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3 **Impact of data aggregation approaches on the relationships**
4 **between operating speed and traffic safety**
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1 **Impact of data aggregation approaches on the relationships** 2 **between operating speed and traffic safety**

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5 **Abstract:** The impact of operating speed on traffic crash occurrence has been a
6 controversial topic in the traffic safety discipline as some studies reported a positive
7 association whereas others indicated a negative relationship between speed and
8 crashes. Two major issues thought to be accountable for such conflicting findings are
9 the application of inappropriate statistical methods and the use of sample datasets with
10 varying levels of aggregation. The main objective of this study is therefore to
11 investigate the impacts of data aggregation schemes on the relationships between
12 operating speed and traffic safety. A total of three aggregation approaches were
13 examined: (1) a segment-based dataset in which crashes are grouped by roadway
14 segment, (2) a scenario-based dataset where crashes are aggregated by traffic
15 operating scenarios, and (3) a disaggregated crash-level dataset consisting of
16 information from individual crashes. The first two aggregation approaches were used
17 in examining the relationships between operating speed and crash frequency using
18 Bayesian random-effects negative binomial models. The third disaggregated crash
19 risk analysis was conducted utilizing Bayesian random-effects logistic regression
20 models. From the modelling results, it has been concluded that the scenario-based
21 approach shared similar findings with those of the disaggregated crash risk analysis
22 approach in which a U-shaped relationship between operating speed and crash
23 occurrence was identified. However, the commonly adopted segment-based
24 aggregation approach revealed a monotonous negative relationship between speed and
25 crash frequency. The implications of the different analyses results and the potential
26 applications of the results on speed management systems have therefore been
27 discussed.
28

29
30 **Keywords:** Speed and crashes relationship, Bayesian random-effects model, Urban
31 expressway traffic safety, Crash aggregation approach.
32

33 **Introduction**

34 Speed management interventions are introduced to smooth traffic flow and enhance
35 roadway capacity and safety. Such interventions primarily include fundamental speed
36 limit settings (e.g. Fitzpatrick *et al.*, 2016), Variable Speed Limits (VSL) in the Active
37 Traffic Management Systems (e.g. Mirshahi *et al.*, 2007) and safety improvement
38 countermeasures such as traffic calming measures (e.g. Moreno and Garcia, 2013).
39 However, both speed limit settings and countermeasure selections heavily rely on the
40 in-depth understandings of the quantitative relationships between operating speeds
41 and traffic safety. More specifically, studies were conducted to identify at which
42 operating speed there is a high probability for crash occurrence and then
43 countermeasures were further designed to alleviate or eliminate these conditions.
44

45 Given the importance of analyzing the relationships between operating speed and

1 traffic safety, a few studies have established statistical models between operating
2 speed and crash occurrence. However, since traffic crashes are random and sporadic
3 events with low occurrence probabilities (AASHTO, 2010), spatio-temporal
4 aggregations are needed when formulating the analysis datasets. During the
5 aggregation, raw speed information captured by the traffic sensing detectors were also
6 assembled; operating speed data prior to crash occurrence were mixed with operating
7 speed data under normal conditions.

8
9 For instance, the widely adopted safety performance functions (SPFs) were developed
10 using crash frequency by segment as the dependent variable (Abdel-Aty and Radwan,
11 2000); where raw speed data were processed to work out average speed for each
12 segment over a certain period of time as an independent variable. Therefore, the
13 identified relationships were basically an association between segment-level crash
14 frequency and average operating speed in which the features of operating speeds prior
15 to crash occurrence could not be analyzed.

16
17 Given the crash aggregation limitations, different analysis approaches have been
18 utilized in order to unveil the effects of operating speed characteristics on crash
19 occurrence. Table 1 has summarized a few studies with similar research objectives;
20 comparisons were conducted from the aspects of crash data aggregation level, the
21 nature of assembled speed information in the analyses datasets, and their primary
22 findings.

Table 1 Literature that analyzed relationships between speed and crash occurrence

Authors & year	Crash aggregation level	Speed information assembled in the analysis	Key finding on the operating speed and crash occurrence
Taylor <i>et al.</i> , (2000)	Roadway segment	Average Speed	Excessive speed indicator is strongly and positively associated with crashes
Pei <i>et al.</i> , (2012)	Roadway segment	Average speed	The correlation between speed and crash risk is positive when distance exposure is considered, but negative when time exposure is used.
Quddus (2013)	Roadway segment	Average speed	Insignificant associations between crash rates and average speeds were identified
Yu <i>et al.</i> , (2013)	Roadway segment	Speed information prior to crash occurrence	Negative relationships between speed and crash occurrence
Elvik (2013)	Individual Crash	Speed information prior to crash occurrence	Exponential relationship between number of accidents and initial speeds
Pauw <i>et al.</i> , (2014)	Roadway segment	Speed limits	Reduced speed limits would lead to decreased crash rates
Ronshandel <i>et al.</i> , (2015)	Individual crash	Speed information prior to crash occurrence	Increasing values of speed are associated with reduced crash risk
Gargoum & El-Basyouny (2016)	Roadway segment	Average speed	Higher crash frequency is anticipated at roadway segments with higher average speeds
Imprialou <i>et al.</i> , (2016)	Traffic operating scenarios	Grouped average speed prior to crash occurrence	A quadratic relationship was revealed between operating speed and crash frequency

1 From Table 1 it can be seen that previous studies utilized crash aggregation at three
2 levels: (1) segment-based, (2) scenario-based, and (3) individual-crash based. For the
3 segment and scenario-based studies, crash frequency (or crash counts) was used as a
4 dependent variable; while for the individual-crash based approach, the dichotomous
5 crash and non-crash outcome was employed. Instead of using the average speed,
6 several studies (Elvik, 2013; Yu *et al.*, 2013; Imprialou *et al.*, 2016) have tried to
7 employ the operating speeds just prior to crash occurrence. However, the analyses
8 conducted by using data at different levels of crash aggregation led to inconsistent
9 results as shown in Table 1.

10
11 There is a dearth of research in investigating the reasons for conflicting findings and
12 identifying the optimal way of integrating crash and speed data. Therefore, the
13 purpose of this research is to identify the impacts of crash data aggregation
14 approaches on the relationships between operating speeds and traffic safety. More
15 specifically, the abovementioned three crash aggregation levels were compared by
16 using speed data prior to crash occurrence.

17
18 Data from Shanghai urban expressway systems were utilized here. Firstly, the
19 segment-based and scenario-based approaches were compared with Bayesian
20 random-effects negative binomial models. Then, disaggregate crash risk analyses
21 were conducted for four subgroups of crashes separately using Bayesian
22 random-effects logistic regression modeling technique, where crashes were classified
23 by operating speeds prior to crash occurrence. Finally, the relationships between
24 operating speed and traffic safety were concluded. In addition, the advantages and
25 disadvantages of the adopted aggregation approaches were discussed along with the
26 implications of their applications on safety improvement and management.

27 28 **Data Preparation**

29 Shanghai urban expressway system was selected as the study area due to the
30 following two reasons: (1) Shanghai urban expressway systems have relatively
31 high-dense inductive loop detectors as a traffic sensing system with an average spacing
32 distance of 650 meters (compared to an average of around 800 meters found in most
33 studies (e.g. Xu *et al.*, 2013; Abdel-Aty *et al.*, 2007), which could provide high quality
34 traffic flow data for the analyses; (2) traffic crashes occurred on the urban expressway
35 system hold accurate crash locations and occurrence time since the crash records were
36 checked with the full-coverage video surveillance system. Therefore, speed data prior
37 to crash occurrence could be obtained accurately.

38
39 A total of three datasets were utilized: (1) crash data of September, 2013; (2) roadway
40 geometric characteristics; and (3) traffic data by road segment collected by loop
41 detectors aggregated at 2-minute interval. Crashes occurred on Shanghai urban

1 expressways were recorded by using a stake number as reference for their location
2 description, where stake numbers are non-repetitive marked along the roadway
3 network. Based on the stake numbers, upstream and downstream loop detectors
4 corresponding to crashes could be matched. In addition, considering the geometric
5 and traffic flow features of the expressway network, roadway segments in both
6 directions were treated as independent to each other in this study.

7
8 In order to identify the impacts of crash data aggregations on the relationships
9 between operating speeds and traffic safety, three different levels of data aggregation
10 were formulated: two for analyzing crash frequency and the other is to examine
11 individual crash risk. The datasets are briefly discussed below.

12 13 ***Datasets for the crash frequency analyses***

14 The pre-crash traffic conditions data were then aggregated with two different
15 approaches for the crash frequency analyses: (1) segment-based approach and (2)
16 scenario-based approach. The pre-crash traffic conditions were represented by a
17 6-minute interval operating condition (average operating speed and traffic volume)
18 prior to each crash occurrence; the 2-minute raw traffic condition data were
19 aggregated into 6-minute intervals with the purpose of reducing data collection noises,
20 which was also adopted by Ahmed and Abdel-Aty (2012).

21
22 For the segment-based approach, crashes were aggregated based on roadway
23 segments. The Shanghai urban expressway system was split into 206 roadway
24 segments using on-ramps and off-ramps as dividing points. For the roadway segments,
25 there are 4 different types of ramp combinations (see Figure 1 for illustration). It was
26 envisaged that a segment with on-ramp and on-ramp (Ramp type 1) may be different
27 from a segment with on-ramp and off-ramp (Ramp type 2) due to the converging and
28 diverging traffic operation characteristics. Therefore, this categorical variable was used
29 in the segment-based analysis. Through the aggregation process, each roadway
30 segment may result in zero crash, one crash, or multiple crashes; the operating speed
31 and traffic volume information variables were then calculated using the following
32 algorithm: (1) if no crash was occurred on a segment within the study period, average
33 operating speed and traffic volume (from 6-minute intervals) for the segment were
34 used; (2) if only one crash was reported on a segment, the corresponding pre-crash
35 traffic status was then utilized; (3) if multiple crashes were happened on a segment,
36 averaged pre-crash traffic conditions corresponding to these crashes were applied.
37 In addition to these traffic variables, geometric characteristics of the roadway
38 segments were obtained from online street-view map (Data©NavInfo) since no
39 detailed design files were available; and the summary statistics of the segment based
40 dataset are presented in Table 2.

41

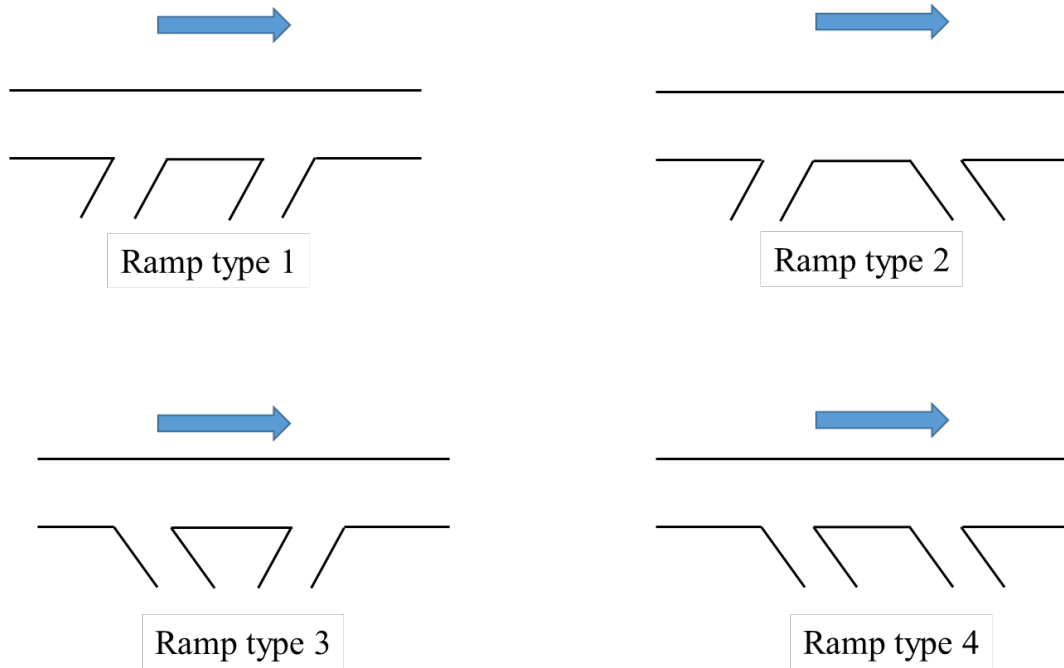


Figure 1 Ramp types

Table 2 Summary statistics of the segment-based dataset

Variable	Description	Summary Statistics
Length	Roadway segment length	Mean: 944.5 (m) Standard Deviation: 585.8 (m)
Lane Number	Number of lanes	2 lane: 59 (count) 3 lane: 59 more than 4 lanes: 88
Ramp Type	Ramp combination type: 1. On-ramp and On-ramp 2. On-ramp and Off-ramp 3. Off-ramp and On-ramp 4. Off-ramp and Off-ramp	1: 79 (count) 2: 21 3: 71 4: 35
Average Speed	Average speed for the crashes that occurred on the same roadway segment	Mean: 44.2 (km/h) Standard Deviation: 19.2 (km/h)
Traffic Volume	Average traffic volume per lane for the crashes that occurred on the same roadway segment	Mean: 121.2 (pcupl per roadway segment) Standard Deviation: 51.2 (pcupl per roadway segment)
Auxiliary Lane Length		Mean: 177.7 (m) Standard Deviation: 178.9 (m)
Crash Frequency		Mean: 6.8 Standard Deviation: 9.2

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For the scenario-based analysis, crashes were aggregated based on the combinations of similar traffic operating conditions and geometric characteristics as employed by Imprialou *et al.*, (2016). Four key variables were used as the control variable to define the potential crash scenarios: pre-crash operating speed, traffic volume, number of lanes, and ramp types. The traffic characteristics were first grouped into categories with the help of their cumulative distributions. For instance, pre-crash speed data were classified into 25 equal groups with a 4-percentile step. Similarly, traffic volume data were divided into 4 categories with a step of 25-percentile. Finally, a total of 1,200 crash occurrence scenarios were then created (i.e. 25 speed categories \times 4 traffic volume categories \times 3 lane numbers \times 4 ramp types). For instance, one of the 1,200 observations is represented as speed is between the 20th and 24th percentile with the median value of 19 km/h, traffic volume is between 50th and 75th percentile with the median value of 154.6 veh/lane on a 3-lane expressway segment with a ramp type as on-ramp and off-ramp.

Crashes were then classified into the preset 1,200 scenarios according to their traffic conditions before crash occurrence and geometric characteristics of the crash locations. Then crashes grouped into the same scenario were aggregated to formulate the analysis dataset, and the median values of speed and traffic volume within each group were utilized to represent the traffic conditions corresponding to the calculated crash frequency. Table 3 presents the summary statistics of the scenario-based dataset.

Table 3 Summary statistics of the scenario-based dataset

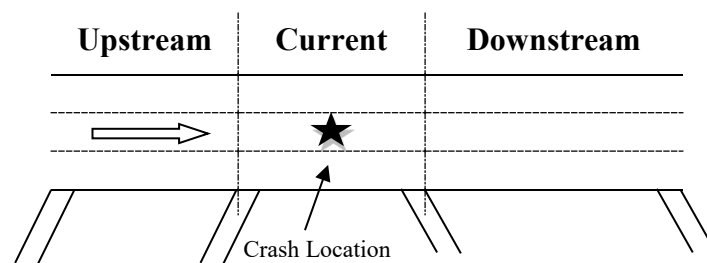
Variable	Description	Summary Statistics
Lane	Number of lanes	# of lanes 2: 59 (count) # of lanes 3: 59 # of lane more than 4: 88
Ramp type	Ramp combination type: 1. On-ramp and On-ramp 2. On-ramp and Off-ramp 3. Off-ramp and On-ramp 4. Off-ramp and Off-ramp	Type 1: 79 (count) Type 2: 21 Type 3: 71 Type 4: 35
Speed	Median speed for the preset crash occurrence scenario	Mean: 33.6 (km/h) Standard Deviation: 17.3 (km/h)
Traffic Volume	Median volume per lane for the preset crash occurrence scenario	Mean: 127.5 (pcupl per roadway segment) Standard Deviation: 46.6 (pcupl per roadway segment)
Crash Frequency		Mean: 3.8 Standard Deviation: 3.0

25

1 It is worth mentioning that since no prior assumptions used about the functional
2 relationships between operating speed and crash frequency for the Shanghai
3 expressway system, different functional forms should be tested. This includes: linear,
4 logarithmic, and quadratic. In the final analysis results, only the significant variables
5 and the best functional forms were kept.

7 *Datasets for the crash risk analysis*

8 In order to conduct the individual crash level analysis, a 30-minute period traffic data
9 prior to crash occurrence were first identified. This means that five 6-minute intervals
10 of traffic data were obtained during the data preparation process. For example, if a
11 crash occurred on September 13, 2013 at 8:40 p.m., traffic data from 8:10 p.m. to 8:40
12 p.m. (i.e. a 30-minute window) were then extracted and named as time-slices 1, 2, 3, 4,
13 and 5, with slice 1 being the 0-6 minutes interval just before the reported crash time.
14 Meanwhile, traffic flow characteristics (e.g. average speed, total volume, standard
15 deviation of speed and volume, coefficient of variance for volume and speed) were
16 calculated from 6-minute intervals. In addition, instead of only utilizing traffic related
17 variables from the crash current segments (C), data from both upstream (U) and
18 downstream (D) segments were incorporated. The spatial relationship between the
19 roadway segments is shown in Figure 2. As a result, a total of 90 variables (i.e. 6 traffic
20 flow variables \times 3 detector stations \times 5 time slices) were generated and used in the latter
21 model estimation procedure.



25 **Figure 2 Arrangement of roadway sections**

26
27 Since the primary idea of this part analysis is to compare normal traffic conditions
28 with those of pre-crash conditions, traffic data from non-crash cases were also
29 extracted. For each crash, four non-crash cases were extracted by following the
30 matched-case control data structure as employed in existing studies (e.g. Ahmed and
31 Abdel-Aty, 2012), which was also tested in the previous sensitivity analysis.
32 Non-crash traffic conditions were collected when no crash was observed within a
33 2-hour window, given the same time of day, day of week, and roadway section. For
34 example, if a crash occurs on a segment with NN0312 (stake number) on September 13,
35 2013 at 8:40 p.m., traffic data for the same roadway section and time on August 31 and
36 September 6 (i.e. two observations before the crash event) and September 20 and

September 27 (i.e. two observations after the crash event) were collected as non-crash cases only if there is no crash at the time period from 7:40 p.m. to 9:40 p.m. on these dates. Through matching, the final dataset has 1,387 matched strata with 1,387 crashes and 3,811 non-crashes (in a few cases, the non-exact 1:4 crash and non-crash ratio is due to the traffic data availability issue).

Methodology

In order to quantify the impacts of aggregation levels on the relationships between operating speed and traffic safety, two types of models have been employed in this study: random-effects negative binomial models were used for crash frequency analyses while random-effects logistic regression models were adopted for crash risk analyses. These models were estimated by employing the Bayesian inference technique. This section introduces the model structure and the relevant inference settings.

Random-effects negative binomial model

Crash frequency data aggregated by roadway segments or by operating scenarios were assumed to follow the negative binomial distribution suitable for accounting for the over-dispersion inherent in count data (e.g. Lord and Mannering, 2010). As suggested by the previous studies (e.g. Yu *et al.*, 2013), a random-effect term was added to account for the unobserved heterogeneity. The random effects negative binomial model can be setup as follows (Ntzoufras, 2009):

$$Y_i \sim \text{Negative Binomial}(p_i, r)$$

$$p_i = r / (r + \lambda_i)$$

$$\ln \lambda_i = \text{offset}_i + \sum_{j=1}^k X_{ij} \beta_j + u_i \quad (1)$$

$$\text{and } i = 1, 2, \dots, n; \quad j = 1, 2, \dots, k$$

where Y_i is the crash count for a roadway segment i or the crash count for a scenario i , r is the dispersion parameter, p_i and λ_i are the negative binomial distribution parameters, X_{ij} represent the set of explanatory variables and β_j is the corresponding regression parameters to be estimated, k is the number of explanatory variables and n is the total number of observations. Segment length denoted as $\ln(\text{SegmentLength}_i)$ can be used as the *offset* variable in the segment-based analysis while average vehicle-hours spent per scenario denoted as $\ln(\text{AverageVehicleHours})$ can be used as the *offset* variable in the scenario-based model as suggested by Imprialou *et al.* (2016). u_i is the segment/scenario specific random effect which set to follow the normal distribution with $u_i \sim N(0, 1/\tau)$, where τ was specified a gamma prior as $\tau \sim \text{Gamma}(0.001, 0.001)$.

1 **Random-effects logistic regression model**

2 In the crash risk analysis, the target variable is a binary category with 1 being crash
3 cases and 0 represents non-crash cases. Suppose observation Y_i has the outcomes of
4 crash and non-crash with corresponding probabilities being p_i and $1 - p_i$
5 respectively. The random effects logistic regression model can be set up as follows:

6
$$Y_i \sim \text{Binomial}(p_i)$$

7
$$\text{logit}(p_i) = \log\left(\frac{p_i}{1-p_i}\right) = \beta_0 + \sum_{j=1}^m Z_{ij}\beta_j + \varepsilon_t \quad (2)$$

8
$$\text{and } i = 1, 2, \dots, N; \quad j = 1, 2, \dots, m$$

9
10 where β_0 is the intercept and Z_{ij} is the set of explanatory variables, β_j is the
11 corresponding regression coefficients to be estimated, m is the number of explanatory
12 variables, N is the number of observations ε_t is the random effects term:

13
$$\varepsilon_t \sim N(0, 1/\alpha)$$

14
$$\alpha \sim \text{Gamma}(0.001, 0.001)$$

15 where t stands for the crash unit index (crash observation and their matched non-crash
16 cases). The random effects term can take into account any potential unobserved
17 heterogeneity arising from omitted geometric characteristics not considered in the set
18 of explanatory variables such as auxiliary lane length.

19
20 **Bayesian Inference**

21 Full Bayesian inference was employed in this study with non-informative priors. For
22 each model, three chains of 20,000 iterations were set up in WinBUGS (Lunn *et al.*,
23 2000) with the thin set equal to 3; the first 5,000 stored iterations were used as burn-in
24 samples and the rest was used to estimate the poster distribution. Convergences of the
25 developed models were checked by monitoring the MCMC (Markov chain Monte
26 Carlo) trace plots for the parameters and the model convergence issue was further
27 checked through calculating BGR statistics (Gelman and Rubin, 1992) and conducting
28 the Geweke diagnostic through R package - *boa* (Smith, 2007).

29
30 **Modeling Results**

31 **Segment-based Analysis**

32 Table 4 shows the posterior estimations of the Bayesian random-effects negative
33 binomial model for the segment-based dataset. Five explanatory variables became
34 statistically significant based on their 95% posterior credible levels. For the operating
35 speed, Av_Spd is significant with a negative coefficient, which indicates that as the
36 operating speed increase, crash frequency would be reduced. Similar results have also
37 been concluded in the previous study (Yu *et al.*, 2013), which can be understood as
38 that crashes are more prone to happen at congested segments.

39

1 Besides, traffic volume - Ln(Vol per lane) holds a positive estimate; indicating that the
 2 larger traffic exposure, the larger crash frequency. For the variable representing lane
 3 numbers, Lane_3 was treated as the reference group; Lane_2 shows a positive
 4 association with the crash frequency whereas Lane_4 has a negative coefficient,
 5 which indicates that as segments with high number of lanes are associated with lower
 6 crash counts. Aux_length was found to have a significant impact on crash frequency.
 7 More specifically, longer auxiliary length within the roadway segment would
 8 substantially reduce crash frequency. For the ramp types, Ramp_1 was identified as
 9 no substantial difference when compared to Ramp_2, while Ramp_3 and Ramp_4
 10 were proved to provide lower crash hazardous.

11 **Table 4 Coefficient estimates for segment-based analysis**

Variable	Mean	S.D.	2.5%	97.5%
Intercept	-3.7	1.36	-6.28	-1.12
Lane_2	0.68	0.24	0.21	1.17
Lane_4	-0.46	0.20	-0.86	-0.06
Lane_3 (reference)	0	-	-	-
Aux_Length	-0.002	0.0007	-0.003	-0.0007
Ramp_1	0.067	0.26	-0.45	0.59
Ramp_2 (reference)	0	-	-	-
Ramp_3	-1.08	0.31	-1.71	-0.46
Ramp_4	-0.48	0.26	-1.02	-0.02
Av_Spd	-0.03	0.006	-0.04	-0.02
Ln(Vol per lane)	1.32	0.26	0.82	1.83
Offset variable	1	ln(Total link length)		
Tau	2.54	1.62	1.2	7.11
# of observations	206			
DIC	913.6			

12

13 ***Scenario-based Analysis***

14 Table 5 shows the estimation results for the scenario-based analysis. Both operating
 15 speed and its quadratic parameter became significant. The speed parameter holds a
 16 negative coefficient and speed quadratic parameter shows a positive impact; the
 17 relationship between operating speed and crash occurrence can therefore be regarded
 18 as a U-shaped curve. This means that crash frequency decreases as operating speed
 19 increases before a critical speed is reached. After the critical speed, crash frequency
 20 increases with the operating speed. From the estimated coefficients (see Table 4), this
 21 critical speed is predicted to be 25 km/h for the sample data from the Shanghai Urban
 22 Expressway system. This reveals that the impact of operating speed on crashes
 23 reaches to a minimum level when the mean operating speed is about 25 km/h.

24

25 In addition, for the geometric characteristic parameters, lane numbers and ramp types
 26 were also statistically significant. Consistent results have been concluded for number

1 of lanes with the segment-based approach, where segments with more lanes are
 2 related to reduced crash occurrences. While for ramp types, Ramp_2 was identified to
 3 be the most hazardous one, the combination of on-ramp and off-ramp would pose
 4 large needs of traffic weavings; which is inconsistent with the segment-based analysis.
 5 Furthermore, the estimation result for traffic volume (Vol per lane) is consistent with
 6 the segment-based analysis, whereas the increase of volume would increase the crash
 7 occurrence exposure.

8 **Table 5 Coefficient estimates for scenario-based analysis**

Variable	Mean	S.D.	2.5%	97.5%
Intercept	1.13	0.17	0.80	1.46
Lane_2	0.61	0.16	0.28	0.93
Lane_4	-0.14	0.09	-0.22	-0.03
Lane_3 (reference)	0	-	-	-
Ramp_1	-0.36	0.11	-0.60	-0.16
Ramp_2 (reference)	0	-	-	-
Ramp_3	-0.37	0.09	-0.55	-0.18
Ramp_4	-0.58	0.18	-0.93	-0.22
Speed	-0.025	0.009	-0.045	-0.008
Speed*Speed	0.0004	0.00008	0.0003	0.0006
Vol per lane	0.0038	0.0008	0.0023	0.0053
Offset variable	1	ln(Average vehicle-hours spent per scenario)		
Tau	2.56	0.41	1.84	3.49
# of observations	974			
DIC	4252.68			

9

10 ***Crash risk analysis model***

11 In this section, disaggregate crash risk analyses were conducted to identify the
 12 relationships between operating speed and individual crash occurrence probability.
 13 Since it was claimed in the previous studies that crash risk analysis varies by different
 14 operating conditions (Abdel-Aty *et al.*, 2005), four speed categories were classified in
 15 this study according to the operation conditions at Shanghai urban expressway system:
 16 low speed (less than 20 km/h), medium speed (between 20 km/h and 40 km/h), high
 17 speed (40 km/h to 60 km/h), and free-flow speed (above 60 km/h).

18

19 Table 6 shows the modeling results for the crash risk analysis that considers different
 20 operating speed conditions. For each model, three significant variables were achieved.
 21 For low speed conditions, average speed at crash segment at time slice 1 (ASC1)
 22 poses a negative relationship with crash risk, which refers to congested flow would
 23 have higher crash likelihood. Traffic volume at crash segment at time slice 2 (TFC2)
 24 has a positive coefficient, which indicates that the increase of traffic volume would
 25 lead to larger crash hazardous. In addition, speed standard deviation of downstream

1 segment time slice 1 (SSD1) has a positive coefficient, which can be understood as
2 larger speed variation at downstream would enhance the crash risk.

3
4 While for moderate speed conditions, ASC1 again holds a negative coefficient and the
5 speed standard deviation of crash segment at time slice 1 (SSC1) has a positive
6 coefficient, which can be illustrated as smoother and more homogenous traffic would
7 lead to reduced crash probability. Besides, upstream traffic volume standard deviation
8 at time slice 1 (SFU1) has a positive coefficient, which means that the variation of
9 upstream flow would enhance the crash occurrence likelihood.

10
11 In addition, an interesting finding is that instead of ASC1, the average speed at
12 downstream segment time slice 1 (ASD1) was found to provide more substantial
13 impacts on crash occurrence likelihood, while average operating speed at crash
14 locations does not have substantial correlations with crash occurrence. Furthermore,
15 standard deviation of traffic volume at crash segment time slice 1 (SFC1) and SSC1
16 both have positive coefficients, which means turbulence traffic would lead to larger
17 crash hazardous.

18
19 Furthermore, for the free-flow conditions, SSC1 and SFC1 hold consistently
20 estimated coefficients. However, the ASC1 has a positive sign, which indicates that as
21 the increase of operating speed, the crash risk would be also increased. This is a
22 contradictory of the results identified in the low speed condition and moderate speed
23 condition.

Table 6 Coefficient estimates for crash risk analysis by speed conditions

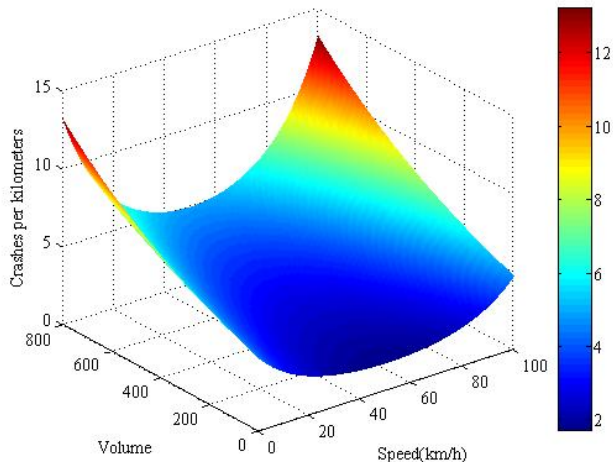
Variable	Definition	Low Speed Condition		Moderate Speed Condition		High speed condition		Free-flow speed condition	
		Mean (S.D.)	95% C.I.	Mean (S.D.)	95% C.I.	Mean (S.D.)	95% C.I.	Mean (S.D.)	95% C.I.
Intercept		1.52 (0.27)	(1.0 , 2.01)	0.92 (0.18)	(0.56, 1.29)	-1.42 (0.22)	(-1.87, -0.99)	-4.43 (0.94)	(-6.32, -2.65)
TFC2	Traffic volume at the crash segment at time slice 2	0.002 (0.0007)	(0.0005, 0.0033)	-	-	-	-	-	-
ASC1	Average speed at crash segment at time slice 1	-0.16 (0.017)	(-0.20, -0.13)	-0.08 (0.005)	(-0.09, -0.07)	-	-	0.038 (0.012)	(0.014, 0.064)
ASD1	Average speed at downstream segment at time slice 1	-	-	-	-	-0.02 (0.003)	(-0.03, -0.01)	-	-
SSD1	Speed standard deviation of downstream segment at time slice 1	0.07 (0.02)	(0.02, 0.11)	-	-	-	-	-	-
SSC1	Speed standard deviation of crash segment at time slice 1	-	-	0.18 (0.02)	(0.15, 0.22)	0.25 (0.024)	(0.20, 0.29)	0.15 (0.05)	(0.005, 0.26)
SFU1	Upstream traffic volume standard deviation at time slice 1	-	-	0.027 (0.0064)	(0.015, 0.04)	-	-	-	-
SFC1	Traffic volume standard deviation at crash segment at time slice 1	-	-	-	-	0.038 (0.007)	(0.024, 0.052)	0.03 (0.01)	(0.006, 0.05)
Tau		87.11 (220.8)	(2.84, 708.9)	242.3 (416.1)	(10.58, 1427)	374.6 (496.8)	(16.64, 1748)	368.5 (521.3)	(16.32, 1865)
# of observations		1496		1997		1245		460	
AUC		0.84		0.81		0.78		0.63	

1 Therefore, the relationships between operating speed and traffic safety at crash
2 individual aggregation level is concluded as: operating speed has negative impacts on
3 crash occurrence risk under low and moderate speed conditions, at high speed
4 conditions the impacts of speed on crash occurrence is vague, while at free-flow
5 conditions speed holds positive impacts. The modeling results indicate that the
6 relationship between operating speed and traffic safety do not hold a linear line, it
7 varies at different operation conditions.

8 **Discussions and Conclusions**

9 Emerging active safety management systems, such as Variable Speed Limits System
10 or in-vehicle speed advisory system under Connected Vehicle (CV) scenario, require
11 deep understandings of the relationships between operating speed and crash
12 occurrence. As alluded earlier that most previous studies however used
13 spatio-temporal average speed instead of speed information prior to crash occurrence
14 in their analyses due to the data aggregation issue. As a result, there were no
15 consistent findings being obtained as the over-aggregated data might fail to reveal the
16 true association between the two.

17
18 In this study, the impacts of aggregation approaches on the relationship analyses were
19 investigated based on the advanced traffic sensing data of Shanghai urban expressway
20 systems. Crash frequency analyses with segment-based approach and scenario-based
21 approach were firstly being conducted, and then crash risk analyses were developed at
22 individual crash level. The segment-based crash frequency analysis revealed a
23 negative relationship between the two. On the other hand, as shown in Figure 3, the
24 results from the scenario-based crash frequency analysis, average crashes per
25 kilometer are relatively high at both low speed traffic conditions and high speed
26 conditions; the relationships between operating speed and crash occurrence were
27 therefore concluded as a U-shape curve.



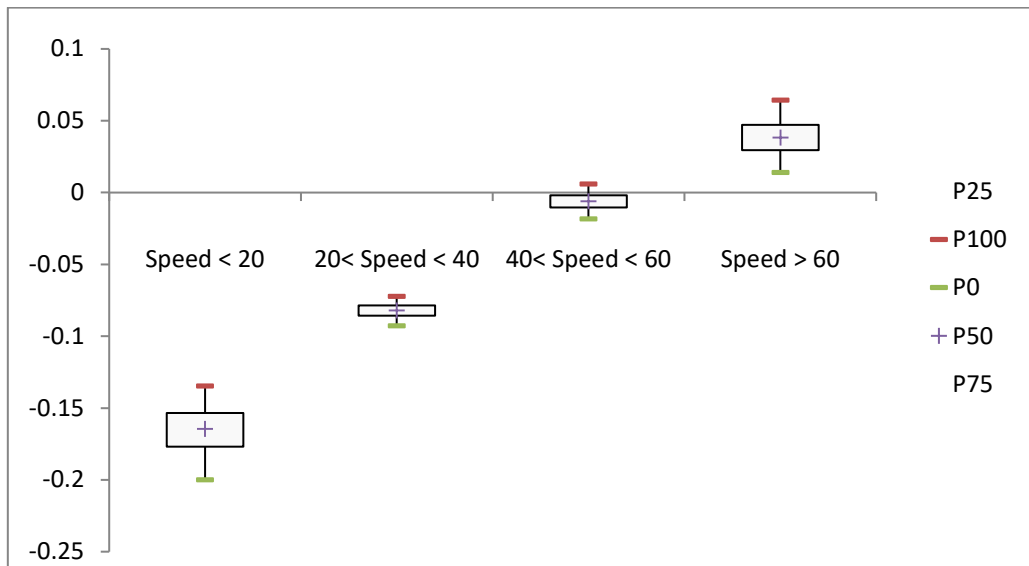
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Figure 3 Relationships between speed, volume and crash rates from scenario-based crash frequency analysis

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Given the inconsistent results obtained from the crash frequency analyses, disaggregate crash risk analyses were further conducted. Figure 4 shows the box plot of the estimated coefficients for the operating speed parameter (ASC1) and Table 7 shows the estimated marginal effects of parameter ASC1, where the coefficient of ASCI indicates the crash occurrence likelihood and operating speed. It can be concluded that during the congestion period (i.e. low and moderate speed conditions), the increase of operating speed would reduce a crash likelihood; for medium operating speed the changes of operating speed do not have substantial effects on crash occurrence probability; while for free-flow, the increase of operating speed would further enhance crash hazardous.

The crash risk analyses have been an important topic in the traffic safety analysis discipline in which different study area and research objectives have been investigated. The earlier studies were mostly conducted based on total crashes and have identified that the coefficient of variation of speed was the crash occurrence contributing factor (Lee *et al.*, 2003, Abdel-Aty *et al.*, 2004), which could be understood as lower operating speed and large speed variation would lead to more crashes. Recently, a few studies investigated the effect of different operating conditions on safety. For instance, Pande and Abdel-Aty (2006) investigated the rear-end crash occurrence influencing factors, and the crashes were separated into low speed and high speed conditions. Their findings are consistent with this current study where speed is positively associated with traffic crashes for high operating speed conditions; while in the low speed conditions, larger coefficient of variation of speed would lead to increased crash risk. However, instead of split crashes by operating conditions, majority crash risk analyses divide crashes by crash types (Christoforou *et al.*, 2011), weather conditions (Xu *et al.*, 2013), and crash injury severity (Yu and Abdel-Aty 2014). But inconsistent findings reappear which may be due to the heterogeneity effect resulting from different operating conditions. For instance, Oh and Kim (2010) identified a positive correlation between speed and crash for rear-end crashes while Christoforou *et al.*, (2011) found a negative association. Therefore, based on the current findings, it is advisable that further crash risk analyses shall consider the heterogeneity effects of operating speed on traffic safety.



1
2 **Figure 4 Box-plot for estimated coefficients of ASC1 in the crash risk analyses**

3
4 **Table 7 Coefficient marginal effects for ASC1**

Speed Conditions	Marginal Effects for ASC1
Low Speed Condition	-0.01649
Moderate Speed Condition	-0.00345
High Speed Condition	-0.000521*
Free-flow Speed Condition	0.00399

5 * Insignificant marginal effect at 95% level

6
7 Through comparisons, results of the crash risk analyses are consistent with the
8 scenario-based approach crash frequency analysis. A U-shape curve relationship may
9 be a better illustration between the operating speed and traffic safety. The linear
10 relationship exits in the segment-based approach may be attributed to the data
11 aggregation process; during the aggregation, crashes with high speed would be
12 averaged by medium or low speed crash-prone speed, which leads to a monotonous
13 relationship between speed and safety. Therefore, the scenario-based aggregation
14 approach and crash risk analysis by speed categories are more plausible and preferred
15 for future studies with similar objectives.

16
17 In addition, through the crash risk analyses, typical crash occurrence scenarios can be
18 speculated with the significant contributing factors. For low speed conditions, crashes
19 are mostly likely to happen within congested segments, where traffic flow dissipates
20 at its downstream segment. At moderate speed conditions, crashes occurred at
21 turbulence flow segment while its upstream has a large traffic flow. While at high
22 speed conditions, crashes are more likely to occur at the end of shockwave
23 propagation segment where its downstream segments were congested. In addition, for
24 crashes occurred under free-flow conditions, the crash causations are mostly related to
25 the unexpected traffic turbulence. With these profound understandings of crash

1 mechanisms, targeted ATMS could be designed to improve traffic safety for the urban
2 expressway system.

3

4 Moreover, findings from this study should be carefully interpreted as the detailed
5 design data were not obtained for the studied area, and some roadway geometry
6 variables (e.g. degree of curvature, gradient) were not included. Additionally, it would
7 also be interesting to analyze the impacts of statistical modeling approach on the
8 relationships. For instance, applying models such as random-parameter negative
9 binomial model, finite-mixture models rather than a random-effect negative binomial
10 model employed in this study. Last but not the least, another important factor that
11 needs an attention is the impact of speed variation (Pei *et al.*, 2012).

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