

1 **MIVES MULTICRITERIA ASSESSMENT OF URBAN-PAVEMENT**

2 **CONDITIONS: APPLICATION TO A CASE STUDY IN BARCELONA**

3 Abstract

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6 to urban networks tends to be complex, given the wide variety of urban pavement types (concrete, asphalt,
7 and paving tiles) and their different functions (traffic, pedestrian, or both). A flexible method that can
8 address the complexity of different areas is therefore proposed in this paper through a case study of
9 pavement conditions. Hence the interest of this new approach for pavement management that employs a
10 multi-criteria method adaptable to various urban environments: the Integrated Value Model for Structural
11 Assessment (MIVES). It incorporates the Value Function (VF) concept in an Analytic Hierarchy Process
12 (AHP), combining both Multi-criteria Decision Making and Multi-Attribute Utility Theory. The methodology
13 is presented and its sensitivity is evaluated by means of a case study in the city of Barcelona. The quality
14 index of various pavements is assessed through a survey of pavement distresses in a systematic
15 categorization of urban network pavement categories that is accurate, consistent, and repeatable.

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17 **KEYWORDS:** MIVES - Multi-criteria - Urban Pavements - Pavement Condition Index - Pavement
18 Serviceability Index - Pavement Distress

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46 **Abstract**

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50 pavement types (concrete, asphalt, and paving tiles) and their different functions (traffic,
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66 **1: INTRODUCTION**

67 Over half of the global population live in urban areas (Petit et al., 2016) today and the
68 exodus from rural areas is expected to continue at the rate of 1.3 million people per year
69 (The World Bank, 2014 and UN, 2011). One of the priorities in cities is to ensure the
70 effective management of the built environment in which people live and the services that
71 they depend upon (Pujadas et al., 2017). Urban pavements are counted among these
72 keystones of daily life. In cities such as Barcelona, pavements represent more than 18%
73 of the total metropolitan area and almost 2/3 of public space. The city council and its

74 agencies have a public duty to assure the quality standards of urban pavements. They do
75 so through the use of a Pavement Management System (PMS) that also assists decision-
76 makers with the consolidation of strategies for the maintenance of safe pavement
77 conditions (Gendreau and Soriano, 1998). However, a PMS requires indicators that
78 represent the current conditions of the urban pavement that forms a central input to the
79 decision-making process.

80 Indicators such as the Pavement Condition Index (PCI), Present Serviceability Index
81 (PSI), and VIZIR have been used to evaluate the state of pavements exposed to distresses
82 and degradation. The work of Baladi et al. (1992) contains a list of further indexes that
83 highway agencies apply, most of which were developed for road networks. Their
84 application to urban networks is complex and hardly straightforward. The main reason is
85 the wide variety of pavement types (concrete, asphalt and tiles) and functions (automobile
86 traffic, pedestrian traffic or both) found in urban areas. Consequently, the existing indexes
87 are not adapted to the particular typologies of distress in the urban environment.

88 A common problem found in the definition of the indexes is how to integrate different
89 types of damage in a single result that precisely represents the overall condition of the
90 pavement. Most methods reported in the literature rely on qualitative assessments and
91 category and grade-related classifications decided by the person in charge of the
92 evaluation and will therefore depend on individual perceptions. This type of evaluation
93 may compromise the capacity of the user to distinguish between pavements that appear
94 to be of the same class or grade, complicating their comparison and generating
95 inconsistencies. In this context, it is necessary to develop a comprehensive condition
96 index for urban pavements that covers the most relevant distress pathologies (Osorio et
97 al., 2014), including a semi-quantitative analysis conducted in a simple and
98 straightforward manner.

99 The aim of this study is to define an Urban Pavement Condition Index that is developed
100 through a multi-criteria-based approach using the MIVES methodology applied to data
101 from visual inspections of surface distress. The MIVES methodology combines Multi-
102 criteria Decision Making (MCDM) and Multi-Attribute Utility Theory (MAUT), and it
103 incorporates both the Value Function (VF) concept and Analytic Hierarchy Process
104 (AHP) weight assignment. Following its presentation, the index is used in a sensitivity
105 evaluation for the case study and assessment of pavement distress in the city of Barcelona.
106 The simple, straightforward approach developed in the paper produces an accurate,
107 consistent, and repeatable categorization of the urban network. The method provides an
108 objective and rational basis for future cost-effective maintenance and pavement
109 management strategies (PMS) and could easily be extended to road pavements.

110

111

112 **2: BRIEF OVERVIEW OF EXISTING METHODOLOGIES**

113 The Pavement Condition Index (PCI), developed in the late 1970s by the U.S. Army
114 Corps of Engineers (USACE) is considered the basis for most modern functional
115 evaluation procedures. According to Gendreau and Soriano (1997), rather than a
116 comprehensive functional performance indicator, the PCI is a surface distress index. Even
117 though the direct measurement of surface distress measures neither functional nor
118 structural pavement performance, there is a relation between them. Therefore, the PCI
119 provides a standard and an indirect method for rating both the structural integrity and
120 functional condition of pavement sections (Shahin, 1980).

121 The PCI provides a numerical index of pavement condition, the values of which range
122 from 0 (extremely poor condition) to 100 (excellent condition). It uses weighted deduct
123 values that are functions of the type, the severity, and the extent of visible distress,

124 combining data on individual distress types into a single condition value. These data are
125 collected through visual surveys with some direct measurements to evaluate the severity
126 of certain distress types, such as rut depth. It is, therefore, a semi-objective index. Each
127 section in the survey process is subdivided into sample units of which only a random
128 number are evaluated. The PCI value is then computed from the average for the sample
129 units inspected in that section.

130 The Present Serviceability Index (PSI) is an alternative developed by AASHTO through
131 which pavement ratings can be estimated from in-situ measurements (Carey and Irick,
132 1960). The PSI depends on slope variance, patching, cracking, and rut depth for the road
133 sections under assessment. The VIZIR method was developed by the Laboratoire Central
134 des Ponts et Chaussées (LCPC) for the quality rating of flexible pavements (Autret and
135 Brousse, 1991). It is designed to classify zones at three damage levels and thereby provide
136 a picture of road surface conditions at any given time. These damage levels are used to
137 determine the nature and type of work required. In some cases, the identification of the
138 damage determines the solution, while in others it is only one factor in a more complex
139 diagnosis involving other criteria. Each area of damage is divided into two categories:
140 type A or structural (deformation; rutting; fatigue cracking; and crazing; etc.) and type B
141 or functional (longitudinal joint cracks, transverse shrinkage cracks; potholes, raveling;
142 and all surfacing defects such as fretting, and bleeding, etc.).

143 Karan et al. (1983) developed the pavement quality index (PQI) for statistically capturing
144 information from an expert panel. Later on, FHWA (1990) described an index
145 representing an overall aggregation of the different measures of pavement condition. In
146 addition to those indexes, several comprehensive ranking index approaches based on
147 fuzzy set theory have been developed. Juang and Amir Khanian (1992) advanced the
148 Unified Pavement Distress Index (UPDI) and Zhang (1993) presented the Overall

149 Acceptability Index (OAI). Shoukry et al. (1997) adopted a fuzzy logic approach to the
150 design of a universal pavement distress evaluator defined as the Fuzzy Distress Index
151 (FDI). Thube et al. (2007) developed both a PSI and PCI-based composite pavement
152 deterioration model for low volume roads in India. Other indices of functional
153 performance were proposed in Kher and Cook (1985), Majidzadeh et al. (1992), Mosheni
154 et al. (1992), and Shah et al. (2013). The numerous indices reflect the variety of
155 perceptions that exist on this issue

156

157 **3: THE BASIS OF THE URBAN PAVEMENT CONDITION INDEX (UPCI)**

158

159 Nowadays, both user and stakeholder perceptions of public infrastructure play a
160 fundamental role in their management. From the public perspective, urban pavements are
161 valued for three essential qualities: functionality, appearance, and safety (López-Carreño,
162 2017). Distress is considered a problem that will negatively affect one of these three
163 qualities. In this context, the proposed index should be able to distinguish between user
164 perceptions and the factor that affects it: the distress. Therefore, the methodology
165 developed in this paper (as with the PCI) is based on a surface distress index.

166 The process of obtaining the Urban Pavement Condition Index (UPCI) comprises four
167 steps, as shown in Fig. 1. A detailed description of each step is presented in the following
168 sections. Throughout the description, examples of urban pavements in Barcelona will be
169 used to facilitate comprehension of each distress type.

170

171 *Fig 1: Flowchart of the proposed methodology*

172

173

174

175 **3.1: Inspection and Network inventory**

176 Urban pavements may be categorized by dividing the network into relatively small units
177 called knots (KNi) and sections (Si), as shown in Fig. 2. The sections are stretches of
178 streets, while the knots are the common area formed by the junction of two or more
179 streets. Both elements may be further divided into polygons (Pi) that represent the
180 minimum fraction of the urban network. The polygons should display homogenous
181 characteristics throughout their extension in terms of function (sidewalk or carriageway),
182 pavement materials, and construction history. In some cases, where traffic is channelized
183 through special lanes (*i.e.* busways and taxiways), parallel polygons may be defined for
184 different lanes of the same carriageway. An example is presented in Fig. 3.

185 Fig 2: Schematic urban network division into knots (KNi) and sections (Si)

186

187 *Fig 3: Example of division into polygons (Pi)*

188

189

190 A wide variety of pavement surface materials may be found in urban areas depending on
191 the pavement use: bituminous bound materials (asphalt concrete, mastic asphalt); cement
192 bound materials (concrete, concrete elements); small paving elements (tiles or block
193 pavers, modular materials, stone, *terracotta*), and composite pavements (a combination
194 of the above-mentioned materials). The choice of the surface material depends on several
195 aspects (comfort, safety, noise, esthetic, durability, maintenance and rehabilitation
196 frequency). Therefore, the pavement material is part of the information of the inventory,
197 as each of them may present typical surface distresses. A summary of pavements
198 generally used in Barcelona is shown in Table 1.

199

200 *Table 1: List of pavements for general use in Barcelona*

201

202 Attention is focused in this paper on paving tile distress observed on pavements in
203 Barcelona and their categorization through an adaptation of the MIVES methodology.
204 The tile paving program in Barcelona started in 1916, and the continuous development of
205 the city and its surrounding areas has over the last 100 years led to the placement of over
206 five-million square meters of tiles (known as *panots*). Besides the initial patterns, there
207 are many others used throughout the urban environment for both esthetic and functional
208 purposes (see Fig 4)

209

210 *Fig 4: Common paving tile patterns used in Barcelona*

211

212 **3.2: Distress characterization**

213 The method considers five parameters that characterize distress according to the
214 perception of the user. These parameters are: Distress scale (DSc); Distress severity
215 (DSe); Distress class (DCI); Distress extension (DEx), and Distress location (DLo).

216 Distress scale (DSc)

217 The distress scale is used to pinpoint the unit of observation under assessment from which
218 data will be gathered. Individual signs of distress affect the smallest unit that forms the
219 pavements. As shown in Fig. 5a, tile-paving defects on a sidewalk may be localized within
220 the confines of a single tile. On the contrary, interface distresses are observed in the
221 contact between the smallest units. In the example from Fig. 5b, these defects occur in
222 the joints between tiles. Finally, global distress can affect a general area. In the example
223 of Fig. 5c, these defects appear across a wider area in a group of several tiles.

224

225 *Fig 5: Distress Scale (DSc): a) Individual; b) Interface; and, c) Global*

226

227 Distress severity (DSe)

228 Pavement service should be understood in terms of esthetics, comfort, and safety for the
229 user. These three basic parameters are therefore the categories used to classify the
230 intrinsic seriousness of each form of distress. Esthetic distress compromises the visual
231 appearance of the pavement, but has no repercussions on serviceability or safety. Comfort
232 distress affects the subjective satisfaction of the citizen during the use of the pavement
233 without compromising its. Safety distress refers to damage that increases the risk of
234 accidents during transit on the pavement.

235

236 *Fig 6: Examples of Distress Severity (DSe) a) Esthetic; b) Comfort; and, c) Safety*

237

238 Fig. 6 shows examples of different distress severities. In Fig. 6a, discoloration is observed
239 in the tile-paving on the sidewalk, due to localized repositioning of the pavement. Even
240 though it may lead to negative user perceptions, it has no influence on comfort or safety.
241 Hence, its designation as esthetic distress. Conversely, a small vertical displacement is
242 observed between adjacent elements. Although not large enough to provoke serious
243 accidents, the user might experience the uncomfortable feeling that characterizes comfort
244 distress while walking over an uneven surface. Greater unevenness, as shown in Fig 6c,
245 due to missing tiling, significantly increases the risk to users of stumbling and accidents.
246 This sort of defect characterizes a safety distress.

247 Distress class (DCI)

248 On the basis of field observations, different types of distress were considered (cracking,
249 patching, and potholes, surface deformation, miscellaneous distress types). Table 2
250 summarizes the distress classes for urban tile pavements grouped by DSc and DSe.
251 Different distress classes should be considered for other pavement types, according to
252 pavement evaluation protocols.

253

254 *Table 2: List of distress classes for urban tile pavements*

255

256 Distress extension (DEx)

257 Even though an initial scaling of the distress is achieved with the DSc, it may be necessary
258 to establish the proportion of the polygon that is affected. By doing so, it would be
259 possible to discriminate between polygons with similar damage over different extensions.
260 The DEx parameter will provide information on the pavement segment that is affected by
261 a certain distress. The larger the affected extension, the worse the pavement condition is
262 likely to be. Depending on its scale, stresses may be measured using either the number of
263 units/tiles (for individual distresses), the length (for interface distresses), and the area (for
264 global distresses). Each area of distress that is measured should be relativized, using the
265 percentage portion of the distress over the total value of the pavement section or knot. By
266 doing so, distress on different scales and in different polygons can be summed up and
267 compared.

268 Distress location (DL_o).

269 The localization of pavement distresses has a direct influence on the user perception of
270 the distress. The perception of distress at less transitable points (away from the main
271 circulatory flow) will be less negative than the perception of the same type of distress at
272 points where transit is frequent. Three different levels of transit are therefore expressed

273 in terms of a circulation coefficient (α) that penalizes those areas with higher levels of
274 transit. A maximum α of 1.0 should be assigned to areas with very high levels of transit,
275 where the effects of distress are highly likely to affect pedestrians and traffic directly.
276 Intermediate values (0.75, for example) should be assigned to distress located in areas
277 where transit is less likely, while lower values (0.50, for example) should be assigned to
278 distress that is visible, but where there is little or no circulation (see Fig. 7).

279

280

281 *Figure 7 – Generic scheme of the UPCI methodology using a MIVES framework*

282

283 **3.3: MIVES multi-criteria analysis framework for the urban pavement condition**

284 **index**

285 The development of multidimensional classification models can be traced back to the
286 linear and quadratic discriminant analysis of Fisher (1936) and Smith (1947). Since then,
287 a number of multi-criteria methodologies have been developed with the aim of providing
288 a systematic framework for breaking the problem into its constituent parts, in order to
289 understand it better and, consequently to arrive to a proper evaluation (Cafiso et al., 2001).
290 The multi-criteria approach applied in this paper to evaluate the urban pavement condition
291 is based on the Integrated Value Model for Structural Assessment (MIVES). This Multi-
292 Criteria methodology was originally developed for the assessment of sustainability (San
293 Jose and Cuadrado, 2010; Aguado et al. 2012; Pons et al. 2012; Aguado et al. 2017) and
294 the prioritization of homogenous (Viñoles et al., 2009) and heterogeneous (Pardo and
295 Aguado, 2014; Pujadas et al., 2017) alternatives. Its main contribution is that it combines
296 Multicriteria Decision Making (MCDM) and Multi-Attribute Utility Theory (MAUT),
297 incorporating the VF function concept (Alarcón et al. 2011) and assigning weights using

298 the Analytic Hierarchy Process (AHP) (Saaty, 1980). In this paper, the problem is
299 structured within the MIVES multi-criteria analysis framework, adapted to evaluate urban
300 pavement conditions according to pre-established criteria. The problem was
301 disaggregated into three levels. The scale of the distress (DSc) represents the first level,
302 the severity of the distress (DSe) defines the second level, while the third level depends
303 simultaneously on the distress class (DCI), location (DLo), and extension (DEx). A
304 scheme of the methodological framework is presented in Figure 8.

305

306 *Figure 8 – Generic scheme of the UPCI methodology using a MIVES framework*

307

308 Analytic Hierarchy Process (AHP)

309 The weights assigned using the Analytic Hierarchy Process (AHP) reflect the relative
310 importance of each level on the condition index. The AHP, originally devised by Saaty
311 (1980), is a linear additive model converting subjective assessments of relative
312 importance into a set of overall scores or weights, which are based on pairwise
313 comparisons. The decision maker is asked a series of questions on how important one
314 particular criterion is relative to another. In this case, the pairwise comparison matrixes
315 from table 3 and 4 were built to assess the weight for the scale and severity levels. The
316 relative importance of each comparison was obtained by consulting a panel of experts
317 with different management responsibilities for pavement maintenance in the city of
318 Barcelona. Note that these matrices may be adapted to the contexts of other cities.

319

320 *Table 3 – Scale levels pairwise comparison matrix (w_{DSc})*

321 *Table 4 – Severity levels pairwise comparison matrix (w_{DSe})*

322

323 A number of methods may be used to estimate the weights from the pairwise comparison
324 matrix. Saaty's method depends on relatively advanced matrix algebra used to identify
325 the value of the weights that are calculated as the elements in the eigenvector associated
326 with the maximum eigenvalue of the matrix. A more straightforward alternative that also
327 has some theoretical basis is as follows: first, calculate the geometric mean of each row
328 in the matrix; then, calculate the total sum of the geometric means; (3) finally, normalize
329 each of the geometric means by dividing it by the total. The weights estimated by the two
330 different approaches tend to be close although not identical. The latter approach was used
331 in this paper to obtain the weight of each level.

332 According to the values of the pairwise comparison matrix of table 1, all the scale levels
333 were considered equally important. This assumption leads to a Rank 1 matrix (all the rows
334 are linearly dependent on the first), and they consequently have the same weight ($w_{DS_{ci}}$)
335 for the three scales of distress. The same organization was also assumed for the DCI, in
336 which the same weights ($w_{DS_{ci}}$) were obtained for all the distress classes. On the contrary,
337 different weights were obtained with the pairwise comparison matrix of the severity levels
338 ($w_{DS_{ei}}$, see table 2). Safety-related distresses have a greater impact on the final assessment
339 of the urban pavement condition than the functional or esthetic distresses.

340 The severity weights assigned by the decision-makers represent a first step in the
341 definition of the pavement management strategy. Although distress may progress quite
342 differently depending on its class, generally if left unrepaired, the heightened severity will
343 turn an esthetic problem into a safety problem. Therefore, a stricter criteria followed by
344 the decision-makers, in which functional and comfort-related distress is assigned higher
345 weights and will consequently have greater impact on the pavement condition, will result
346 in a preventive strategy that keeps distress levels below a safety-related threshold.

347

348 Value function concept

349

350 The extension of distress affecting the pavement condition will depend on its severity and
351 class. In some cases, a small extension will significantly affect the pavement condition,
352 while in others, even larger extensions may not impair the state of the pavement. The
353 methodology applies the VF concept in accordance with the format of Eq. 1, in order to
354 consider such circumstance. This equation is a single mathematical function that converts
355 the qualitative and quantitative variables of the indicators, with their different units and
356 scales, into a single scale from 0 to 1 (Alarcón et al. 2011). Such extremes represent the
357 minimum and the maximum degree of decision-maker satisfaction. The value function in
358 MIVES depends on 5 parameters, the variations of which generate the four basic types of
359 curves: concave, convex, lineal, and S-shaped. The parameters that define the function
360 type are K_i , C_i , X_{max} , X_{min} and P_i . The value of B that appears in equation 3 is
361 calculated in accordance with Eq. 2.

362

$$IV_i = B_i * \left[1 - e^{-K_i * \left(\frac{|X - X_{min}|}{C_i} \right)^{P_i}} \right] \quad [1]$$

363

364 where: X_{min} is the minimum x-axis of the space within which the interventions take place
365 for the indicator under evaluation.

366 X is the quantification of the indicator under evaluation (different or otherwise,
367 for each intervention).

368 P_i is a form factor that defines whether the curve is concave, convex, linear, or
369 “S” shaped: concave curves are obtained for values of $P_i < 1$, convex and

370 “S” shaped forms for $P_i > 1$, and quasi linear forms for $P_i = 1$. In addition,
 371 P_i gives an approximation of the slope of the curve at the inflection point.
 372 C_i approximates the x-axis of the inflection point.
 373 K_i approximates the ordinate of the inflection point.
 374 B_i is the factor that maintains the function within the value range of 0 to 1,
 375 which is defined by Eq. 2.

$$B_i = \left[1 - e^{-K_i \left(\frac{|X_{\max_i} - X_{\min_i}|}{C_i} \right)^{P_i}} \right]^{-1} \quad [2]$$

377

378

379 where: X_{\max} is the x-axis of the indicator that generates a value equal to 1 (in the case of
 380 functions with increasing values).

381

382 The esthetic quality standards in Barcelona are highly demanding and the same is
 383 true of its pavements. In consequence, almost all the polygons under evaluation are close
 384 to the point of maximum satisfaction. Hence, a convex curve (in which there is hardly
 385 any increase in satisfaction for small changes around the point that generates minimum
 386 satisfaction, see Figure 9a) was used for esthetic distresses, so that the discrimination is
 387 better and the incentive for improvement higher. On the contrary, a concave type curve
 388 (in which satisfaction rapidly increases at first in relation to the indicator, see Figure 9c)
 389 was used for safety-related distress. This type of relationship is chosen when the most
 390 important point is to move away from the point of minimum satisfaction rather than
 391 reaching the point of maximum satisfaction. In this case, small changes in unsafe
 392 polygons are highly valued. Finally, a linear function with a proportional relationship

393 throughout the range was used for functional distress, showing a steady increase in the
394 satisfaction produced by the alternatives (see Figure 9c). Table 5 presents the values
395 chosen for the definition of the value functions

396

397

398 *Figure 9. Different types of value functions: a) convex, b) linear, and, c) concave*

399

400 *Table 5 – Values chosen for the definition of the value functions*

401

402 **3.4: Final Urban Pavement Condition index (UPCI)**

403

404 Section 3.3 presented the integrated MIVES approach for the categorization of
405 urban paving tiles. The steps of the methodology are briefly summarized as follows:

406 Step 1: Definition of the portion (in percentages) of the pavement affected by each
407 surface distress class (DCI) under analysis. Depending on the distress scale, the DEx may
408 be defined as a percentage of the number of units, length, or area for individual, interface,
409 and global distress, respectively.

410 Step 2: For each of the extensions (DE_{x_i}) defined in Step 1, a circulation
411 coefficient α_i , (see section 3.2) is assigned. Thus, obtaining a modified distress extension
412 ($DE_{x_i}^*$)

413 Step 3: Weighted sum of the $DE_{x_i}^*$ calculated in step 2 (DSc already penalized
414 by the circulation coefficient) of all the distresses of the same scale and severity (*i.e.*
415 individual esthetic distresses). Note that the maximum ($\Sigma DE_{x_i}^*$) of each category on the
416 distress scale and its severity will not exceed 100%.

$$\sum_{DCl=1}^i DEx_{DCl,i} * w_{DCl,i} = \sum_{DCl=1}^i (DEx_{DCl,i} \alpha_i) w_{DCl,i} \quad [3]$$

417

418 Step 4: For a given distress scale (DSc), apply the VF of each of the severity levels
 419 (DSe), for each modified extension ($\sum_{DCl=1}^i DEx_{DCl,i} * w_{DCl,i}$) obtained in step 3.

420

$$VF_{DSe,m} \left(\sum_{DCl=1}^i DEx_{DCl,i} * w_{DCl,i} \right) \quad [4]$$

421

422 Step 5: For a given distress scale (DSc), apply the weighted sum of the results in
 423 step 4 to each of the three levels of distress severity.

$$\sum_{DSe=1}^m \left(VF_{DSe,m} \left(\sum_{DCl=1}^i DEx_{DCl,i} * w_{DCl,i} \right) \right)_m w_{DSe,m} \quad [5]$$

424

425 Step 6: Finally, a weighted sum of the three levels of the distress scale
 426 (respectively affected by its $w_{DSc,j}$) yields the integrated index of the pavement condition:

427

$$UPCI = \sum_{DSc=1}^j \left(\sum_{DSe=1}^m \left(VF_{DSe,m} \left(\sum_{DCl=1}^i DEx_{DCl,i} * w_{DCl,i} \right) \right)_m w_{DSe,m} \right)_j w_{DSc,j} \quad [6]$$

428

429 As previously mentioned, the evaluation process is based on a 3-level framework: scale
 430 (DSc); severity (DSe); class (DCl). Organization of the framework permits further
 431 analysis of the urban pavement condition, as partial indexes may be directly retrieved.
 432 Table 6 presents the partial indexes which may be obtained as a result of grouping the
 433 distress classes by scale or severity.

434

435 *Table 6 – Partial indexes*

436 **4- SIDEWALK TILE-PAVING**

437 The feasibility, robustness and coherence of the UPCI multicriteria approach is assessed
438 in this section in a sensitivity evaluation of 3 streets in Barcelona: Carrer Bruc (between
439 Còrsega and Roselló), Parc Estació del Nord (between Nàpols and Sandenya), and Carrer
440 de Moscou.

441

442 **4.1- Description of the studied examples**

443 The general condition of Bruc street is good, however, occasional spots of esthetic distress
444 concentrated in certain areas of the street such as cracked tiles (Fig 10a), loss of chromatic
445 properties (Fig 10b) different tile styles (Fig 10c), wear and tear (Fig 10d), and surface
446 aging (Fig 10e) can be identified. However, these defects have no effect on pedestrian
447 comfort and safety.

448 *Figure 10. Different images of distress in Carrer Bruc*

449 Figure 11 shows the most significant and representative surface distress on the sidewalk
450 pavement of the Parc de l'Estació del Nord. This pavement was constructed with
451 60mmx40mm precast paving tiles. Apart from broken and/or cracked tiles (Fig 11a and
452 11b), other aspects of esthetic distress can be identified such as discoloration (Fig. 11c).
453 Moreover, risky situations with raised paving tiles alongside green areas were found
454 during the inspection, at some distance from areas with frequent pedestrian circulation.

455 *Figure 11. Different images of distress in Parc Estació del Nord*

456

457 Figure 12 presents some of the most representative distress in Carrer de Moscou (Fig
458 12a). This polygon (in the Sant Martí neighborhood) corresponds to the sidewalk paving,
459 built with high quality 30mmx20mm precast tiles. The condition of the pavement is
460 highly affected in comparison with the general state of sidewalk pavements in Barcelona.
461 Most of the distress shown in the photos is caused by underground roots close to the tree
462 pits (Fig 12b). Additionally, throughout the section (Fig 12c), tile movement (Fig 12d),
463 wear and tear of curbstones (Fig 12e) and interface distress (Fig 12e) can be identified
464 together with some missing elements (Fig 12f).

465

466 *Figure 12. Different images of distress in Carrer de Moscou*

467

468 **4.2- Results**

469

470 Table 7 presents the results of the UPCI Index together with the scale and the severity
471 indexes. Note that the overall UPCI index is obtained from the weighted sum of the three
472 levels of the scale or the three levels of severity.

473

474 *Table 7 – Results of the UPCI and partial indexes*

475

476 The case study on Barcelona paving tiles have yielded very satisfactory results, showing
477 that accurate, consistent, and repeatable pavement evaluation can be performed with the
478 MIVES methodology. The partial indexes together with the UPCI index are a step
479 towards the prioritization of future maintenance and management strategies in the face of
480 limited resources.

481 Finally, one can conclude from the global assessment, following the analysis and the
482 assessment of the selected streets together with the overall observations of the sidewalks,
483 that the general state of urban paving in Barcelona is of high quality.

484

485 **5- CONCLUSIONS**

486 Most of the existing indexes for the assessment of pavement conditions were developed
487 for interurban pavements and their application to urban networks is consequently not
488 representative. Hence the meaningful contribution of this paper to the assessment of the
489 various indexes on the condition of urban pavements in the city of Barcelona. The
490 following conclusions have been drawn from the present study:

491

- 492 • The network of urban pavements has been efficiently categorized with the MIVES
493 multi-criteria methodology in a simple and straightforward manner. It has also
494 been used to assess the service condition of the pavement (through the distress
495 survey) assessed with the MIVES quality index.
- 496 • The quality index represents a global assessment of the urban pavement that
497 considers the scale, severity, class, extension, and location of each distress point.
498 The global index assigned to the urban pavement therefore incorporates, partial
499 indexes on both severity and scale that can be directly retrieved.
- 500 • The various examples of paving tiles in Barcelona have yielded very satisfactory
501 results, showing that accurate, consistent, and repeatable categorizations of
502 pavement condition can be performed with the MIVES pavement quality index.
- 503 • Moreover, the index provides a further step towards research on an effective urban
504 pavement design that maximizes the performance of pavement sections and makes
505 efficient use of scarce resources.

507 **Appendix A: Checking the consistency of the pairwise comparison matrix.**

508 The weighting of each alternative will usually result in some inconsistencies
509 (causing errors and uncertainty) in terms of fully logical results. The AHP incorporates
510 an effective technique for checking the consistency of the evaluations to which the
511 decision-maker contributes when building each of the pairwise comparison matrices that
512 form part of the process. Hence, Saaty introduced the Consistency Ratio (CR) for pairwise
513 consistency matrices. If the CR exceeds 10%, it is recommended that the decision-maker
514 review the preferences. The CR may be calculated using the Consistency Index (CI) and
515 the Random Index (RI), according to eq. A1.

$$CR = \frac{\text{Consistency Index}}{\text{Random Index}} = \frac{CI}{RI} \quad [AA.1]$$

516 Saaty proposed that the Consistency Index (CI) be computed from the largest
517 eigen value (λ_{max}) and the size (m) of the pairwise comparison matrix, as shown in eq.
518 AA.2.

$$CI = \frac{\lambda_{max} - n}{n - 1} \quad [AA.2]$$

519 The Random Index can also be interpreted as a consistency index when the entries
520 of A are completely random. The values of RI for small problems ($n \leq 10$) are shown in
521 Table AA.1.

522

523 Table AA.1 Random Consistency Index (RI)

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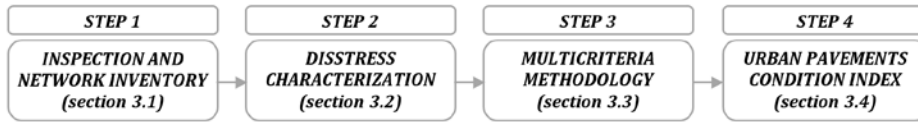
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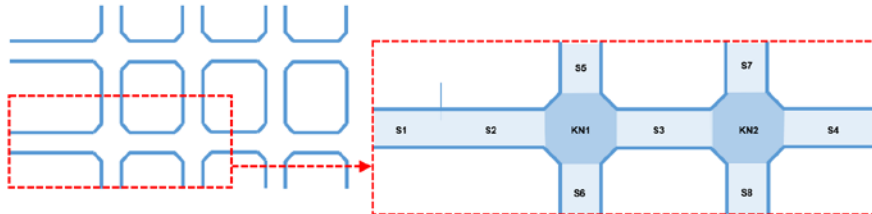


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615 Fig 1. Flowchart of the methodology proposed

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619 Fig 2. Schematic urban network division into knots (Ki) and sections (Si)

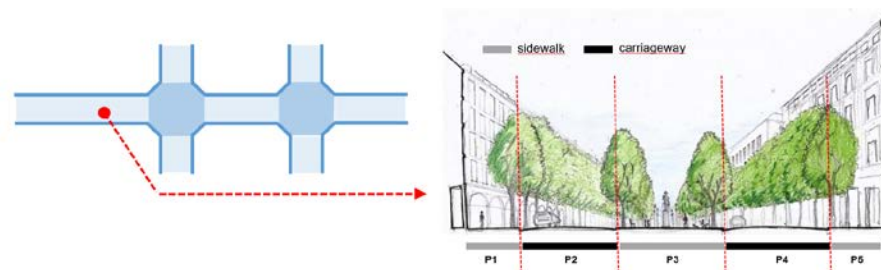
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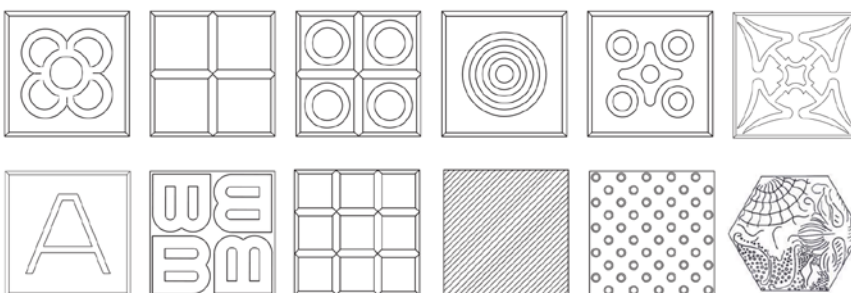
626 Fig 3. Example of division into polygons (Pi)

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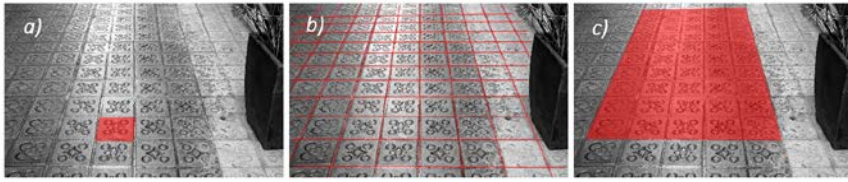
632 Fig 4. Designs of the common paving tiles used in Barcelona

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638 Fig 5. Distress Scale (DSc): a) Individual; b) Interface and c) Global

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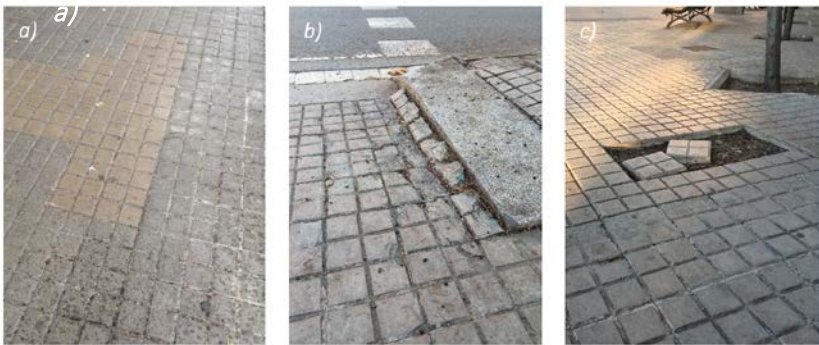
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648 Fig 6. Examples of Distress Severity (DSe) a) Aesthetic; b) Comfort and c) Safety

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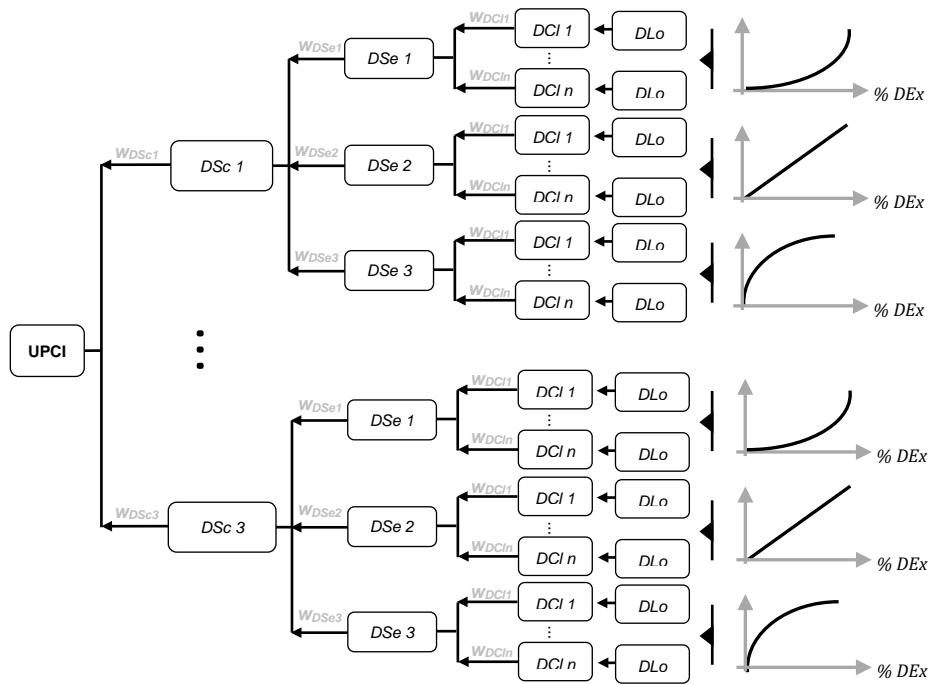
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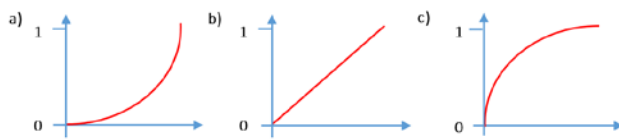
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Figure 7 – Generic scheme of the UPCI methodology using a MIVES framework



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Figure 8 – Generic scheme of the UPCI methodology using a MIVES framework



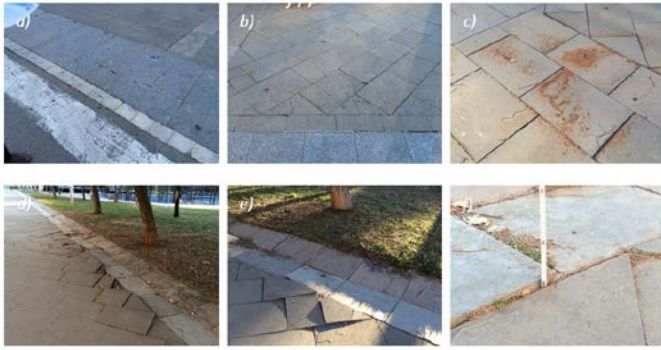
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Figure 9. Different types of value functions a) convex; b) linear and c) concave



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Figure 10. Different images of distresses in Carrer Bruc



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670 Figure 11. Different images of distresses in Parc Estació del Nord

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674 Figure 12. Different images of distresses in Carrer Moscou

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Table 1: List of pavements of general use in Barcelona

List of paving materials for pavements and sidewalks in Barcelona		
DISCONTINUOUS (BY PIECES)	PRECAST MORTAR/CONCRETE	1 20x20 mortar tile
		Precast concrete tile
		2 High quality concrete tile
	CERAMIC	Regular quality concrete tile
		Precast concrete paver/cobblestone
	ARTIFICIAL STONE	3 High quality concrete paver/cobblestone
		Regular quality concrete paver/cobblestone
	NATURAL STONE	4 Klinker ceramic paver/cobblestone
		5 Artificial stone or terrazo tiles
6 Natural granite stone		
CONTINUOUS PAVEMENT	BITUMINOUS	7 Natural sandstone stone
		8 Other natural stone
		9 Natural stone paver/cobblestone
		Continuous hot bituminous pavement
	CONCRETE	10 Continuous hot bituminous pavement for basic network street
		11 Dual component resins for grout bituminous surface treatment
		12 Coulored bitumen slurry
GRANULAR	13 Large concrete slabs	
	14 Saulo sand	
SYNTHETIC	15 Stabilized Saulo sand	
		16 Recycled rubber

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Table 2 – List of distress categories for urban pavements

DSc	DSe	Distress Categories for tiled Urban pavements
INDIVIDUAL	ESTHETIC	Erosion and/or surface wear due to ageing
		Depressions other surface wear without risk
		Cracks without material loss or vertical slopes / gaps
		Loss of chromatic properties
	COMFORT	Peeling edges with mass loss or movement of part of the tile-piece
		Pointed corners with mass loss or movement of part of the tile-piece
		Movement between paving tiles due to loss of cohesion
SAFETY	Loose tile or paving tile missing	
INTERFACE	ESTHETIC	Vegetation growth
		Horizontal gap between paving tiles < 0.5 cm
		Vertical gap between paving tiles < 0.5 cm
		Sinking of the surrounding elements of the tree well (Vertical gap < 0.5 cm)
		Sinking of the sidewalk curb (Vertical gap < 0.5 cm)
	COMFORT	Loss of cohesion between paving tiles
		Horizontal gap between paving tiles < 1 cm
		Vertical gap between tiles < 1 cm
		Sinking of elements surrounding tree pits (Vertical gap < 1 cm)
		Sinking of sidewalk curb (Vertical gap < 1 cm)
	SAFETY	Horizontal gap between tiles > 1 cm
		Vertical gap between tiles > 1 cm
		Sinking of the surrounding elements of the tree pit (Vertical gap > 1 cm)
GLOBAL	INDIVIDUAL	Patches with esthetic impact
	COMFORT	Small surface irregularities < 1 cm
		Pavements bumps < 1 cm
	SAFETY	Surface irregularities > 1 cm
Pavements bumps > 1 cm		

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Table 3 – Scale levels pairwise comparison matrix (w_{DSc})

	Distresses			w_{DSc}
	Individual	Interface	Global	
Individual	1	1	1	0.33
Interface	1	1	1	0.33
Global	1	1	1	0.33

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(Consistency: CR= 0,00)

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Table 4 – Severity level pairwise comparison matrix (w_{DSe})

	Distresses			w_{DSe}
	Safety	Comfort	Esthetic	
Safety	1	3	9	0.69
Comfort	1/3	1	3	0.23
Esthetic	1/9	1/3	1	0.08

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(Consistency: CR=-3.8284E-16)

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Table 5 – Values chosen for the definition of the value functions

DSc	DSe	X _{min}	X _{max}	C _i	K _i	P _i	B _i
Individual distresses	Esthetic distress	0	100	1000	01	1.6	398.6074
	Comfort distress	0	100	10	0.00001	1	10000.5
	Safety distress	0	100	75	4	1	1.004851
Interface distresses	Aesthetic distress	0	100	80	01	2	6.13016
	Comfort distress	0	100	10	0.00001	1	10000.5
	Safety distress	0	100	100	4	1	1.018657
Global distresses	Aesthetic distress	0	100	40	0.2	1.5	1.830116
	Comfort distress	0	100	10	0.00001	1	10000.5
	Safety distress	0	100	60	6	1	1.000045

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Table 6 – Partial indexes

Severity indexes		
Esthetic distress index	$\sum_{DSc=1}^j \left(\left(VF_{DSe,aesthetic} \left(\sum_{DCl=1}^i DEX_{DCl,i} * w_{DCl,i} \right) \right)_{aesthetics} \right)_j w_{DSc,j}$	[7]
Comfort distress index	$\sum_{DSc=1}^j \left(\left(VF_{DSe,comfort} \left(\sum_{DCl=1}^i DEX_{DCl,i} * w_{DCl,i} \right) \right)_{comfort} \right)_j w_{DSc,j}$	[8]
Safety distress index	$\sum_{DSc=1}^j \left(\left(VF_{DSe,safety} \left(\sum_{DCl=1}^i DEX_{DCl,i} * w_{DCl,i} \right) \right)_{safety} \right)_j w_{DSc,j}$	[9]
Scale indexes		
Individual distress index	$\left(\sum_{DSe=1}^m \left(VF_{DSe,m} \left(\sum_{DCl=1}^i DEX_{DCl,i} * w_{DCl,i} \right) \right)_{individual} \right) w_{DSe,m}$	[10]
Interface distress index	$\left(\sum_{DSe=1}^m \left(VF_{DSe,m} \left(\sum_{DCl=1}^i DEX_{DCl,i} * w_{DCl,i} \right) \right)_{interface} \right) w_{DSe,m}$	[11]
Global distress index	$\left(\sum_{DSe=1}^m \left(VF_{DSe,m} \left(\sum_{DCl=1}^i DEX_{DCl,i} * w_{DCl,i} \right) \right)_{global} \right) w_{DSe,m}$	[12]

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705 *Table 7 – Results of the UPCI and partial indexes*

Street Segment	UPCI	SCALE INDEXES			Severity indexes		
		Distresses			Distresses		
		Individual	Interface	Global	Aesthetic	Comfort	Safety
Bruc	0.166	0.034	0.340	0.125	0.092	0.050	0.211
Parc Estació del Nord	0.230	0.353	0.024	0.311	0.052	0.112	0.286
Moscou	0.381	0.609	0.072	0.460	0.014	0.132	0.500

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Table AA.1 Random Consistency Index (RI)

Matrix size n	1	2	3	4	5	6	7	8	9	10
RI	0.00	0.00	0.58	0.9	1.12	1.24	1.32	1.41	1.45	1.51

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