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#### **Overview**

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- Fault diagnosis is an important facet of engineering applications.
- Introduce the application of the digraph method to determine the likely causes leading to a system malfunction.
- A description of digraphs and their application in fault diagnostics is provided.
- A simple example is used for demonstration purposes.

#### **Introduction to Digraphs**

- Qualitative causal model which illustrates the cause and effect behaviour in a system.
- Digraphs comprise:
  - i. Set of nodes, representing system process variables.
  - ii. Nodes are connected by edges (lines) illustrating the inter-relationships which exist between process variables.

#### **Introduction to Digraphs**

- Examples of process variables include:
  - Mass flow.
  - Pressure.
  - Signals from sensors.
  - Temperature.
- Process variable deviations are represented through one of five discrete values:
  - +10/-10: large high / large low.
  - +1/-1: moderate high / moderate low.
  - 0: normal.



# An Example of a Simple Digraph



- M1: mass flow at location 1 independent variable.
- M2: mass flow at location 2 dependent variable.
- Two arcs:
  - +1' signed normal.
  - '0: V1 closed' signed conditional.

### **Digraph Development**

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- 1) Define system to be analysed.
- 2) Compile list of system component failures.
- 3) Separate system into sub-units.
- 4) Identify control loops, if present.
- 5) Generate digraph models for the sub-units.
- 6) Form system digraph by connecting any common variables from the sub-unit models.



#### The Water Tank System



- Three valves: V1, V2, V3.
- Two level sensors: S1, S2.
- Two control units: C1, C2.
- Six pipe sections: P1, P2, P6, P7, P8, P9.

#### The Water Tank System

- System information obtained from the flow sensors, VF1-3 and tray sensor, SP1.
- Flow sensors detect flow or no flow.
- Tray sensor detects presence or absence of water.
- Two operating modes are specified.

| <b>Operating Mode</b> | VF1     | VF2     | VF3     | SP1      |  |
|-----------------------|---------|---------|---------|----------|--|
| ACTIVE                | Flow    | Flow    | No Flow | No Water |  |
| DORMANT               | No Flow | No Flow | No Flow | No Water |  |

# **System Scenarios**

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 Sixteen scenarios developed from the potential sensor readings.

| Scenario | VF1 | VF2 | VF3 | SP1 | Scenario | VF1 | VF2 | VF3 | SP1 |
|----------|-----|-----|-----|-----|----------|-----|-----|-----|-----|
| 1        | F   | F   | F   | W   | 9        | F   | NF  | F   | W   |
| 2        | F   | F   | F   | NW  | 10       | F   | NF  | F   | NW  |
| 3        | NF  | F   | F   | VV  | 11       | F   | F   | NF  | W   |
| 4        | NF  | F   | F   | NW  | 12       | F   | F   | NF  | NW  |
| 5        | NF  | NF  | F   | W   | 13       | NF  | F   | NF  | W   |
| 6        | NF  | NF  | F   | NW  | 14       | NF  | F   | NF  | NW  |
| 7        | NF  | NF  | NF  | W   | 15       | F   | NF  | NF  | W   |
| 8        | NF  | NF  | NF  | NW  | 16       | F   | NF  | NF  | NW  |

# Component Failure Modes



| Code               | Component Failure        | Code               | Component Failure       |
|--------------------|--------------------------|--------------------|-------------------------|
| PiB(1-2, 3-4, 5-6) | Pipe Pi is blocked       | PiR(1-2, 3-4, 5-6) | Pipe Pi is ruptured     |
| ViFC(1≤ i ≥3)      | Valve Vi fails closed    | ViFO(1≤ i ≥3)      | Valve Vi fails open     |
| CiFH (1≤ i ≥2)     | Controller Ci fails high | CiFL (1≤ i ≥2)     | Controller Ci fails low |
| SiFH (1≤ i ≥2)     | Sensor Si fails high     | SiFL (1≤ i ≥2)     | Sensor Si fails low     |
| TR                 | Water tank ruptured      | TL                 | Water tank leaks        |
| NMWS               | No mains water supply    | WOST               | Water in overspill-tray |

# Water Tank System Digraph Development

- Three assumptions:
  - i. Given a pipe rupture, flow sensor registers no flow.
  - ii. Tank rupture volume loss >> tank leakage.
  - iii. System is in steady state.
- Unit digraph models developed for the three water tank valves.
- Each unit digraph considers:
  - Component functions.
  - Effects of failure modes.



#### Water Tank System Unit Digraphs





#### Water Tank System Digraph



# **Digraphs in Fault Diagnostics**

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- Diagnostics is based on comparing retrieved sensor readings with those expected.
- Given the presence of a deviation, diagnosis involves:
  - Noting the location of the given deviation.
  - Determine the component failure modes which may have contributed to the deviation.
- Fault diagnosis is conducted through a process of back-tracing.



# **Digraphs in Fault Diagnostics: Back-tracing**



- Deviation noted after valve. Expect flow, no flow registered.
- Commence back-tracing from noted large, negative disturbance:
  - M2(-10) → P2B.
  - M2(-10)  $\rightarrow$  M1(-10)  $\rightarrow$  P1B.

# **Water Tank System Diagnostics**

Two methods considered:

- 1) Analyst is required to fully back-trace through the digraph until a point is reached where no further back-tracing can be conducted.
- 2) Non-deviating sections are flagged. Backtracing from a deviating node ceases once a flagged section is reached.



#### **Diagnostics of a Faulty Scenario**

- Example used to demonstrate diagnostic capability of water tank system digraph.
- Water tank assumed to be in the ACTIVE mode.
- Sensor readings retrieved reveal scenario 'FS16'.

| Operating Mode | VF1  | VF2            | VF3     | SP1      |  |
|----------------|------|----------------|---------|----------|--|
| ACTIVE         | Flow | Flow           | No Flow | No Water |  |
| 'FS16'         | Flow | <b>No Flow</b> | No Flow | No Water |  |

# Fault Diagnostics of 'FS16'

- Deviation only noted by VF2, flag sections of system digraph incorporating:
  - V1 and control loop one.
  - V3 and control loop two.
  - Overspill tray.



### Fault Diagnostics of 'FS16'

- Node M7 addressed represents status of mass flow exiting V2.
- M7 is 'marked' on the system digraph.
- Determine the failure modes leading to large negative disturbance i.e. -10.
- -10 represents registered 'no flow' status.
- Back-tracing commences from M7(-10); reveals five component failure modes.



### Fault Diagnostics of 'FS16'

- M7(-10) → V2FC, P7B, P7R.
- M7(-10)  $\rightarrow$  M6(-10)  $\rightarrow$  P6B, P6R.
- M7(-10)  $\rightarrow$  M6(-10)  $\rightarrow$  L4(-10), back-tracing ceases.
- Five component failure mode results: Valve 2 failed closed, Pipe 7 blocked or ruptured, Pipe 6 blocked or ruptured.

or ruptured.





#### Conclusions

- Component failure mode results are consistent with recorded sensor readings.
- Flagging of non-deviating sections removes conflicting results, also reduces number of determined fault combinations.
- Method 2 advised method since results displaying inconsistencies between sensor readings are removed.
- Digraph suitable method for steady state analysis.

#### **Future Research**

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- Implications for dynamic behaviour preliminary results are positive.
- Investigation into computational optimisation of back-tracing enabling real-time analysis.
- Scalability it is necessary to apply method to larger, more complex, system to ensure industrial validity.

# Summary

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- Digraphs clearly illustrate the information flow in a cause-effect relationship.
- Closely reflect the physical structure of the system under investigation.
- Conduct diagnostics through back-tracing from a known deviation → introduce flagging of non-deviating sections.
- Valid diagnostic results determined for steady state.



#### Thank you for your attention.

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