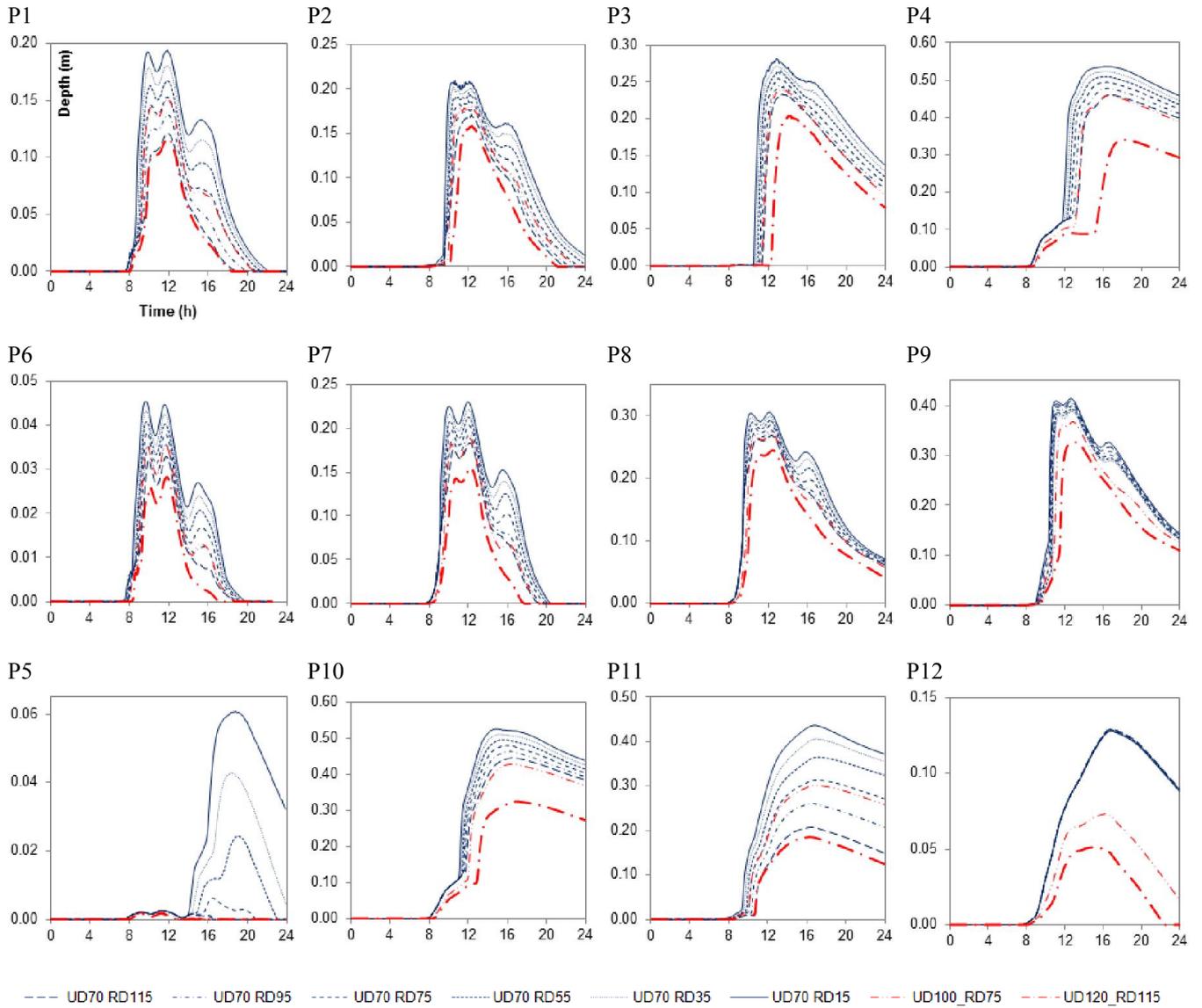
Figure 11: Time series of water depths under urban drainage/storage improvement scenarios along two flow paths (Figure 3) and at local points.



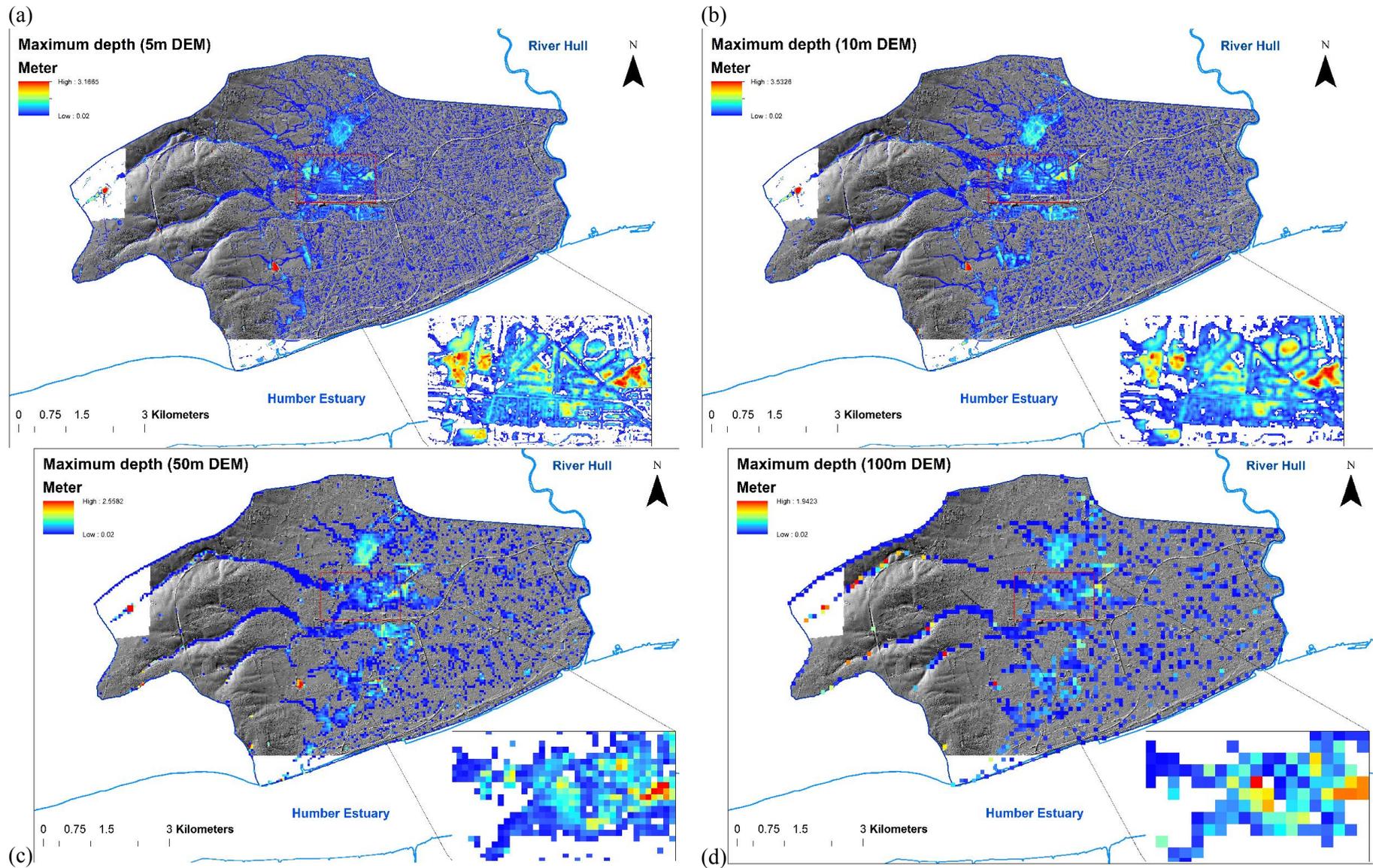
795 Figure 12: Impacts of improved rural land drainage and storage scenarios through land management: (a) total inundated
 796 areas; (b) F statistics compared to the base simulation (UD70/RD15); (c) RMSE compared to the base simulation
 797 (UD70/RD15); and (d) water balance for each simulation.
 798



800 Figure 13: Difference in the maximum water depth predicted between the default simulation and the scenarios with
 801 improved rural drainage capacity: (a) 55 mm/day; and (b) 115 mm/day.
 802



804 Figure 14: Time series of water depths under rural land management scenarios along two flow paths (Figure 3).



805 Figure 15: Effects of model resolution: (a) 5 m DTM; (b) 10 m DTM; (c) 50 m DTM, and (d) 100 m DTM.

