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The effect of school bag design and load on spinal posture during stair use by children

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The effect of school bag design and load on spinal posture during stair use by children

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Response to reviewer comment

Reviewer: 1

Comments to the Author

The authors have addressed many issues. However, the second major flaw, "2. The level of significance was not appropriately determined in this study. $\alpha=0.05$ may not be an acceptable level of significance since multiple comparisons were conducted in the study," has not been fully addressed.

Response: The reviewer suggested that $\alpha=0.05$ is not acceptable, as there are multiple comparisons in the study. I agree that multiple comparisons alone with each having $\alpha=0.05$ is not acceptable, but before that, I have done a MANOVA with repeated measures on all parameters with $\alpha=0.05$. This overall statistical analysis procedure has limited the uncertainty to only 5%, and has avoid the accumulated uncertainty from multiple pairwise comparisons. Since this MANOVA showed significant effect (Wilk's $\lambda = 0.664$, $F = 2.646$, $p = 0.023$) then I proceed with the pairwise comparison. If it did not show a significant effect that the multiple comparisons would not be conducted. While $p < 0.01$ is also a commonly used threshold, I comment that it could be slightly too conservative in a study with 13 subjects. I believe that $p < 0.05$ is a well-accepted method and would like to keep such for those pairwise comparisons. I hope that the reviewer and editor could agree on my decision.

The p values in the manuscript have not been reported in a consistent way: it was reported as " $p<0.05$," or " $p=0.0xx$." The authors should report the exact numbers of the p values unless the values are extremely small (<0.0001). " $p<0.05$ " is not a common way to report the p value. The reviewer wants to see if the statement, "the authors commented that the level of significance was well achieved and the level of uncertainty was kept low," is valid.

Response: I have revised the table with the p values of all pairwise comparison listed. Since the statistical analysis program show only to $p < 0.001$, I could not fulfill the request of the reviewer to report one more decimal place to $p < 0.0001$. I have tried my best to show all the information to support the study. Thanks to the reviewer for suggesting this, which could give the readers a better picture to understand the whole research result.

Title	The effect of school bag design and load on spinal posture during stair use by children
Authors	Youlian HONG ¹ , Daniel Tik-Pui FONG ² , Jing Xian LI ³
Affiliations and postal addresses	¹ Department of Sports Medicine, Chengdu Sports University, Chengdu, China ² Department of Orthopaedics and Traumatology, Prince of Wales Hospital, Faculty of Medicine, The Chinese University of Hong Kong, Hong Kong, China ³ School of Human Kinetics, Faculty of Health Sciences, University of Ottawa, Ottawa, Canada
Corresponding author	Daniel Tik-Pui Fong dfong@ort.cuhk.edu.hk Department of Orthopaedics and Traumatology, Prince of Wales Hospital, Faculty of Medicine, The Chinese University of Hong Kong, Hong Kong, China
Telephone	Daniel Tik-Pui Fong (852) 2632 3535 Youlian Hong (852) 2609 6082
Facsimile	Daniel Tik-Pui Fong (852) 2646 3020 Youlian Hong (852) 2603 5781
Email	Daniel Tik-Pui Fong dfong@ort.cuhk.edu.hk Youlian Hong hongyoulian@gmail.com
Running head	Load carriage during stair use

Abstract:

Thirteen male children ascending and descending stairs with loads that equaled 0%, 10%, 15% and 20% of their body weight were the subject of our research: the boys were wearing an asymmetrical single-strap athletic bag or a symmetrical double-strap backpack during our experiments with them. The maximum spinal tilt to the loading side and to the support side, and the range of spinal motions, were obtained by using a motion analysis system. Our results showed that symmetry of spinal posture was observed both when they ascended staircase with all loads and descended in a backpack. When carrying an athletic bag and when the load therein was 15% and 20% of the body weight when ascending the staircase, the lateral spinal tilt to the supporting side was significantly increased. We concluded that a symmetrical backpack with a load not exceeding 20% or an asymmetrical single-strap athletic bag with a load not exceeding 10% should be recommended for school children in order to promote safer staircase use.

Key Words: Biomechanics, Load-bearing, Carrying, Child, Orthopaedics, Gait

Statement of relevance:

Children carrying heavy school bags may develop spinal problems. This study suggested that, when they are using stairs, a symmetrical backpack with a load within 20% body weight is acceptable for them. When they are carrying an asymmetrical single-strap athletic bag, the bag's weight should not exceed 10% body weight in order to avoid excessive spinal tilt.

1. Introduction:

The wearing of heavy backpacks has prompted extensive discussion among children,

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4 parents and healthcare professionals about its potential to cause shoulder pain, neck
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6 pain and back pain and even spinal deformity (Mackenzie *et al.*, 2003; Trevelyan and
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8 Legg, 2010). A recent study suggested that the weight of a school bag correlated with
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10 the magnitude of body sway, and that the increased body sway may be related to
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12 balance impairment and perhaps to a higher risk of falls (Pau and Pau, 2010). The
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14 weight of school bags in terms of the percentage of the body weight of children has been
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16 reported as 17.7% in the United States (Pascoe *et al.*, 1997), 20% in Italy (Negrini and
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18 Carabalona, 2002) and 20% in Hong Kong (Hong Kong Society for Child Health and
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20 Development). These heavy loads have caused spinal symptoms (Johnson *et al.*, 1995),
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22 back pain (Sheir-Neiss *et al.*, 2003), fatigue (Negrini and Carabalona, 2002), breathing
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24 restriction (Lai and Jones, 2001) and even acute injuries (Wiersema *et al.*, 2003).
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32 Numerous studies have been conducted to demonstrate the biomechanical effects of
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34 load carriage. In level overground walking and walking on a treadmill, a load of 15%
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36 body weight or more with a double-strap backpack significantly increased forward
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38 trunk lean (Hong and Cheung, 2003), prolonged blood pressure recovery time (Hong
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40 and Brueggemann, 2000), increased oxygen uptake and energy expenditure (Hong *et al.*,
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42 2000), increased breathing frequency (Li *et al.*, 2003), and increased trapezius
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44 muscle activity and fatigue (Hong *et al.*, 2008). When the child was standing, the heavy
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46 load also altered the posture of a healthy subject (Chansirinukor *et al.*, 2001), and it
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48 even introduced an imbalance in the medial-lateral direction in girls affected by
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50 adolescent idiopathic scoliosis (Chow *et al.*, 2006). Besides the load itself, the carrying
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52 method also affected the body posture. Kinoshita (1985) found that an asymmetrical
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54 carrying method caused more spinal tilt in level walking, whereas Troussier and
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56 coworkers (1994) found that there was a risk that it could cause low back pain. Pascoe
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58 and coworkers (1997) also found that an asymmetrical school bag carrying method,
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whether the child was carrying either a single-strap backpack or a shoulder-supported athletic bag, significantly increased lateral spinal deviation during level walking.

In Hong Kong, schools and living places are often multi-stories buildings, and thus stair use is a common daily functional activity. Previous studies have shown that stair climbing and level walking necessitate two different kinds of gait (Loy and Voloshin, 1991; McFadyen and Winter, 1998). Because one has to propel the body forward and also upward or downward in stair use, doing so with a heavy school bag may result in increased dynamic loading on the body. Hong and coworkers (2003) found that a load of 10% body weight or more produced a significant forward spinal lean when ascending a staircase. Moreover, greater spinal motion in the sagittal plane was observed when the subjects carried a single-strap shoulder-supported athletic bag, which represented an asymmetrical carrying method. In kinetics, Hong and Li(2005) found that increased peak plantar force was used during the descent of a staircase with a load in an athletic bag which represented 10% body weight. However, there have been few studies reporting the spinal biomechanics in the frontal plane during stair use.

It is generally understood that stair use, especially when ascending a staircase, is more physically demanding compared to level walking. The demands of load carriage and carrying method may impose a greater challenge to the spine, and may thus lead to a higher risk of spinal symptoms. While the effect on sagittal plane spinal motion and plantar force has been demonstrated, the effect on the frontal plane spinal motion has not been investigated. This study reported on the effects of load carriage and school bag type on spinal posture during stair use by children.

2. **Methods:**

Thirteen male children (mean $\pm$ S.D.: age: 12.2 ± 1.0 yrs; mass: 47.1 ± 9.7 kg; height: 159.7 ± 9.7 cm) participated in this study. The test was carried out at a 33-step staircase situated in-between the audience seating at the university sports field. All participants were free of injury on the testing day, and had no history of injury that caused them to have an abnormal gait or difficulties when using stairs. Completed consent forms from the participants and their parents were collected before the test. Participants were each required to dress in a black tight t-shirt and black shorts, with six reflective skin markers attached at the left and right shoulder, hip and toe. The black dressing and the reflective markers were to facilitate the automatic video image digitization when we analyzed the video data in the motion analysis software.

In each of the trials each participant ascended and descended the staircase carrying different loads in school bags of a different type. He started at the bottom of the 33-step staircase, walked up to the top and then walked down to the bottom at his natural cadence; they were required to do this three times. A total of eight trials from a combination of four different loads in two different school bag types were performed by each participant in a random sequence. The four load conditions equaled 0%, 10%, 15% and 20% of the participant's body weight. Percentage weight instead of absolute weight was used in order to achieve normalization across the range of participants. The required weight was prepared by filling the school bag with objects that students usually bring to school, such as books, pencil box, drawing material, PE T-shirt and shoes. The two types of school bag were: (1) a single-strap athletic bag; and (2) a double-strap backpack. In the trials with the single-strap athletic bag, the bag was placed on the left with the strap across the right shoulder – this represented an asymmetrical carrying method (Figure 1a), with the left as the loading side and the right as the supporting side. In the trials with the double-strap backpack, the fillings in the

bag were arranged in a symmetrical way, with a strap across each shoulder – this represented a symmetrical carrying method (Figure 1b).

A video camera (JVC DVL9800, Japan) was positioned at the bottom of the staircase, viewing upwards, to record the spinal movements in a frontal plane with a 50 Hz filming rate and a 1/250s shutter speed. The camera zoom level was adjusted to produce a full-picture view when the participant stepped on the middle of the staircase for one complete gait cycle (from the 15th to the 17th step). Before the experiment, each subject was instructed to stand on the 16th step in his anatomical position without carrying any load, and such posture was videotaped to define the initial vertical neutral position. The films were captured and saved in a computer. Video data of one complete gait cycle as identified by the moment of foot strike as indicated by the markers at the toe was trimmed during every ascending and descending trial. The video data were digitized by a motion analysis system (Ariel Performance Analysis System, USA). For the purposes of this experiment the spine was defined as being the line joining the mid-point between the shoulders and the mid-point between the hips, and the spinal posture was defined as the angle between the spine and the initial vertical position of each participant. The maximum spinal tilting angle to the left and to the right, and the range of the spinal tilting angle were averaged over the participants at each load and with each school bag type when they ascended and descended the staircase.

Both for the ascent and the descent of the staircase, a two-way multivariate analysis of variance (bag type $\times$ load) with repeated measures (MANOVA) was applied on the dependent variables in order to identify any significant effects caused by bag type and load. The analysis was conducted with a statistical analysis software (Statistical Package for the Social Sciences, USA). If an interactive effect was found, a stratified

analysis of variance (ANOVA) was conducted to demonstrate the load effect on each bag type (for all parameters), and the bag type effect on each load (for a range of spinal motion only), with Tukey pairwise comparisons conducted between the 0% load and the other loads. If not, an ANOVA on each main effect was conducted. In order to further demonstrate the symmetry of spinal posture, independent t-tests were conducted between the maximum tilting angle to the left and to the right for each bag type and load. Independent t-tests were also conducted for the ascent and the descent of the staircase in every pair of parameters. The statistical significance was set at a 95% level of confidence.

3. Results:

Table 1 shows the mean and standard deviation of the spinal posture parameters, including the maximum spinal tilting angle to the left, the maximum spine tilting angle to the right, and the range of the spine tilting angle at each load with each school bag type in ascending and descending the staircase, respectively. The MANOVA showed a significant interactive (bag type $\times$ load) effect (Wilk's lambda = 0.664, $F = 2.646$, $p = 0.023$). Therefore, a stratified ANOVA was conducted.

With regard to ascending the staircase, an ANOVA showed that a significant difference was found in the maximum tilting angle to the left and also to the right for a single-strap athletic bag ($p < 0.05$). Tukey pairwise comparisons showed that the maximum tilting angle to the left (the loading side), was significantly reduced from 3.9 degrees to 1.5 degrees when the load was increased from 0% to 20% body weight ($p < 0.05$). The maximum tilting angle to the right (the supporting side), was significantly increased from 6.0 degrees to 8.3 degrees when the load was increased from 0% to 15% body weight ($p < 0.05$), and it increased to 8.6 degrees when the load was increased from 0%

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to 20% body weight ($p < 0.05$). No significant difference was found for the maximum spinal tilting angles for the double-strap backpack, and also for the range of spinal motion for both designs of school bag. For the single-strap school bag, independent t-tests showed that there was a significant difference between the tilting angles to the left (the loading side) and to the right (the supporting side) when the load reached 15% and 20% body weight ($p < 0.05$).

In descending the staircase, the tilting angles and the range of spinal motions were generally smaller than those during ascending the staircase. An ANOVA showed no significant difference between different loads and types of school bag.

Tukey pairwise comparisons showed that there were significant differences between each pair of parameters among ascending and descending the staircase, except that the spinal tilting angle was to the left with a 15% and 20% load in a single-strap athletic bag.

4. Discussion:

The range of spinal motion did not differ among loads in relation either to the single-strap athletic bag or the double-strap backpack both when ascending and descending the staircase. However, in each load $\times$ carrying method combination, it was found to be greater when ascending the staircase. The range was about 4.5 to 7.2 degrees when descending the staircase and 9.9 to 11.9 degrees when ascending the staircase. The range of motion did not differ between the two types of school bag with regard to all of the loads. For the double-strap backpack, which represented a symmetrical carrying method, the spinal tilting angles to the left and to the right did not differ either between each other or among the loads both as to the ascent and the descent of the staircase. However, it was significantly increased from 1.9 to 2.8 degrees in the descent of the staircase to 5.3 to 6.5 degrees in the ascent of the staircase. These findings suggest that the spinal motion was generally much larger during ascending than it was during descending. The load effect was not significant. Moreover, the similar spinal tilting angles to the left and to the right with a double-strap backpack suggested that this carrying method is symmetrical in the human frontal plane.

Before this investigation, the authors did not expect if a spinal tilt would be to the loading side or the support side when a single-strap athletic bag is being carried. The results of this study showed that the spinal tilt was to the support side (right) when the load reached 15% and 20% of the body weight. Generally, the tilting angles during the ascent of the staircase were higher than the descent.

In regard to level walking, Pascoe and coworkers (1997) studied the effect on lateral spinal tilt in 10 subjects (age = 11–13 years) who each carried different school bags with a load of 17.6% body weight. They reported that the lateral spinal tilt was much

greater when an asymmetrical carrying method was used than it was with a symmetrical carrying method. When walking without a load, the lateral spinal tilt was 1.9 degrees. When carrying a load in a two-strap backpack, the lateral spinal tilt was about 2.1 degrees and there was no significant difference. However, when the load was placed in a one-strap backpack or in a one-strap athletic bag, the lateral spinal tilt was significantly increased to 8.5 and 8.3 degrees, respectively. With regard to stair use, Hong and coworkers (2003) found that load carriage in an asymmetrical school bag caused a greater amount of spinal sagittal motion. In kinetics, a significant increase of peak plantar force was found when the load was 10% body weight in an asymmetrical load-carrying method when ascending a staircase; however, such a significant increase was found when the load reached 15% body weight when a symmetrical load-carrying method was used (Hong and Li, 2005).

In this study, significant spinal tilt was observed both in the ascent and the descent of the staircase with a load in a single-strap athletic bag. Moreover, the range of spinal motion was 9.9 to 11.9 degrees when ascending the staircase, which was greater than that in level walking (8.5 degrees), as was reported by Pascoe and coworkers (1997). These results, together with the findings in previous studies, suggest that an asymmetrical carrying method imposes greater stress on the spine, especially when ascending a staircase with a load of 15% body weight or more. The nature of the locomotion in stair use is different from that used in level walking. In level walking, a person stays at the same horizontal level; he therefore propels his body (and thus his center of gravity) in only a forward direction. In stair use, a person needs to propel his body forwards and also either upwards or downwards. In doing this, he has to bend his legs to raise or lower one side of the body to land on the next step. This produces greater spinal motion when compared to level walking. The tilt was more significant when

there was a heavy load ($> 15\%$ body weight) in an asymmetrical carrying method.

The spinal tilt was to the supported side (the side with the school bag strap on the shoulder) instead of to the loading side. This is the human adaptation for minimizing the disturbance to the balance and stability of the body's center of mass. When a single-strap athletic bag is loaded on the left, the center of gravity of the person plus the school bag shifts to the left. This introduces posture instability; the person has, therefore, to tilt the body to the right in order to shift the center of gravity back to the middle of the supporting base, which is between both feet. Doing this over a prolonged period requires repetitive activation of the spine's stabilizing muscles. This may lead to muscle pain, low back pain and chronic injuries. Therefore, we suggest that further study on spine-stabilizing muscle activity and fatigue is required.

Although a double-strap backpack is symmetrical in the frontal plane, it is still asymmetrical in the sagittal plane; this is because all the loads are at the posterior aspect of the human spine. There was also another recent study which proposed a modified double backpack design in which part of the load would be placed at the chest area (Kim *et al.*, 2008). Such a design should successfully reduce the stress on the spine. Chow and colleagues (2007) suggested that a backpack load would cause the flattening of the lumbar lordosis and the upper thoracic kyphosis, and thus produce immediate changes to the spinal curvature. They also suggested that a consistent shifting of the load position could help to solve the problem of the stressing of the spine (Chow *et al.*, 2010). We therefore believe that a different method of carrying a single-strap athletic bag should be less harmful. We therefore suggest that further research thereon would be very worthwhile.

We commented that a future study should include the effect of prolonged walking on the spinal posture. In the current study which the effect of fatigue was not encountered, the subjects were able to well carry a loaded single-strap athlete bag on their supporting shoulder. We believe that in case of fatigue, the subjects may fail to carry the bag with this manner, and may tilt the spine back to the loading side. Therefore, like other studies on the prolonged effect of load carriage in level walking, future studies should encounter this effect in load carriage in stairs climbing.

5. Conclusion:

This study suggested that the spinal posture was not altered when climbing stairs with a double-strap backpack. However, a significant spinal tilt to the support side was observed when a single-strap athletic bag was being carried. The spinal tilt was much more significant when the load was 15% body weight or more. We therefore conclude that a symmetrical backpack or an asymmetrical single-strap athletic bag with a load not exceeding 10% should be recommended for school children in order to avoid spinal posture alteration during stair use. Furthermore, we also suggest that further study of muscle activity be carried out.

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Figure legend:

The two carrying methods investigated in this study: (a) the asymmetrical carrying method with a single-strap athletic bag, with the school bag placed on the left (loading side) and the school bag strap on the right shoulder (supporting side); (b) the symmetrical carrying method with a double-strap backpack.

Table 1 – Maximum and range of spine tilting angles for stairs ascending and descending with loads in single- and double-strap school bag

Load (% body weight)	Single-strap athletic bag			Double-strap backpack			Range of spinal motion (degree)		
	Max. angle – left (degree)	Max. angle – right (degree)	p value of t-test	Max. angle – left (degree)	Max. angle – right (degree)	p value of t-test	Single-strap athletic bag	Double-strap backpack	p value of t-test
<u>0%</u>									
Stairs ascending	3.9 (2.1)	6.0 (3.5)	.084	5.5 (2.1)	5.9 (2.2)	.656	9.9 (2.7)	11.4 (2.7)	.183
Stairs descending	1.5 (1.8)	3.6 (1.9)	.008*	1.9 (1.6)	2.6 (1.7)	.277	5.1 (1.5)	4.5 (1.3)	.237
p value of t-test	.005*	.044*		<.001*	<.001*		<.001*	<.001*	
<u>10%</u>									
Stairs ascending	4.0 (2.1)	6.1 (3.8)	.090	5.4 (2.1)	6.5 (3.1)	.290	10.1 (3.8)	11.9 (2.7)	.167
Stairs descending	1.1 (1.9)	3.6 (2.1)	<.001*	2.7 (0.9)	2.1 (1.6)	.290	4.7 (2.1)	4.8 (1.3)	.957
p value of t-test	.001*	.050*		<.001*	<.001*		.001*	<.001*	
<u>15%</u>									
Stairs ascending	2.7 (3.4)	8.3 (4.4)	.001*	5.4 (2.0)	5.3 (1.8)	.858	11.0 (4.1)	10.7 (2.5)	.850
Stairs descending	2.1 (2.4)	4.2 (2.4)	.036*	2.5 (1.3)	2.2 (1.4)	.511	6.3 (1.9)	4.7 (1.4)	.020*
p value of t-test	.613	.008*		<.001*	<.001*		.001*	<.001*	
<u>20%</u>									
Stairs ascending	1.5 (3.2)	8.6 (3.1)	<.001*	5.4 (1.6)	5.7 (2.5)	.769	10.1 (2.0)	11.1 (1.9)	.240
Stairs descending	2.5 (2.6)	4.7 (2.7)	.046*	2.6 (1.0)	2.8 (2.1)	.710	7.2 (2.6)	5.4 (1.3)	.032*
p value of t-test	.396	.002*		<.001*	.004*		.004*	<.001*	

*= Significant difference, p < 0.05. Statistical analysis program show only to p < 0.001.

The two carrying methods investigated in this study: (a) asymmetrical carrying method with a single-strap athletic bag, with the school bag placed on the left (loading side) and the school bag strap on the right shoulder (supporting side), (b) symmetrical carrying method with a double-strap backpack
101x95mm (300 x 300 DPI)