Thin films of AlCrFeCoNiCu high-entropy alloy by pulsed laser deposition

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Pulsed laser deposition has been used to prepare thin films of the high entropy alloy AlCrFeCoNiCu. The 35 nm films were deposited in ultra-high vacuum onto glass at room temperature and above and analysed using X-ray diffraction and X-ray photoelectron spectroscopy. Films deposited at room temperature exhibit a mix of FCC and BCC reflections, the FCC crystallites having size similar to the film thickness, but the BCC crystallites are larger. The intensity of the reflections from both crystal structures reduce with increasing deposition temperature, the fall in BCC commencing at lower temperature than the FCC associated with a reduction of the content of Al and Cu. X-ray photoelectron spectroscopy shows that the films deposited at room temperature are closer to stoichiometry than those at higher temperatures. An important feature of the X-ray photoelectron spectroscopy depth profiles is surface segregation, the outer 3 nm of the high entropy alloy films has higher concentration of Al and, to a lesser extent, Cr.

Highlights
- The films exhibit mixed FCC and BCC structure.
- With increasing deposition temperature, FCC and BCC reflections reduce associated with loss of Al and Cu.
- Surface segregation enriches the surface of the films in Al and to a lesser extent Cr.

Keywords: High entropy alloy; AlCrFeCoNiCu; PLD; XRD; XPS.

1. Introduction

High entropy alloys (HEA) are alloys with at least five components that are approximately equi-molar [1–3]. They tend to form solid solutions with FCC and/or BCC lattices, stabilised by the entropy of mixing. There is a burgeoning body of work discussing the properties of these materials inspired primarily by their impressive mechanical properties.

In addition to the large literature relating to the properties of these alloys in the bulk, there are an increasing number of reports about the deposition of thin films of high entropy alloys using physical vapour deposition, specifically DC and RF sputtering. These are focussed on mechanical properties [4–6], structure and morphology [7], electrical properties [8], and magnetic properties [9]. There has been a particular focus on thin films of the HEA CrFeCoNiCu, with [10–15] or without a sixth component [16,17].

A study of sputter deposition of AlCrFeCoNiCu films from mosaic targets [15] reported that the structure could change between solid solutions of FCC, BCC or disordered depending on quite small changes in composition, BCC stabilised by Al and Cr, FCC by Cu, Co and Ni. A related study showed a mixed FCC, BCC structure that was stable on heating to 310°C on an Si substrate and an FCC that was stable to 510°C.

More recent work employing pressed powder targets has examined the influence of the sixth element, including Nb [10,12], In, Ge [11] and Al [13]. The general trend is that increasing the content of the sixth element (frequently of larger radius) changes

the FCC structure to amorphous, or BCC in the case of Al. It was suggested that the larger size of Al made it effectively an impurity in a matrix that could be better accommodated by the lower coordination of the BCC lattice [13]. It has also been suggested that momentum transfer from the back-reflected Ar atoms in the sputtering process for the Nb alloy films caused an increase in crystallinity by reducing micro-stress [12].

Pulsed laser deposition (PLD) is a widely used method for the deposition of thin films, particularly compounds and alloys. Among its attractions are that it has lesser problems with incongruent evaporation than some methods and it is equally applicable to low vapour pressure materials as high. It is distinct in nature from sputter-deposition in that the instantaneous deposition rate may be much higher and the energy of the arriving species can be much higher. It is known that the properties of HEA are strongly dependent on manufacturing conditions such a slowly changing temperature, or quench cooling. Thin film deposition is by nature quite different from the creation of bulk materials enabling the creation of materials with differing properties and the use of PLD would open-up a new parameter space for the controlling the deposition of HEA films. For this reason, an investigation into the deposition of HEA films by PLD has been instigated and is reported here.

In this paper, the deposition of thin films of AlCrFeCoNiCu by PLD is reported and the influence on structure and composition of the deposition temperature discussed. The potentially important incidence of surface segregation within the alloy is also reported.

2. Experimental Methods

The HEA depositions were performed using PLD onto borosilicate glass. The resulting thin films were then analysed using symmetric X-ray diffraction (XRD) and X-ray photoelectron spectroscopy (XPS) to investigate for crystal structure and composition.

The equimolar target for PLD was manufactured by combining the appropriate amounts of each element in an argon arc furnace with a tungsten electrode and a copper hearth. The slug of alloy was melted repeatedly to ensure good mixing of the elements. The resulting slug was cut to shape using spark erosion and the front face was polished. Before use for deposition the target surface was cleaned *in situ* using a low laser fluence.

The Loughborough PLD system [18,19] is based on a design by IWS Dresden [20] and has a base pressure of 2×10^{-10} mbar. Deposition uses a pulsed and frequency-doubled Quanta Ray Nd:YAG laser operating at 10 Hz and wavelength 532 nm. Residual fundamental infra-red radiation is rejected by two wavelength-selective dichroic mirrors and a lens is used to focus the beam into the chamber through a fused silica viewport.

The films were deposited onto 10×10 mm borosilicate glass squares (D263 Menzel Gläser) supplied by Agar Scientific Ltd. The substrates were cleaned *ex situ* using isopropyl alcohol and acetone and were heated under high-vacuum in the load-lock at 120 °C for four hours before transfer into the deposition chamber. Further outgassing was carried out at 300°C until the chamber pressure had fallen to 10^{-8}

mbar before the substrates were allowed to cool in vacuum to the desired deposition temperature. The thin films were deposited in vacuum using a fluence of 2.0 Jcm^{-2} and the mean deposition rate in was 0.25 nm min^{-1}. The film thickness was determined by grazing incidence X-ray reflectivity (XRR) using a specially modified Bruker D5000 and fitted using GenX [21].

Structural analysis was performed using XRD in the Bragg–Brentano θ–2θ geometry symmetrically about the surface normal utilising a Bruker D2 Phaser and the filtered Cu Kα line at 0.154 nm. A modified Bruker D5000 was also employed to obtain a GIXRD θ–2θ scan to confirm the degree of texture. Compositional analysis of the target was performed using EDS on a Hitachi TM3030 and compositional analysis and depth profiles of selected thin films were obtained by XPS using a Thermo K-Alpha employing an X-ray energy of 1486.7 eV. All the equipment is located in the laboratories of Loughborough University, the TM3030 and Thermo K-Alpha are operated by Loughborough Materials Characterisation Centre and the remaining equipment is based in the Department of Physics.

3. Results and Discussion

An off-cut of the target was examined for composition and structure using EDS (several different areas were examined) and XRD, the results being shown in Figure 1. The composition of the target (Figure 1(a)) is close to equimolar, as expected, being Cu:Ni:Co:Fe:Cr:Al 15.2%:17.1%:18.4%:18.1%:17.1%:14.1%. A similar under-representation of Al in EDS from this material has been seen previously and ascribed to higher absorption [22]. A θ–2θ XRD scan of the target is shown in Figure

1(b). As is common for this material [22], only FCC and BCC phases are present, the FCC reflections being indicated in the figure by ● and the BCC by ■. The FCC(111) reflection is much stronger than that of the BCC(110), as may be expected from the structure factors and multiplicities. However, the ratio of the peak areas is just over 5:1 indicating that FCC is slightly favoured, something more usually associated with copper rich alloys. Electron microscopy of the target indicates the presence of some small copper rich areas that could possibly be the cause of this (though of area fraction closer to just a few percent rather than 20%). Given that the EDS was taken over several sample points and the size of the PLD laser spot is macroscopic (~1 mm) any phase separation will not affect the resulting film composition. A report on nano-structured materials suggests that both FCC and BCC phases are observed in equimolar alloys, but that much higher Al content results in BCC being dominant [23], whereas FCC is dominant with lower Al content.

To investigate the structure of the HEA films and the effect of depositing at elevated substrate temperatures, a series of films was deposited at temperatures between room temperature (RT) and 300°C. Figure 2(a) shows the θ-2θ X-ray diffraction scan collected symmetrically about the surface normal for a 35 nm thin film deposited at room temperature onto glass. Only two reflections are evident; the FCC (111) at 43.2° and the BCC (110) at 44.5°. This implies the existence of at least two types of domain, each with an orientational texture such that the most densely occupied plane is parallel to the growth plane, as is common in thin films. It should also be noted that unlike the bulk material measured in Figure 1(b), the FCC (111) reflection is significantly broader than the BCC (110) implying that the FCC crystallites are smaller than the BCC. That no other significant orientations of crystallites are present

is confirmed by an out-of-plane grazing incidence θ-2θ X-ray diffraction scan shown in Figure 2(b). This scan shows only the BCC (110) reflection at 2θ around 45° and the FCC (220) reflection at 2θ around 74°, each being allowed due to the mosaicity of the film and the fact that these planes have an angle from their respective oriented crystal normal of about half the 2θ angle of reflection. Figure 2(c) shows an electron micrograph of the droplets on the film caused by "splashing".

The presence of the FCC (111) and BCC (110) texturing has been observed previously in thin films of this and similar materials, though usually in considerably thicker films [11–16]. In a study of thin films of AlCoCrCuFeNi deposited onto silicon by room temperature sputter deposition from mosaic targets [14], the films were found to texture in FCC (111) for lower Al content and in both FCC (111) and BCC (110) with slightly higher content. Subsequent annealing to temperatures above 300°C resulted in either reaction with substrate or segregation into binary alloys. In another study of deposition by sputter deposition from compressed powder targets [13] CoCrCuFeNi targets were found to texture in the FCC (111) but addition of Al introduced BCC (110) texture. It was suggested that the lower coordination of the BCC structure could accommodate the larger Al atoms more easily.

A series of θ-2θ X-ray diffraction scans in the region of the two reflections taken from 35 nm thin films deposited at onto substrates at temperatures between room temperature and 300°C is shown in Figure 3. The data are represented by points and the results of peak fitting using a pseudo-voigt function are represented by solid lines. The results of this peak-fitting averaged across all samples gives cubic lattice parameters of 3.61 Å for FCC and 2.87 Å for BCC. These are consistent with

previous work on as-cast and quench-cooled bulk alloys of the same material [22]. It can be seen that with increasing deposition temperature, the uniaxial texture falls to being effectively zero at 300°C. It should be noted that in PLD the energy of the arriving species exceeds that in magnetron sputtering by around a factor of ten [24] and in the magnetron study there was evidence that momentum enhances crystallinity by densification.

The peaks shown in Figure 3 were fitted using a pseudo-voigt function and Figure 4 shows the peak areas determined. The values of the areas for FCC (111) compared with BCC (110) are twice as large as may be expected from the structure factor, suggesting that the volume of FCC crystallites exceeds that of BCC, despite the FCC crystals being smaller as suggested by the peak width. Using the Scherrer method [25] the peak width for FCC gives a crystal size of around the same as the film thickness, as could be expected. The crystal size from the BCC (110) is about twice this. The reason for this is not clear, however a complication of PLD compared with other deposition techniques is that the growing film is also splashed with droplets [26], so we need to be aware that the observed reflections may also be associated with these larger pieces of material, but the droplets are unlikely to have a special texture. Figure 4 also shows the peak area ratio, which indicates that as the deposition temperature increases, the FCC crystallites with preferred orientational texture persist longer than the BCC crystallites with preferred orientational texture.

The composition of the films was investigated using XPS *ex-situ*. XPS samples only the surface region due to the short electron attenuation length at these energies [27], while in some cases EDS could be more valuable for average compositions as the technique samples all the film. However, EDS would be not appropriate here as the

large penetration and escape depth mean it samples many elements within the glass substrate. Depth profiling into the film by sputter erosion would enable all the film to be analysed by XPS, but the difference in sputter yields between elements would result in an apparent composition that was altered from its original [28].

For the film deposited onto glass at room temperature, Figure 5 shows survey scans and details of specific emission peaks both before and after etching with an Ar$^+$ beam; several features are apparent. The survey scans (Figure 5(a) and (h)) show only the six principal elements plus oxygen and carbon, which originate from *ex situ* contamination. Following etching the carbon and oxygen are mostly removed and their residual is most likely from the background vacuum given the time taken to record the core level scans.

In Figure 5, from the detailed scans of peaks originating from the six metals, it can be seen that before etching the metals show clear signs of oxidation, with the exception of Cu and perhaps Ni. This is indicated by a higher binding energy peak that is clearly visible compared with the typically metallic spectra shown after sputtering. It is known that the Cu 2p transitions are relatively insensitive to low level of oxidation [29], so this may not indicate a lack of oxidation. The Al 2s peak in Figure 5(g) in particular is dominated by the oxide peak 1.5 eV higher in binding energy than the metallic peak [30]. The Cr 2p$_{3/2}$ also shows a dominant oxide peak 3 eV higher in binding energy than the metallic peak [31]. Of course, both elements are noted for ready oxidation.

The detailed scans of the core levels are difficult to quantify due to the large number of elements present that result in overlapping peaks, both photoemission and those

due to Auger transitions; this is particularly true for Fe and Al. Indeed, the Al 2s was selected as the 2p overlaps with the Cu 3p. The transitions that were used later for depth profiling are marked with * and obvious peak interactions are marked with + in the figure.

The variation of the composition with depth of the films deposited at room temperature, 150°C and 300°C was investigated by depth profiling using 500 eV Ar^+ ions. This is illustrated in Figure 6; panels (a)-(c) show the profiles of the six principal elements plus O and C, while panels (d)-(f) show the same profiles re-calculated to show atomic percentage considering the metallic elements only. The x-axes are the etch time in seconds and a nominal depth based on the known etch rate for Ta_2O_5. The depth profiles are determined by collecting a series of "snap" spectra around the core-levels and the area of one peak is determined by integrating above a Shirley background [32]. Given the problem of overlapping peaks mentioned earlier these profiles are indicative of concentration variation with depth but may not be completely accurate in terms of absolute atomic concentration, however they should give a reasonable picture of the variation of composition with conditions.

Several things are apparent from the profiles. The first of these is that the oxygen and carbon contamination are localised at the surface, as should be expected. The persistence of the oxygen in the profile is most likely due to continuing low-level contamination from the residual vacuum onto some quite reactive metals. The depth of the oxygen contamination exceeds that of the carbon suggesting a layer of metal oxide to about 3 nm.

In the bulk of the room-temperature deposited film (Figure 6(d)) the apparent atomic concentrations are similar, given the caveats on the peak interaction, suggesting reasonably close to equi-atomic concentration. The element with the lowest atomic concentration, Fe, is also the one that is most difficult to distinguish accurately so the values for this should be treated with some caution. The elements Ni and Co seem to be slightly over-represented whereas the others are similar. Ways in which the mechanisms of PLD may affect stoichiometry include in the ablation from the target [33], the behaviour of the plume [34] and in re-sputtering from the film [33,35]. The latter may be important here as in vacuum the plume is not decelerated, and hence very energetic species are impinging onto the growing film.

For the films deposited at 150°C and 300°C (Figure 6 (e) and (f) respectively) the scatter in concentration between elements increases and, in particular, the amount of Al falls between room temperature and 150°C and the amount of Cu falls between 150°C and 300°C. When considering these compositions, we should be aware that they are normalised to 100% across all six elements, so a depletion of one element will lead to an apparent enhancement of the others.

The elements Al and Cu are known to be problematic for diffusion, but this is quite a low temperature. Reports indicate that the diffusivity of Cu in glass at 300°C is low [36] and the diffusion of Al is not high [37] and should be limited by the presence of aluminium oxide at the interface. However, given the short distances involved at comparatively long times it may be that the diffusion at 300°C is sufficient to explain the loss [38,39]. The other possible mechanisms for loss of the elements would be diffusion across the substrate onto the holder (unlikely given the distances involved)

re-evaporation (also unlikely), or re-sputtering due to the energetic plume impacting on the film.

Returning to the discussion of the crystallinity of the films, it is interesting to note that a previous study [22] associated the presence of FCC structure within the material with the presence of copper precipitates, copper having the highest positive enthalpy of mixing with the other transition metals in the alloy. It has also been suggested that the larger size of Al stabilises the BCC structure, which has lower coordination number [13]. Thus, when the XRD data are cross-compared with the XPS concentrations it may be tempting to associate the fall in the crystallinity to the reduced concentration of these two elements with deposition temperature, however it must be less straight-forward than this as the concentrations of these elements do not map directly to the XRD peak areas. The study of bulk alloys indicated a complex phase separation in as-cast alloys [22] and the variety of competing processes induced by PLD growth will make the situation, if anything, more complex than bulk preparation.

The depth profiles in Figure 6 also show some detail of the atomic concentrations in the first 5 nm, where surface segregation can be seen for the elements Al and Cr. Although the concentration of all metallic elements is lower in the surface region, due primarily to oxidation, the concentrations of Al and Cr do not fall as much as the others. This is more easily observable in Figure 6(d-f) in which the O and C contributions have been removed. The surface concentration of Al is strongly enhanced relative to the other element, while that of Cr is slightly enhanced. This issue may be of concern in applications where high-entropy alloys are used for surface-related properties, changing their contact or anti-corrosion properties.

12

The existence of surface segregation in alloys, particularly dilute binary alloys, is long known [40]. Several driving mechanisms for this have been proposed including surface energy [41], atomic size [42] and adsorbate induced segregation [43]. The segregation of Al may be expected on several grounds. The surface energy of Al is lower than that of other elements in the alloy [44] so simple thermodynamic grounds may predict this. In addition, Al atoms are larger than the other elements which also may favour their segregation to the surface to relieve lattice strain [42]. It has also been shown that the presence of O on the surface can induce the segregation of Al from dilute alloys with Cu [43]. The segregation of Cr is less obvious, but here it may also be driven by oxidation and the segregation of Cr from Fe-Cr alloys is well known [45]. The apparent subsurface enhancement of Cu is interesting, but care needs to be taken here; this may be an artefact caused by the sputter yield of Cu being higher than the other elements [46] resulting in an altered layer.

4. Summary

Thin films of AlCrFeCoNiCu have been prepared by PLD. Films deposited at room temperature exhibit a mix of FCC and BCC structures. Both crystal structures reduce with increasing deposition temperature, the fall in BCC commencing at lower temperature than the FCC associated with reduction of the content of Al and Cu. XPS depth profiles show that the surface of the HEA films has higher concentration of Al and Cr.

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6. List of Figures

Figure 1: (a) the composition of the target and a 35 nm film deposited onto a silicon substrate as determined by EDS. (b) $\theta-2\theta$ X-ray diffraction of the target with the expected positions of reflections due to FCC and BCC structures shown.

Figure 2: X-ray diffraction of a thin film deposited onto glass at room temperature. (a) a θ-2θ scan collected symmetrically about the surface normal, showing both FCC and BCC crystallites with preferred orientations of (111) and (110) respectively. (b) a grazing incidence (1°) θ-2θ scan showing BCC (110) and FCC (220) reflections. (c) A low-resolution SEM image showing the droplet distribution on the thin film surface.

Figure 3: θ-2θ scans collected symmetrically about the surface normal of a thin film deposited onto glass at various temperatures, showing the detail of the FCC (111) and BCC (110) reflections together with peak fits as detailed in the text.

Figure 4: The relative peak areas for the FCC (111) and BCC (110) reflections from thin films deposited onto glass at various temperatures.

Figure 5: X-ray photoelectron spectra of a AlCrFeCoNiCu deposited onto glass at room temperature before and after etching using a 500 eV Ar^+ beam. (a) survey scan of the as-deposited film. (b-g) high resolution scans of the principal elements before (black line) and after the etch (grey line). (h) the survey scan after the etch.

Figure 6: X-ray photoelectron depth profiles of the films showing and omitting carbon and oxygen contributions for films deposited at (a&d) room temperature, (b&e) 150°C, and (c&f) 300°C,

Figure 1

Figure 2

Room Temperature — FCC 111 — BCC 110
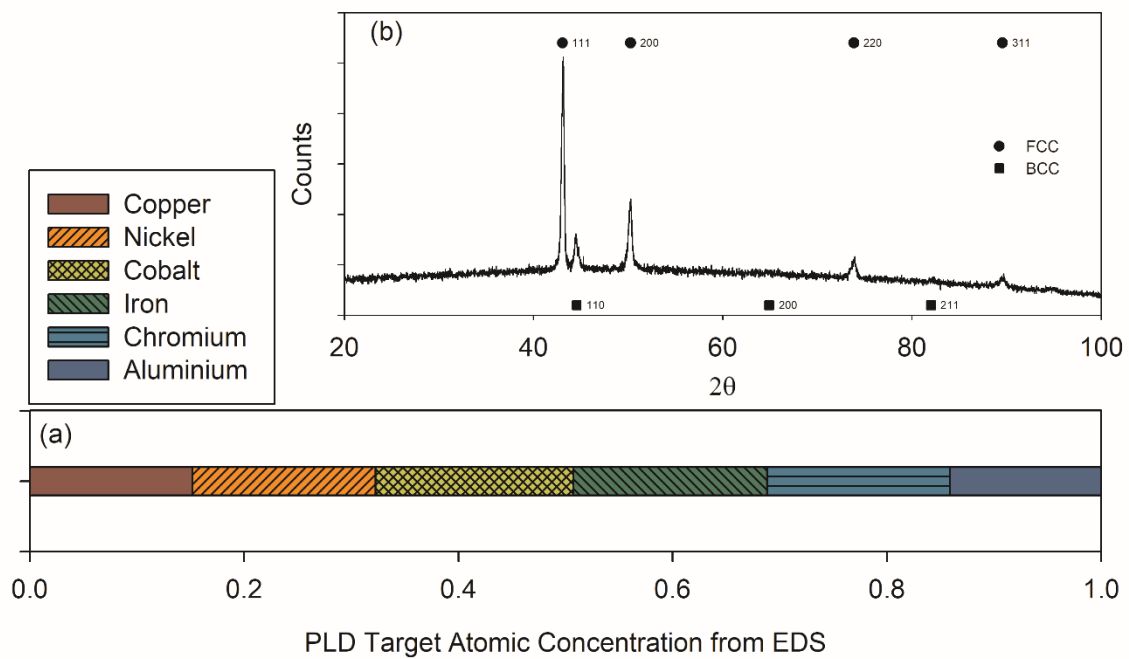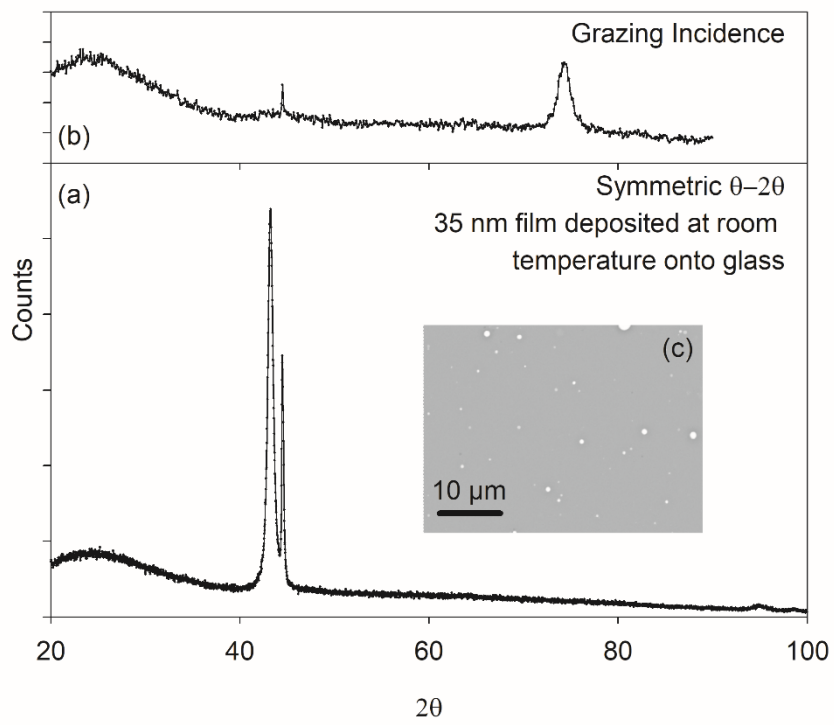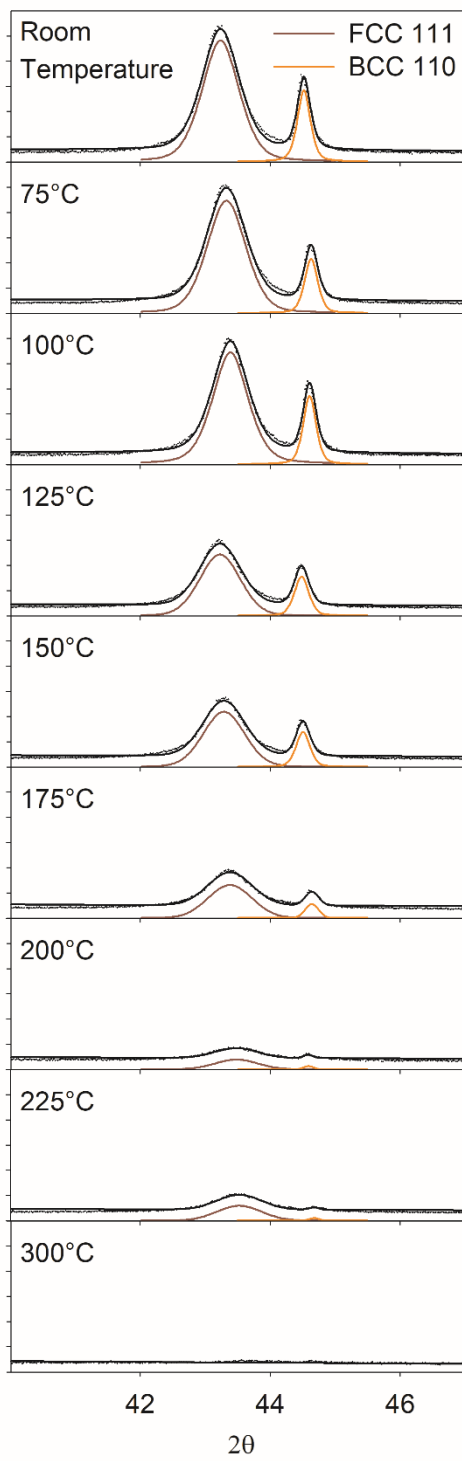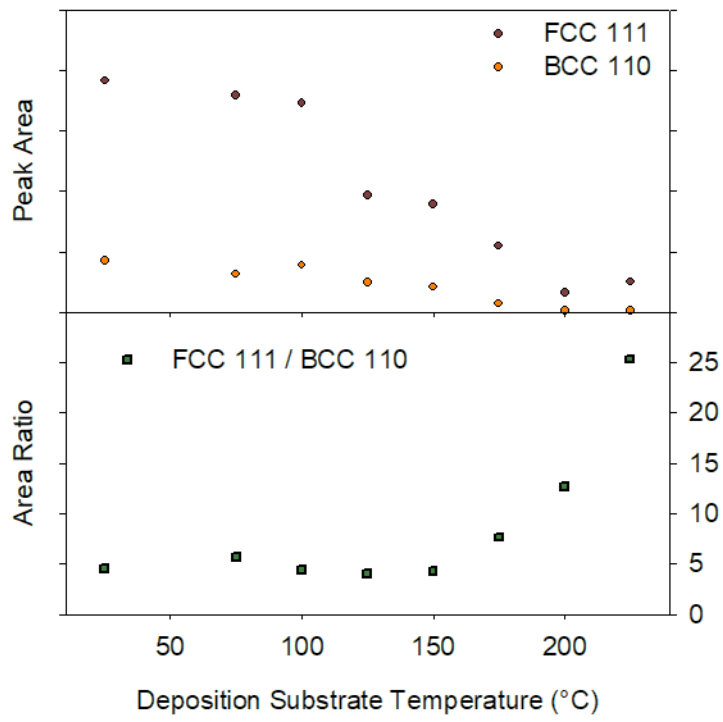
75°C

100°C

125°C

150°C

175°C

200°C

225°C

300°C

2θ

Figure 3

Figure 4

Figure 5

Figure 6