

1 **Handgrip strength, inflammatory markers and mortality**

2 Lee Smith,¹ PhD; Lin Yang,² PhD; Mark Hamer,^{3*} PhD

3
4 ¹The Cambridge Centre for Sport and Exercise Sciences, Anglia Ruskin University,
5 Cambridge; ²Department of Epidemiology, Medical University of Vienna, Austria; ³School
6 Sport, Exercise Health Sciences, Loughborough University, Loughborough, UK

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8 *Correspondence: Dr Lee Smith; ¹The Cambridge Centre for Sport and Exercise Sciences,
9 Anglia Ruskin University, Cambridge, UK; lee.smith@anglia.ac.uk

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20 **Abstract**

21 **Purpose:** To investigate the extent to which inflammatory markers explain the association
22 between handgrip strength and mortality.

23 **Methods:** Analyses of data from The English Longitudinal Study of Ageing. Handgrip
24 strength and inflammatory marker data (C-reactive protein and Fibrinogen) were collected at
25 baseline (2004/5) and inflammatory marker data at follow up (2012/13). Participant data were
26 linked with death records. General linear models were used to explore associations between
27 handgrip strength and inflammatory markers at follow up. Cox proportional hazards
28 regression models were used to examine associations between grip strength and risk of death.
29 Models were estimated with the covariates age, sex, wealth, physical activity, smoking,
30 depressive symptoms, long standing illness, adiposity.

31 **Results:** The sample comprised 5,240 participants (mean age 65.9 (SD 9.4) yrs; 53.8%
32 female). Over an average 9.7 ± 1.4 yrs follow up there were 650 deaths. Inverse associations
33 were evident between handgrip strength and change in inflammatory markers in women only.
34 There was an association between grip strength and lower risk of mortality in women (hazard
35 ratio = 0.85; 95% CI, 0.74, 0.98) after adjusting for age and wealth. The association was
36 attenuated after adjustment for clinical and behavioural risk factors (0.92; 0.79, 1.07), and
37 further attenuated after adjusting for inflammatory markers (0.95; 0.82, 1.11).

38 **Conclusion:** Higher grip strength is associated with lower levels of inflammation at 8-year
39 follow-up, and inflammatory markers partly explained the association between handgrip
40 strength and mortality.

41 **Keywords:** Handgrip strength, mortality, inflammatory markers, ELSA

42

43 INTRODUCTION

44 Physical activity promotes cardiorespiratory fitness and the maintenance of lean muscle mass
45 with ageing.¹ The health benefits of cardiorespiratory fitness are well established² although
46 less is known about muscle strength.^{3,4}

47 Handgrip strength is a valid measure of physical function/ performance that has been widely
48 used in observational cohort studies and clinical settings.⁵⁻⁸ Several studies have shown that
49 handgrip strength is inversely associated with risk of mortality⁹⁻¹¹ although the mechanisms
50 linking muscle strength and disease outcomes is poorly understood. One possible explanation
51 is systemic inflammation. Systemic inflammation has been shown to be associated with a
52 plethora of noncommunicable diseases (e.g. see, Ridker et al¹² and Sin et al¹³).

53 Three well studied inflammatory biomarkers include Interleukin 6 (IL-6), C-reactive protein
54 (CRP), and Fibrinogen. Briefly, IL-6 is a pleiotropic cytokine that is secreted by T cells and
55 macrophages to activate immune responses during infection or after trauma (tissue injury).¹⁴
56 CRP is produced in the liver and it is an acute phase reactant thus levels will rise when
57 inflammation is present.¹⁵ Finally, Fibrinogen is a blood plasma protein that is made in the
58 liver. It is also an acute phase reactant and levels rise when inflammation is present.¹⁶
59 Unfavourable levels of these three inflammatory biomarkers have been found to be associated
60 with several physical and mental health conditions.¹⁷⁻¹⁹

61 Inflammatory processes have also been linked with muscle atrophy (and thus weaker grip
62 strength),²⁰ and muscle tissue expresses various transcriptional co-activators that may
63 promote systemic anti-inflammatory effects.²¹ We have previously shown cross-sectional
64 associations between systematic inflammation and muscle strength,²² consistent with others.²³
65 In another study, higher levels of IL-6 and CRP were associated with a two- to three-fold
66 greater risk of losing more than 40% of grip strength over 3 years follow-up.²⁴ The current

67 literature therefore suggests that inflammation is associated with muscle strength although the
68 direction of the association is not clear.

69 We hypothesized that inflammatory processes might in part explain the association between
70 handgrip strength and mortality. The primary aim of the present study was to investigate the
71 longitudinal association between handgrip strength and inflammatory markers, and examine
72 the extent to which inflammatory markers explain the association between handgrip strength
73 and mortality.

74 **METHODS**

75 *Study sample and procedures*

76 The English Longitudinal Study of Ageing (ELSA) is a cohort study of older adults
77 previously described.²⁵ The sample was drawn from participants in the Health Survey for
78 England (HSE), an annual cross-sectional survey that is designed to monitor the health of the
79 general population. For wave 1, participants were recruited from the HSE in 1998, 1999 and
80 2001. Eligibility criteria included, membership of a participating household from HSE in
81 which at least one person had agreed to follow-up, born before 1 March 1952 and living in a
82 private household in England at the time of the first wave of fieldwork. Data on grip strength
83 and inflammatory markers was first collected at wave 2 (2004/5), and was thus used as the
84 baseline for the present analyses. Inflammatory marker data collection were repeated at wave
85 6 (2012/13) in survivors. Participants gave full informed consent to participate in the study.
86 Ethical approval was obtained from the London Multi-center Research Ethics Committee,
87 compliant with the Declaration of Helsinki.

88

89 *Clinical assessments*

90 Anthropometric data (weight, waist, hip), grip strength and blood samples were collected by
91 trained nurses. Participants were excluded from providing blood if they reported clotting and
92 bleeding disorders, or taking anti-coagulant medication, and excluded from hand grip tests if
93 they had swelling or inflammation, severe pain, or a recent injury or surgery to the hand in
94 the preceding 6 months. Handgrip strength (kg) of the dominant hand was assessed using the
95 Smedley hand-held dynamometer (Stoelting Co, IL, USA), using the average of three
96 measurements. Participants were required to hold the device at a right angle to their body and
97 exert maximum force for a couple of seconds when instructed. Successive trials were
98 alternated between dominant and non-dominant hands. Body weight was measured without
99 shoes and in light clothing using Tanita electronic scales. Waist circumference was recorded
100 twice midway between the iliac crest and lower rib and hip circumference around the widest
101 portion of the buttocks using measuring tape. Central obesity was defined using waist to hip
102 ratio (WHR) World Health Organization criteria ($WHR \geq 0.85$ in women and $WHR \geq 0.90$ in
103 men). Blood samples were analyzed for high sensitivity C-reactive protein (CRP) and
104 fibrinogen, described elsewhere.²⁶

105

106 *Mortality*

107 The individual participant data were linked with death records from National Health Service
108 registries for all consenting respondents (96.5% of the sample) up to February 2012.

109

110 *Covariates*

111 Trained interviewers asked questions on cigarette smoking (current, ex-smoker or non-
112 smoker), frequency of vigorous, moderate- and low-intensity physical activity (> once a
113 week, once a week, 1 – 3 times a month, and hardly ever/never), chronic illnesses (at least
114 one vs. none), and depressive symptoms (using a score >3 on the 8-item Centre of

115 Epidemiological Studies Depression scale).²⁷ Wealth was self-reported, comprising of the
116 total value of the participant's home (excluding mortgage), financial assets such as savings,
117 business assets, and physical wealth such as artwork or jewelry, which has been shown to
118 best capture the material resources available to older adults.²⁸ Wealth was grouped into
119 quintiles relative to the ELSA sample.

120

121 *Statistical analysis*

122 Handgrip was standardised for body mass (as the two variables were moderately correlated,
123 $r>0.40$) and treated as a continuous variable using the standardised score. Inflammatory
124 markers were examined for normality and log transformed where appropriate. In all analysis
125 we ran models separately for men and women as handgrip strength was greater in men. We
126 used general linear models to explore longitudinal associations between handgrip strength
127 and change in inflammatory markers. Models were adjusted for age, sex, levels of
128 inflammatory marker at baseline, wealth, physical activity, smoking, depressive symptoms,
129 long standing illness, WHR.

130 Cox proportional hazards regression models were employed to examine associations between
131 grip strength and death. Years were the time scale for the follow-up calculated from age at
132 death, and for participants with no record of an event, the data were censored at February
133 2012. Plots of the Nelson-Aalen cumulative hazard estimates were examined to assess the
134 proportional hazards assumption. We estimated models that initially contained the covariates
135 age, sex, wealth (model 1), with further adjustment for physical activity, smoking, depressive
136 symptoms, long standing illness, WHR (model 2). These covariates were considered as
137 confounders and selected a priori based on previous literature.²⁹⁻³¹ We then added
138 inflammatory markers (log CRP, fibrinogen) as continuous variables to theoretically test for
139 mediation. In these mediation analyses we primarily focused on the change in effect estimate

140 and confidence intervals as “statistical significance” is less relevant.³³ In sensitivity analyses
141 we removed participants with activity limiting illnesses to examine if reverse causation was
142 driving the results. All analyses were conducted using SPSS version 22 (SPSS, Chicago, IL).

143 **RESULTS**

144 At baseline 5,812 participants provided a blood sample and data on hand grip strength. After
145 removing participants not consenting to mortality linkage (n=138) and those with missing
146 covariates (n=434) the analytic sample for survival analyses comprised 5,240 participants
147 (mean age 65.9 (SD 9.4) yrs; 53.8% female). In the longitudinal analyses that modelled
148 change in inflammatory markers we further excluded participants that died through follow up
149 (n=650) and those that did not provide blood samples at 8 years follow up (n=1,885), leaving
150 an analytic sample of 2,705 participants (age 63.3±7.8 yrs; 55.2% female).

151 At baseline the mean handgrip strength of dominant hand was 38.4±9.7 kg (men) and
152 22.5±6.6 kg (women); normalised for body mass 0.47±0.12 (men) and 0.33±.10 (women).
153 Participants in the highest hand grip strength tertiles were younger, more vigorously active,
154 more affluent, reported less illness and depressive symptoms, and recorded lower prevalence
155 of central obesity (Table 1).

156 In longitudinal analyses that examined baseline grip strength and change in inflammatory
157 markers over 8 years follow up inverse associations were evident with both fibrinogen and
158 CRP in women only (Table 2). This was the case in fully adjusted analyses and also among
159 the pooled sample of men and women. In men, the inverse associations between grip strength
160 and change in inflammatory markers were evident in age adjusted models but did not persist
161 in models adjusted for all covariates.

162 Over an average 9.7 ± 1.4 yrs follow up there were 650 deaths. There was an association
163 between baseline grip strength and lower risk of mortality in women (hazard ratio [per SD
164 increase in grip strength] = 0.85; 95% CI, 0.74, 0.98) after adjusting for age and wealth; no
165 associations were seen in men (0.94; 0.83, 1.06) (Table 3). The association was somewhat
166 attenuated after adjustment for clinical and behavioural risk factors, and further attenuated
167 after adjusting for inflammatory markers. In mutually adjusted models the covariates
168 associated with mortality included longstanding illness, physical inactivity, smoking, and
169 CRP (Table S1, SDC, association between clinical and behavioural covariates with
170 mortality).

171 In sensitivity analyses we repeated the survival models after removal of participants who
172 reported that their illness/disability limited their activity (n=1,409). The effect estimates
173 remained largely unchanged albeit confidence intervals became wider as a result of reduced
174 numbers (Table S2, SDC, the extent to which baseline clinical and biological factors explain
175 associations between handgrip and mortality (excluding participants with activity limiting
176 illnesses at baseline)).

177 **DISCUSSION**

178 In this population-based sample of older English adults, those with higher levels of grip
179 strength were significantly more likely to have lower levels of systemic inflammatory
180 markers, CRP and Fibrinogen, at follow-up. This association was seen in females, only. Grip
181 strength was inversely associated with risk of mortality in females only. However, this
182 association was attenuated to the null after adjustment for clinical and behavioural risk
183 factors, and further attenuated after adjusting for inflammatory markers.

184 Our findings support previous research on the association between grip strength and
185 inflammatory markers^{34,35} and add to this body of work by showing that longitudinal

186 associations persist when controlling for a range of clinical and behavioural risk factors
187 including measures of central adiposity.

188 One plausible explanation for the association between grip strength and inflammation is that
189 muscle mass is a predictor of greater grip strength and those who partake in regular exercise
190 tend to have greater muscle mass. Exercise using large muscle groups produces a short-term,
191 inflammatory response, and both cross-sectional comparisons and longitudinal exercise
192 training studies demonstrate a long-term “anti-inflammatory” effect.³⁶ Models in the present
193 study controlled for levels of physical activity, though the types of activities (aerobics vs.
194 resistance training) were not clearly separated. One other possible explanation is that high
195 levels of circulating inflammatory markers and/or cytokines are associated with low muscle
196 mass per se in older and obese people thus suggesting that muscle itself may play a role in
197 regulating inflammatory markers.³⁷⁻³⁹

198 It is feasible that inflammatory markers could be exercising their effect through a
199 combination of lifestyle and behavioural factors; indeed adding inflammatory markers
200 directly to Model 1, (Table 3) in women closely mimicked (HR=0.91; 95% CI, 0.79 - 1.05)
201 the effect of adding lifestyle / behavioural covariates. The association of grip strength with
202 inflammation and mortality was more robust in females, which is consistent with other
203 findings.^{22,40} Recent meta-analytic data showed grip strength was associated with reduced
204 mortality in both genders, although the association was stronger in women.⁴¹ Our sex specific
205 findings are unremarkable as the aging process particularly affects women, such that women
206 are more susceptible to sarcopenia than men.^{42,43} In our own sample, the proportion of men
207 having grip strength above the gender specific sarcopenia diagnosis cut-off is higher
208 (90.5% \geq 26kg) than that of women (84.8% \geq 16kg).⁴⁴ Furthermore, male and female
209 hormones modulate the immune system differently, thus resulting in differential age-related

210 pathologies.⁴⁵ Therefore, muscle strength might be particularly important for women in
211 regulating chronic inflammation.⁴⁶

212 Clear strengths of the present study include the large population-based sample of older
213 English adults, the longitudinal design, the objective measure of both the exposure and the
214 outcome, and repeated measure of inflammatory biomarkers. However, the data must be
215 interpreted considering the following limitations. Data on skeletal muscle mass were not
216 available in the present cohort and we relied on measurements of muscle strength alone.
217 While lean mass and strength (muscle quality) may not decline at the same rate, loss of lean
218 mass is strongly associated with strength decline in both men and women.⁴⁷ In our study, grip
219 strength was standardized for body weight in the analyses. This approach allows us to
220 account for muscle strength driven by body composition. There are ongoing discussions on
221 how to standardize the procedure and utilization of grip strength measures in clinical and
222 epidemiological studies, particularly in the ageing population.⁴⁸ Although a unified method is
223 yet to be developed, our approach of body weight standardized grip strength has shown to be
224 a superior technique in the aging population.^{49,50} We were unable to account for underlying
225 disease that were not detected through our measures; however, the results were largely
226 unchanged after excluding participants with activity limiting illnesses suggesting reverse
227 causation is an unlikely interpretation of our data. Participants retained in our analyses were
228 generally healthier than the overall sample (driven by our exclusion criteria for blood and
229 handgrip tests), thus our results are likely to reflect a conservative estimate of the true
230 associations. Since the data are observational we cannot exclude the possibility of residual
231 confounding. Nevertheless, recent evidence using Mendelian randomisation approaches
232 suggested genetic correlations of grip strength with cardiometabolic traits and markers of
233 frailty.⁵¹ Our study provides evidence on plausible biological pathways linking strength and
234 mortality thus further strengthening causal inferences.

235 **Perspective**

236 The present longitudinal analyses suggest that higher grip strength is associated with lower
237 levels of inflammation at 8-year follow-up, and inflammatory markers partly explain the
238 association between handgrip and mortality. Interventions designed to prevent a decline in
239 physical functioning in females, especially, may improve inflammatory profiles and health.
240 Such interventions may want to focus on functional task exercises as opposed to resistance
241 exercises as functional task exercises have been shown to be more effective at improving
242 functional task performance. Moreover, other literature suggests that functional task exercises
243 may have an important role in helping maintain an independent lifestyle.⁵²

244 **Authors contribution Statement**

245 Hamer is the guarantor; he conceptualized and designed the study, performed statistical
246 analyses, and approved the final manuscript as submitted. Smith conceptualized and designed
247 the study, drafted the initial manuscript, and approved the final manuscript as submitted;
248 Yang conceptualized and designed the study, provided critical revision of the manuscript and
249 approved the final manuscript as submitted.

250 **Conflicts of Interest**

251 The authors have no conflicts of interest. The results of the present study do not constitute
252 endorsement by ACSM and are presented clearly, honestly, and without fabrication,
253 falsification, or inappropriate data manipulation

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409 **Table 1.** Baseline descriptive characteristics by sex specific handgrip tertiles.

Baseline variable	Sex specific handgrip tertile‡		
	Low	Middle	High
Age (yrs; mean, SD)	70.6 ± 10.3	65.8 ± 9.0	62.3 ± 7.8
Smoking (%)	14.2	14.4	18.6
Vigorous physical activity (%)	18.8	29.3	41.2
Highest wealth quintile (%)	17.4	24.2	28.5
Longstanding illness (%)	66.0	49.9	41.7
Depressive symptoms (%)	18.8	11.9	10.2
Central obesity (%)	68.0	56.9	23.7
C-reactive protein (mg/L)†	2.8 (4.3)	2.0 (3.0)	1.3 (2.2)
Fibrinogen (g/L)†	3.3 (0.9)	3.2 (0.9)	3.0 (0.8)

410 ‡ grip strength standardised for body weight values; lowest tertile (<0.42 men, <0.28 women),

411 middle (0.42 – 0.52 men, 0.28 – 0.37 women), highest (>0.52 men, >0.37 women).

412 † data are median (interquartile range)

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423 **Table 2.** Longitudinal association between grip strength and inflammatory markers over 8 years
 424 follow up: English Longitudinal Study Ageing

	Log C-reactive protein	
	Model 1	Model 2
Whole sample (n=2705)	-0.060 (-0.092, -0.028)	-0.049 (-0.083, -0.015)
Men (n=1211)	-0.046 (-0.087, -0.006)	-0.038 (-0.082, 0.006)
Women (n=1494)	-0.068 (-0.11, -0.030)	-0.055 (-0.095, -0.015)
	Fibrinogen	
	Model 1	Model 2
Whole sample (n=2624)	-0.053 (-0.077, -0.029)	-0.044 (-0.070, -0.019)
Men (n=1168)	-0.041 (-0.073, -0.009)	-0.026 (-0.060, 0.008)
Women (n=1456)	-0.053 (-0.081, -0.025)	-0.046 (-0.075, -0.016)

425 B (95% CI) coefficients per SD increase in grip strength standardised for body weight

426 Model 1 adjusted for age, sex and CRP or fibrinogen at baseline (sex adjustment excluded for sex
 427 specific models).

428 Model 2 adjusted for age, sex, CRP or fibrinogen at baseline, waist-hip-ratio, smoking, physical
 429 activity, depressive symptoms, chronic illness, wealth.

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434 **Table 3.** The extent to which baseline clinical and biological factors explain associations between
 435 handgrip and mortality

	Model 1 HR (95% CI)	Model 2 HR (95% CI)	Model 3 HR (95% CI)
Whole sample (n=5240; 650 events)	0.87 (0.78, 0.97)	0.90 (0.80, 1.00)	0.93 (0.82, 1.04)
Men (n=2422; 355 events)	0.94 (0.83, 1.06)	0.93 (0.82, 1.06)	0.95 (0.84, 1.08)
Women (n=2818; 295 events)	0.85 (0.74, 0.98)	0.92 (0.79, 1.07)	0.95 (0.82, 1.11)

436 Hazard ratio, HR, (95% CI) for risk of mortality per SD increase in grip strength standardised for body
 437 weight.

438 Model 1: adjusted for age, sex, wealth (sex adjustment excluded for sex specific models);

439 Model 2: further adjusted for clinical and behavioural covariates; smoking, physical activity, chronic
 440 illness, central obesity, depressive symptoms

441 Model 3: further adjusted for C-reactive protein, fibrinogen

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