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Recent Developments in the Theory and Applications of ‘Acoustic Black Holes’

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Abstract—‘Acoustic black holes’ are relatively new physical objects that have been introduced and investigated mainly during the last decade. They can absorb almost 100% of the incident wave energy, which makes them attractive for such traditional engineering applications as vibration damping and sound absorption. They could be useful also for some ultrasonic devices using Lamb waves to provide anechoic termination. So far, acoustic black holes have been investigated mainly for flexural waves in thin plates for which the required gradual changes in local wave velocity with distance can be easily achieved by changing the plate local thickness. The present paper provides a brief review of the theory of acoustic black holes, including their comparison with ‘optic black holes’ introduced about three years ago. Review is also given of the recent experimental work carried out at Loughborough University on damping structural vibrations using the acoustic black hole effect. This is followed by the discussion on potential applications of the acoustic black hole effect for sound absorption in air.

Keyword—acoustic black holes; flexural waves; Lamb waves; vibration damping; sound absorption

I. INTRODUCTION

During the last decade, some new physical objects have been proposed and investigated - ‘acoustic black holes’ that can absorb almost 100% of the incident wave energy (see e.g. [1-5]). The main principle of operation of the acoustic black holes is based on a linear or higher order power-law-type decrease in velocity of the incident wave with propagation distance to almost zero accompanied by efficient energy absorption in the area of low velocity via small pieces of inserted absorbing materials. So far, this effect has been investigated mainly for flexural waves in thin plates for which the required gradual reduction in wave velocity with distance can be easily achieved by changing the plate local thickness according to a power law, with the power-law exponent being equal or larger than two. This principle has been applied to achieve efficient damping of flexural waves in plate-like structures using both one-dimensional ‘acoustic black holes’ (power-law wedges with their sharp edges covered by narrow strips of absorbing materials) and two-dimensional ‘acoustic black holes’ (power-law-profiled pits with small pieces of absorbing materials attached in the middle).

Recently, the above-mentioned basic principle of power-law reduction in velocity accompanied by efficient energy absorption in the area of low wave velocity has been re-

invented in optics to implement the so-called ‘optic black holes’ [6, 7].

In the present paper, the theory of acoustic black holes is briefly discussed, and a comparative analysis of acoustic and optic black holes is given. The review is also given of some recent experimental investigations carried out at Loughborough University.

There are still very few investigations of acoustic black holes for absorption of sound in gases and liquids. The possibilities of development of such acoustic black holes that could be used for sound absorption and traditional noise control are discussed as well.

II. THEORETICAL BACKGROUND

Let us first consider the ‘effect of zero reflection’ that can take place for waves of arbitrary physical nature propagating in inhomogeneous media with some specific types of variation of wave velocity with propagation distance. Considering for simplicity a one-dimensional wave propagation characterised by the distance x in an ideal medium with power-law dependence of wave velocity c on x as $c = ax^n$, where n is a positive rational number and a is a constant, one can express the geometrical acoustics solution for the complex amplitude $U(x)$ of a wave propagating from any arbitrary point x towards zero point (where $c = 0$) as

$$U(x) = A(x)e^{i\Phi(x)}, \quad (1)$$

where

$$\Phi = -\int_x^0 k(x)dx = \int_0^x k(x)dx \quad (2)$$

is a total accumulated phase, and the factor $\exp(-i\omega t)$ is assumed. Since $k(x) = \omega/c(x) = \omega/ax^n$, one can see from (2) that the phase Φ becomes infinite if $n \geq 1$. This means that under these circumstances the wave never reaches the point $x = 0$ (the point of singularity). Therefore, it never reflects back either, i.e. the wave becomes trapped, thus indicating that the above mentioned ideal medium with a linear or higher power-law profile of wave velocity can be considered as ‘acoustic black hole’ for the wave under consideration.

This phenomenon has been first described in 1946 by Pekeris [8] for acoustic waves propagating in a stratified ocean characterised by sound velocity profile linearly decreasing to zero with depth. Later on, several other authors have predicted the possibility of the effects of zero reflection for wave phenomena of different physical nature [9-11]. In particular, a practically important possibility of zero reflection of flexural elastic waves from a tip of an ideal quadratic wedge has been predicted by Mironov [11].

A. One-dimensional acoustic black holes for flexural waves

To understand the phenomenon of acoustic black holes for the case of flexural waves one can consider the simplest one-dimensional case of plane flexural wave propagation in the normal direction towards the edge of a free elastic wedge described by a power-law relationship $h(x) = \varepsilon x^m$, where m is a positive number and ε is a constant (Fig. 1).

Fig. 1. Geometry of an elastic wedge of power-law profile

Since flexural wave propagation in such wedges can be described in the geometrical acoustics approximation (see [12-15] for more detail), the integrated wave phase Φ resulting from the wave propagation from an arbitrary point x located in the wedge medium plane to the wedge tip ($x = 0$) can be expressed by (2). In this case $k(x)$ is a local wavenumber of a flexural wave for a wedge in contact with vacuum: $k(x) = 12^{1/4} k_p^{1/2} (\varepsilon x^m)^{-1/2}$, where $k_p = \omega/c_p$ is the wavenumber of a symmetrical plate wave, $c_p = 2c_l(1 - c_l^2/c_t^2)^{1/2}$ is its phase velocity, and c_l and c_t are longitudinal and shear wave velocities in a wedge material, and $\omega = 2\pi f$ is circular frequency. Again, one can easily see that the integral in (2) diverges for $m \geq 2$, which corresponds to the earlier discussed condition of linear or faster decrease in phase velocity. This means that the phase Φ becomes infinite under these conditions, and the wave never reaches the edge. Therefore, it never reflects back either, i.e. the wave becomes trapped, thus indicating that the above mentioned ideal wedges represent acoustic ‘black holes’ for incident flexural waves.

Real fabricated wedges, however, always have truncated edges. And this adversely affects their performance as ‘black holes’. If for ideal wedges of power-law shape (with $m \geq 2$) it follows from (2) that even an infinitely small material attenuation, described by the imaginary part of $k(x)$, would be

sufficient for the total wave energy to be absorbed, this is not so for truncated wedges. Indeed, for truncated wedges the lower integration limit in (2) must be changed from 0 to a certain value x_0 describing the length of truncation. Therefore, for typical (rather low) values of attenuation in such materials as steel, even very small truncations x_0 result in the reflection coefficients R_0 becoming as large as 50-70 %, which makes it impossible to use such wedges as practical vibration dampers.

It has been proposed by the present author [1-4] that the situation for real wedges (with truncations) can be drastically improved via increasing wave energy dissipation in the area of slow wave velocity (near the sharp edges) by covering wedge surfaces near the edges by thin absorbing layers (films), e.g. by polymeric films. For such systems, one can derive the corresponding analytical expressions for the reflection coefficients of flexural waves from the edges of truncated wedges covered by absorbing layers. For example, for a wedge of quadratic shape, i.e. with $h(x) = \varepsilon x^2$, covered by thin absorbing layers on both surfaces the following analytical expression for the resulting reflection coefficient R_0 can be derived [2, 3]:

$$R_0 = \exp(-2\mu_1 - 2\mu_2), \quad (3)$$

where

$$\mu_1 = \frac{12^{1/4} k_p^{1/2} \eta}{4\varepsilon^{1/2}} \ln\left(\frac{x}{x_0}\right), \quad (4)$$

$$\mu_2 = \frac{3 \cdot 12^{1/4} k_p^{1/2} \nu \delta}{4\varepsilon^{3/2}} \frac{E_2}{E_1} \frac{1}{x_0^2} \left(1 - \frac{x_0^2}{x^2}\right). \quad (5)$$

Here ν is the loss factor of the material of the absorbing layer and δ is its thickness, η is the loss factor of the wedge material, x_0 is the wedge truncation length, E_1 and E_2 are respectively the Young’s moduli of the plate and of the absorbing layer, x is the coordinate of the point of observation taken at a sufficiently large distance from the wedge tip. In the case of a wedge of quadratic shape covered by damping layers on one surface only, equations (3) and (4) remain unchanged, whereas the right-hand side of (5) is multiplied by the factor 1/2. In deriving equations (3)-(5) the effect of thin absorbing layers on flexural wave velocity has been neglected, which can be done for very thin absorbing layers. The extension of the above analysis to the case of absorbing layers of arbitrary thickness has been carried out in the paper [2].

Note that geometrical acoustics approximation for the above-mentioned quadratic wedges ($m = 2$) is valid for all x provided that the following applicability condition is satisfied:

$$\frac{\omega}{c_t \varepsilon} \gg 1, \quad (6)$$

where c_t is shear wave velocity in the wedge material. For the majority of practical situations this condition can be easily satisfied even at very low frequencies.

Calculations according to (3)-(5) show that, if an absorbing layer is present ($\delta \neq 0$ and $\nu \neq 0$), it brings a very substantial reduction of the reflection coefficient, sometimes down to 1-3 % [2, 3], which constitutes the ‘acoustic black hole effect’. Thus, in the presence of the absorbing layer (film) the value of the reflection coefficient is much smaller than for a wedge with the same value of truncation, but without a film. Obviously, it is both the specific geometrical properties of a quadratically-shaped wedge in respect of wave propagation and the effect of thin damping layers that result in such a significant reduction of the reflection coefficient. Note that almost all absorption of the incident wave energy takes place in the vicinity of the sharp edge of a wedge. The same principle of combining power-law geometry with thin absorbing layers can be applied also to beams of power-law profile [5, 16].

The effect of wedge truncation length x_0 on the reflection coefficient R_0 in the above example is shown in Fig. 2. For comparison, the curve corresponding to the wedge not covered by absorbing layers is shown as well. One can see that the behaviour of the reflection coefficient R_0 as a function of x_0 is strongly influenced by the absorbing layers. In particular, the effect of absorbing layers results in drastic reduction of the reflection coefficient for relatively small values of edge truncation x_0 .

Fig. 2. Effect of wedge truncation length x_0 on the reflection coefficient R_0 : solid curve corresponds to an uncovered wedge, dashed, dotted and dash-dotted curves correspond to a wedge covered by absorbing films with the values of E_2/E_1 equal to 0.1, 0.2 and 0.3; the film material loss factor ν is 0.15, and the film thickness δ is $15 \mu\text{m}$ [2]

The first experimental observation of the ‘acoustic black hole effect’ for a wedge of quadratic profile has been described in the paper [4]. The system under investigation consisted of a steel wedge of quadratic shape covered on one side by a strip of absorbing layer located at the sharp edge. Measurements of point mobility, or particle velocity related to force (also known as driving point admittance), have been

carried out in the frequency range 100-6500 Hz. The results of the measurements have shown that, in agreement with the theory, a very significant amplitude reduction at resonant peaks (up to 20 dB) can be observed in a wedge covered by an absorbing layer, in comparison with the uncovered wedge or with the reference plates of constant thickness. This has demonstrated that vibration damping systems utilizing the acoustic black hole effect are efficient and suitable for practical applications.

B. Two-dimensional acoustic black holes for flexural waves

Two-dimensional acoustic black holes for flexural waves, such as protruding cylindrically symmetrical indentations (pits) drilled in a regular thin plate of constant thickness (see Fig. 3), have been first proposed and investigated by the present author [5] using geometrical acoustics approach in Hamiltonian formulation.

Fig. 3. A protruding cylindrically symmetrical pit of power-law profile materialising a two-dimensional acoustic black hole [5]

The analysis shows that, in the case of symmetrical pits of power-law profile with $m \geq 2$, a number of rays that are close enough to a direct ray, including a direct ray itself, deflect towards the centre of the pit (see Fig. 4).

Fig. 4. Typical ray trajectories illustrating propagation of bending waves over a pit of power-law profile; the ray below is trapped by the black hole [5]

Since the central area of the pit covered by absorbing material (not shown in Figs. 3 and 4) acts as an efficient

absorber for flexural waves, all such ‘captured’ rays can be considered as fully absorbed rays that have taken away part of the energy of the incident wave. This results in damping of resonant vibrations of finite structures.

To amplify the vibration damping effect of two-dimensional acoustic black holes one can place ensembles of several black holes distributed over the structure (e.g. periodic arrays of black holes), if this does not compromise its main functions, e.g. its rigidity. First experimental investigations of two-dimensional acoustic black holes in different types of plates have been carried out in [17-19].

One of the most important advantages of the above-mentioned one-dimensional and two-dimensional acoustic black holes as dampers of structural vibrations is that they are efficient even for relatively thin and narrow strips of attached absorbing layers. The reason for this is that wave energy dissipation takes place mainly in a very narrow area near sharp edges. This is in contrast with the traditional techniques employing covering the whole surfaces of structures by relatively thick layers of absorbing materials [20, 21]. And this important feature of the acoustic black holes can be very attractive for many practical applications, especially for those involving light-weight structures used in aeronautical and automotive engineering.

C. Optic black holes and their comparison with acoustic black holes

Recently, the above-mentioned basic principle of power-law reduction in velocity accompanied by efficient energy absorption in the ‘slow’ area has been re-invented in optics to create the so-called ‘optic black holes’ [6, 7]. In particular, it has been shown in [6] that a spherical or a cylindrical layer with dielectric constant $\varepsilon = \varepsilon(r)$ altering with distance r according to the inverse power law: $\varepsilon \sim 1/r^n$, with $n \geq 2$, can capture incident light and direct it towards the absorbing core. Since the velocity of light is inversely proportional to $(\varepsilon)^{1/2}$, the condition $\varepsilon \sim 1/r^n$, where $n \geq 2$, implies that the velocity of light should experience linear or higher order power-law type decrease towards the centre. This is exactly the same type of velocity reduction that has been considered above for the general case of wave propagation, regardless of the physical nature of the wave. The above-mentioned optic black holes have immediately attracted attention of the optics research community and practitioners as effective means of harvesting solar energy and its conversion into heat.

Note that the method of analysis used in [6], described by the authors as ‘semiclassical approach’ and ‘Hamiltonian mechanics’, is in fact equivalent to the geometrical acoustics (optics) approach in Hamiltonian formulation used in [5] for the analysis of two-dimensional acoustic black holes for flexural waves. Therefore, it is quite obvious that the principles and theories of the above-mentioned acoustic and optic black holes are almost identical (see also [22]). Apparently, the authors of [6, 7] were unaware of the above-mentioned earlier papers on acoustic black holes [1-5], let alone the papers on zero reflection properties of media with wave velocities varying with distance according to power-law profiles [8-11].

III. SOME RECENT INVESTIGATIONS OF THE ACOUSTIC BLACK HOLE EFFECT

Among the structures that have been recently investigated at Loughborough there were plates or beams bounded by the attached power-law wedges, with the addition of small amounts of absorbing materials at their sharp edges [23, 24].

Fig. 5. Photo showing part of a rectangular plate bounded by a power-law profiled wedge (with $m = 2.2$) [23]

The above-mentioned wedges-like structures (see e.g. Fig. 5) materialise one-dimensional acoustic black holes for flexural waves. The experiments have demonstrated that power-law wedges covered by narrow strips of thin absorbing layers are much more efficient dampers of structural vibrations than traditional rather thick layers of absorbing materials covering entire plate surfaces.

Other important structures that have been recently investigated were rectangular plates with tapered indentations (pits) of power-law profile drilled inside the plates [25, 26]. Such pits materialise two-dimensional acoustic black holes for flexural waves (Fig. 6).

Fig. 6. Circular indentation of power-law profile materialising a two-dimensional acoustic black hole for flexural waves in plates.

To make such two-dimensional acoustic black holes even more efficient, ensembles of several (up to six) black holes have been used in the experiments (Fig. 7). Note that two-

dimensional black holes and their ensembles offer an important advantage in comparison with the case of one-dimensional acoustic black holes (wedges of power-law profile). Namely, the potentially dangerous sharp edges of power-law wedges can be eliminated.

Fig. 7. Manufactured steel plate containing an array of six two-dimensional acoustic black holes [25, 26]

Figure 8 shows the measured frequency response for a rectangular plate shown in Fig. 7 and containing six power-law profiled circular indentations with added pieces of damping layers, in comparison with the response of a reference plate of the same size [25]. As it can be seen, the damping at resonant peaks caused by acoustic black holes is quite substantial, up to 15 dB at higher frequencies.

Fig. 8. Measured accelerance for a plate containing six profiled circular indentations with 14 mm central holes and additional damping layers (solid line), as compared to a reference plate (dashed line) [25]

Among other promising types of acoustic black-hole geometry that have been studied recently were slots of power-law profile made inside rectangular plates [27] (see Fig. 9).

Fig. 9. Steel (a) and composite (b) plates containing slots of power-law profile [27]

As was mentioned above, one of the problems faced by one-dimensional black holes formed by power-law wedges attached to edges of structures is having the sharp wedge tip exposed on the outer edge. One of the solutions to this practical problem is the two-dimensional black holes described above. Slots of power-law profile placed inside structures represent another possible solution that moves the power-law wedges inside a plate, so that they form edges of power-law slots within the plate. Different configurations of such slots in steel and composite plates have been manufactured and tested experimentally. It has been demonstrated that slots of power-law profile located within plates materialise a specific type of quasi-one-dimensional acoustic black holes for flexural waves and represent an effective method of damping structural vibrations. The maximum damping achieved on a steel plate with a single slot was about 11 dB.

Following the idea first proposed in the paper [4], experimental investigations into damping of flexural vibrations in turbofan blades with trailing edges tapered according to a power-law profile have been carried out [28].

Fig. 10. Profile of a model fan blade with a trailing edge tapered to form a wedge of power-law shape [28]

Obviously, wedges of power-law profile fit naturally with a fan blade geometry (see Fig. 10). Several aluminium samples of model fan blades have been manufactured, including straight and twisted samples, the latter being more realistic models for real fan blades. All model blades were excited by an electromagnetic shaker, and the associated

frequency response functions have been measured. The measurements have demonstrated that power-law tapering of trailing edges of turbofan blades results in substantial damping of resonant peaks even in the case of twisted samples [28]. Thus, the above-mentioned power-law tapering of trailing edges of fan blades can be considered as a viable method of reduction of blade vibrations.

In the paper [29], experimental investigations of one- and two-dimensional acoustic black holes made in composite plates and panels have been carried out (see Fig. 11).

Fig. 11. Glass fibre composite plate with two two-dimensional acoustic black holes [29]

For such plates and panels, the addition of acoustic black holes resulted in further substantial increase in damping resonant vibrations, in addition to the already noticeable inherent damping due to large values of the loss factor for composites (0.1 - 0.2). Note that, due to large values of the loss factor for composite materials used, no additional layers of absorbing material were required, as expected.

IV. ACOUSTIC BLACK HOLES FOR SOUND ABSORPTION IN GASES AND LIQUIDS

It would be natural to extend the above-mentioned successful practical implementations of acoustic black holes for flexural waves to the case of acoustic black holes designed for sound absorption in gases and liquids. Such black holes could be used for sound absorption and noise control both in open areas and inside enclosed spaces.

There are still very few investigations in this area. In the first theoretical paper on acoustic black holes for sound absorption in air [30], it was proposed to use an inhomogeneous acoustic waveguide with walls of variable impedance materialised via quasi-periodic ribbed structure to achieve the required linear decrease in acoustic wave velocity with propagation distance. No absorbing core was considered in this work. Therefore, to make this structure work in real situations one should consider adding sound absorbing materials in the area of low sound velocity.

Another possibility of creating acoustic black holes for absorption of sound in air is to use specially designed graded metamaterials as wave retarding structures, as it was done in the case of optic black holes [6, 7]. The first consideration of this possibility has been made in the paper [31] using graded

sonic crystals formed by circular arrays of small scatterers with their filling fractions varying along the radius. In an array of small rigid circular cylinders, the effective density varies with filling fraction. This means that by varying the filling fraction the desired dependence of the effective density, and hence the required dependence of sound velocity on r , can be achieved. This principle of wave velocity reduction has been implemented, and an absorbing core (a porous material) has been added to form the acoustic black hole [31].

The above-mentioned two types of acoustic black holes for sound absorption in air require further investigations, both theoretical and experimental. The expected outcome is to develop efficient sound absorption devices that would require much smaller amounts of absorbing material and thus would be lighter and more convenient than the existing sound absorbing panels.

In contrast to the cases of acoustic black holes for flexural waves and optic black holes, there is a serious problem associated with the development of acoustic black holes for sound absorption in air. This is the fact that at typical acoustic frequencies, the acoustic wavelengths are comparable with expected geometrical dimensions of practical black holes, which can make them non-operational at low and medium frequencies. The use of quicker varying power-law profiles could help to make black holes operational at medium frequencies.

It also seems beneficial to consider new types of geometry of acoustic black holes, in addition to circular cylinders and spheres [31]. In particular, similarly to elastic wedges of power-law profile implementing one-dimensional black holes for flexural waves, one can propose quasi-one-dimensional (flat) acoustic black holes for sound absorption in air (with the absorbing core placed on one side). Such devices could be attached to walls of an enclosure to reduce the overall noise level. Also, following the geometry of power-law slots within plates representing quasi-one-dimensional double-sided black holes for flexural waves [27], one can propose double-sided quasi-one-dimensional acoustic black holes (with the absorbing core placed in the middle). Such black holes could be suspended on cables to absorb noise on both sides. To amplify the effect of acoustic black holes, ensembles or periodic arrays of black holes can be used.

In addition to the above-mentioned two types of acoustic black holes for sound absorption in air, we consider here a new interesting possibility of creating acoustic black holes for absorption of sound propagating in liquid-filled flexible pipes with walls of variable thickness (Fig. 12).

Let us start with the well-known Korteweg-Lamb expression for the velocity c of the lowest order acoustic mode propagating in a flexible pipe (see e.g. [32, 33]):

$$c = c_0[1 + 2a(1 - \nu^2)/\delta E\beta_0]^{-1/2}. \quad (7)$$

Here c_0 is the sound velocity in open liquid, β_0 is the compressibility of the liquid, a is the internal radius of the pipe, δ is the thickness of its flexible walls, E and ν are the

Young's modulus and the Poisson's ratio of the pipe material respectively (see Fig. 12).

Fig. 12. Geometry of a liquid-filled pipe with flexible walls of variable thickness

It can be seen from (7) that, e.g. for a rubber pipe filled with water, the second term in square brackets can be much larger than one. If we consider this case and assume that the local thickness of the pipe walls δ depends on propagation distance x as $\delta = \varepsilon x^m$, where m is a positive number and ε is a constant, then the approximate expression for $c = c(x)$ will take the form:

$$c(x) = [\varepsilon E / 2a\rho_0(1 - \nu^2)]^{1/2} x^{m/2}. \quad (8)$$

Substituting the wavenumber $k(x) = \omega/c(x)$ into (2), where $c(x)$ is defined by (8), one can see that the total accumulated phase Φ becomes infinite if $m \geq 2$, i.e. when the velocity c goes to zero at $x \rightarrow 0$ linearly or faster, which is the necessary condition of the acoustic black hole effect. Adding an absorbing core in the area of low wave velocity, e.g. a piece of porous material, would make this system work in real truncated pipes.

Exciting new opportunities occur if a liquid in the pipe with flexible walls of power-law thickness flows with a non-zero velocity v , e.g. in the case of a hose pipe. Then for all x below a certain distance x_{nr} there would be $c(x) < v$. This would make x_{nr} a point of no return for the incident sound, thus materialising an acoustic black hole with a non-zero event horizon. Note in this connection that, in contrast to some works concerned with the development of acoustic analogues of astrophysical (gravitational) black holes using supersonic fluid flows, including a phononic version of Hawking radiation (see e.g. [34-37]), the main aim of the investigations described in the present paper remains finding more efficient ways of vibration damping and sound absorption. The author believes that acoustic black holes represent one of the most elegant and smart solutions to this problem.

V. CONCLUSIONS

The results of the recent theoretical and experimental investigations demonstrate that the efficiency of vibration damping based on the acoustic black hole effect is substantially higher than that achieved by traditional methods.

The key advantage of using the acoustic black hole effect for damping structural vibrations is that it requires very small

amounts of added damping materials, which is especially important for damping vibrations in light-weight structures used in aeronautical and automotive applications.

The main disadvantage of this method of damping is the requirement to introduce power-law wedges or indentations into structures to be damped, which may compromise their rigidity or integrity. Therefore, this method is limited to some specific structures and applications where structural rigidity and integrity are either not so important or suitable for improvement.

Research into acoustic black holes for sound absorption is still in its infancy. Further investigations are needed to explore their full potential and test their viability.

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