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## Gel'fand inverse problem for a quadratic operator pencil

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**1. Introduction. Main result.** In the paper we deal with an inverse problem for a quadratic operator pencil

$$A(\lambda)u = a(x, D)u - ib_0\lambda u - \lambda^2 u, \quad (1)$$

$$Bu := \partial_\nu u - \sigma u|_{\partial M} = 0 \quad (2)$$

on a differentiable compact connected manifold  $M, \dim M = m \geq 1$ , with non-empty boundary  $\partial M \neq \emptyset$ . Here  $a(x, D)$  is a uniformly elliptic symbol

$$a(x, D) = -g^{-1/2}(\partial_j + b_j)g^{1/2}g^{jl}(\partial_l + b_l) + q,$$

where  $[g^{jl}]_{j,l=1}^m$  defines a  $C^\infty$ -smooth Riemannian metric and  $b = (b_1, \dots, b_m)$  and  $q$  are, correspondingly,  $C^\infty$ -smooth complex-valued 1-form and function on  $M$ .  $\sigma$  is a  $C^\infty$ -smooth complex-valued function on  $\partial M$  and  $\partial_\nu$  stands for the normal derivative.

Let  $R_\lambda$  be the resolvent of (1), (2) which is meromorphic for  $\lambda \in \mathbb{C}$  (see Sect. 3 and [1]) and let  $R_\lambda(x, y)$  be its Schwartz kernel. A natural analog of the Gel'fand inverse problem [2] is

*Problem I.* Let  $\partial M$  and  $R_\lambda(x, y); \lambda \in \mathbb{C}, x, y \in \partial M$  be given. Do these data (Gel'fand boundary spectral data, GBSD) determine  $(M, a(x, D), b_0, \sigma)$  uniquely?

*Remark 1.* Let  $\mathcal{G}_\lambda$  be the Neumann-to-Dirichlet map  $\mathcal{G}_\lambda f := u_\lambda^f|_{\partial M}$  where

$$A(\lambda)u^f(\lambda) = 0, \quad Bu^f(\lambda) = f. \quad (3)$$

Then GBSD means that  $\mathcal{G}_\lambda$  are known for all  $\lambda$ .

*Remark 2.* By Fourier transform,  $u(x, \lambda) \rightarrow u(x, t)$ , Problem I is equivalent to the inverse boundary problem for the dissipative wave equation

$$u_{tt}^f + b_0 u_t^f + a(x, D)u^f = 0, \quad (4)$$

$$Bu^f = f|_{\partial M \times \mathbb{R}_+}; \quad u^f|_{t=0} = u_t^f|_{t=0} = 0, \quad (5)$$

where inverse data is given in the form of the response operator  $R^h$ ;

$$R^h(f) := u^f|_{\partial M \times \mathbb{R}_+}. \quad (6)$$

This hyperbolic inverse problem and its analogs were considered in [3-5a]. Paper [3] dealt with the inverse scattering problem,  $M = \mathbb{R}^m$ , with  $g^{jl} = \delta^{jl}$ . It was generalised in [4] onto the Gel'fand inverse boundary problem in a bounded domain

in  $\mathbb{R}^m; g^{jl} = \delta^{jl}$ . In [5] the uniqueness of the reconstruction of the conformally euclidian metric in  $M \in \mathbb{R}^m$  and the lower order terms (with some restrictions upon these terms) was proven for the geodesically regular domains  $M$ . At last a local variant of the problem with data prescribed on a part of the boundary was studied in [5a]. As for the case  $b_0 = 0$  and self-adjoint studied in full generality in [6, 7].

In the paper we give the answer to Problem I assuming some geometric conditions upon  $(M, g)$ . The main technique used is the boundary control (BC) method (see e.g. [8]) in the geometrical version [7].

Definition 1.  $(M, g)$  satisfies Bardos-Lebeau-Rauch (BLR) condition if there is  $t_* > 0$  and an open conic neighbourhood  $\mathcal{O}$  of the set of not-nondiffractive points in  $T^*(\partial M \times [0, t_*])$  such that any generalised bicharacteristic of the wave operator  $\partial_t^2 - \Delta_g$  passes through a point of  $T^*(\partial M \times [0, t_*]) \setminus \mathcal{O}$ .

**Theorem A.** *Let  $(\partial M; \mathcal{G}_\lambda, \lambda \in \mathbb{C})$  be GBSD for a quadratic operator pencil (1), (2). Assume that the corresponding Riemannian manifold  $(M, g)$  satisfies the BLR-condition. Then these data determine  $M$  and  $b_0$  uniquely while  $a(x, D)$  and  $\sigma$  to within a gauge transformation*

$$a(x, D) \longrightarrow \kappa a(x, D) \kappa^{-1}; \quad \kappa \in C^\infty(M; \mathbb{C}), \quad \kappa|_{\partial M} = 1, \quad \kappa \neq 0 \quad \text{on } M.$$

**2. Auxiliary constructions..** In view of the gauge invariance we can assume that  $\sigma = 0$ . By  $\lambda$ -linearisation;

$$u \rightarrow U = (u, \lambda u)^t,$$

the pencil (1), (2) takes the form

$$\begin{aligned} \mathcal{A}U &= \lambda U; \quad \mathcal{A} = \mathcal{A}_0 + \mathcal{A}_1; \\ \mathcal{A}_0 &= \begin{pmatrix} 0 & I \\ A_0 & 0 \end{pmatrix}; \quad \mathcal{A}_1 = \begin{pmatrix} 0 & 0 \\ a_1(x, D) & -ib_0 \end{pmatrix}. \end{aligned}$$

Here  $A_0 = -\Delta_g$  is the Laplace operator with Neumann boundary condition;

$$\mathcal{D}(A_0) = H_\nu^2(M) := \{u \in H^2(M) : \partial_\nu u|_{\partial M} = 0\}$$

and  $a_1(x, D) = a(x, D) + \Delta_g$ . Operators  $\mathcal{A}_0, \mathcal{A}$  with

$$\mathcal{D}(\mathcal{A}_0) = \mathcal{D}(\mathcal{A}) = H_\lambda^2(M) \times L^2(M)$$

are closed in  $\mathcal{H} = [L^2(M)]^2$ . By the transformation  $\lambda \rightarrow \lambda + id$ ;  $A_0 \rightarrow A_0 + d^2$  we get

$$\|A_0^{-1}\| < 1; \quad \|a_1(x, D)A_0^{-3/4}\| < 1/2. \quad (7)$$

The adjoint operator,  $\mathcal{A}^*$  is then

$$\mathcal{A}^* = \begin{pmatrix} 0 & A^* \\ I & ib_0 \end{pmatrix}, \quad \mathcal{D}(\mathcal{A}^*) = L^2(M) \times \mathcal{D}(A^*);$$

$$\mathcal{D}(A^*) = H_{\nu, b}^2 := \{u \in H^2; \quad B^*u := \partial_\nu u - 2b_\nu u|_{\partial M} = 0\},$$

where  $b_\nu = (\nu, b)$ .

Using  $A^*$  instead of  $A$  we define operators  $\mathcal{A}_{\text{ad}}$  and  $\mathcal{A}_{\text{ad}}^*$ ;

$$\mathcal{A}_{\text{ad}} = \begin{pmatrix} 0 & I \\ A^* & i\bar{b}_0 \end{pmatrix}, \quad \mathcal{D}(\mathcal{A}_{\text{ad}}) = H_{\nu,b}^2 \times L^2.$$

Our goal is to use eigenfunction expansion corresponding to  $\mathcal{A}, \mathcal{A}^*$  and  $\mathcal{A}_{\text{ad}}, \mathcal{A}_{\text{ad}}^*$ . To this end we introduce operators  $T_0, T = T_0 + T_1$  where

$$T_0 = \begin{pmatrix} 0 & A_0^{1/2} \\ A_0^{1/2} & 0 \end{pmatrix}, \quad T_1 = \begin{pmatrix} 0 & 0 \\ A_0^{-1/4} a_1 A_0^{-1/4} & -i A_0^{-1/4} b_0 A_0^{-1/4} \end{pmatrix}; \quad (8)$$

$$\mathcal{D}(T) = \mathcal{D}(T_0) = [\mathcal{D}(A_0^{1/2})]^2 = [H^1(M)]^2.$$

By (7)  $T$  is bounded-invertible. We have

$$T_0 U = L^{-1} \mathcal{A}_0 L U; \quad T U = L^{-1} \mathcal{A} L U \quad \text{for } U \in \mathcal{D}(A_0^{3/4}) \times \mathcal{D}(A_0^{1/2}); \quad (9)$$

$$L = \begin{pmatrix} A_0^{-1/4} & 0 \\ 0 & A_0^{1/4} \end{pmatrix}.$$

**3. Abel-Lidskii expansion.** From (18)  $T_0^{-1} \in \Sigma_p$ ,  $p > m$  where  $\Sigma_p$  is the Schatten-von Neumann class (see e.g. [9]). As  $T_1$  is bounded  $T = T_0 + T_1$  is a weak perturbation of  $T_0$ . Due to the general theory of weak perturbations of self-adjoint operators (see e.g. [1, Sect.6.2-6.4]) the spectrum  $\sigma(T)$  of  $T$  is normal.

Let  $\beta > m$  be an even integer,  $\tau > 0$  and  $\Gamma$  - a finite contour in  $\mathbb{C}$ ,  $\Gamma \cap \sigma(T) = \emptyset$ . Denote by  $P_{\Gamma,\tau}^\beta(T)$  the modified Riesz projector for  $T$ ;

$$P_{\Gamma,\tau}^\beta(T) = -\frac{1}{2\pi i} \int_{\Gamma} e^{-\tau z^\beta} (T - z)^{-1} dz,$$

and by  $P_{\Gamma,\tau}^\beta(T_0)$  -the analogous projector for  $T_0$ .

Let  $\Gamma$  be a contour in  $\mathbb{C}$  consisting of two segments  $Imz = \pm a, Re z \in [-b, b]$ , and four semiaxes  $Imz = \pm c Re z$  (see Fig. 1).

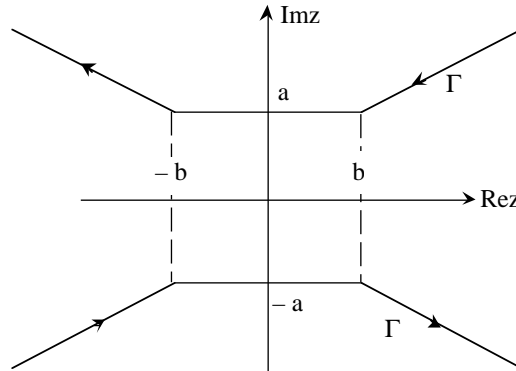


Fig. 1

Parameters  $a, b, c$  are chosen so that

- i)  $\sigma(T)$  lies inside  $\Gamma$ ;
- ii)  $Re z^\beta \geq c_0 |z|^\beta$ ,  $c_0 > 0$  for  $|Imz| \leq c |Re z|$ .

**Theorem 1 (Abel-Lidskii convergence).** *There exist real numbers  $\alpha_N > 0$ ,  $N = 1, 2, \dots$ , which depend only upon  $\sigma(T)$  such that*

$$Y = \lim_{\tau \rightarrow +0} \lim_{N \rightarrow \infty} P_{N,\tau}^\beta(T)Y. \quad (10)$$

The convergence in (10) takes place in  $[H^s]^2$ ,  $s \in [-1/2, 1/2]$  when  $Y \in [H^s]^2$  and in the graph norm of  $T^n$  when  $Y \in \mathcal{D}(T^n)$ ,  $n = 1, 2, \dots$ . Here  $P_{N,\tau}^\beta(T)$  correspond to the contours  $\Gamma_N$  obtained from  $\Gamma$  by cutting it by vertical lines  $\operatorname{Re} z = \pm \alpha_N$  (see Fig.2).

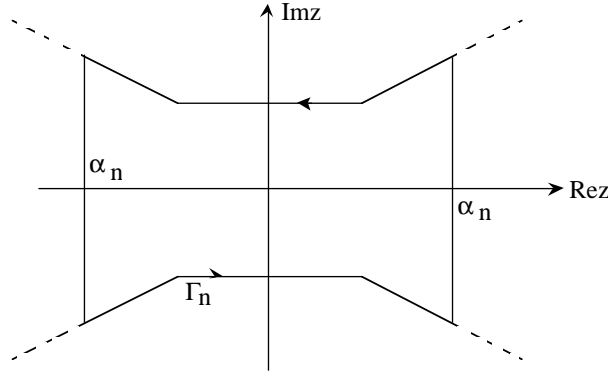


Fig.2

*Proof.* Since  $T_0 \in \Sigma_p$ ,  $p > m$  and  $T_1$  is bounded the results of [1, Sect. 6.2-6.4] (see also [10]) show the existence of  $\alpha'_N$  which depend upon  $\sigma(T_0), \sigma(T)$  such that

$$P_{N,\tau}^\beta(T) \xrightarrow[N \rightarrow \infty]{s} P_\tau^\beta(T).$$

The proof of the strong convergence is based upon exponential estimates for  $(T - z)^{-1}, (T_0 - z)^{-1}$ . However since  $P_{N,\tau}^\beta(T)$  remains intact under small deviations of  $\alpha'_N$  it is possible to choose  $\alpha_N$  independent of  $\sigma(T_0)$ . Moreover the results of [1] show that

$$P_\tau^\beta(T) - P_\tau^\beta(T_0) = -\frac{1}{2\pi i} \int_{\Gamma} e^{-\tau z^\beta} (T - z)^{-1} T_1 (T_0 - z)^{-1} dz; \quad (11)$$

$$\|(T - z)^{-1} T_1 (T_0 - z)^{-1}\|_s \leq c_s |z|^{-3/2}, \quad s \in [-1/2, 1/2], \quad z \text{ lies outside } \Gamma, \quad (12)$$

where  $\|\cdot\|_s$  stands for the operator norm in  $[H^s]^2$ . As  $s - \lim_{\tau \rightarrow 0} P_\tau^\beta(T_0) = I$  and the rhs of (11) tends to 0 when  $\tau \rightarrow +0$  the statement follows for  $Y \in [H^s]^2$ .

The last part of Theorem follows from the case  $s = 0$  since for  $Y \in \mathcal{D}(T^n)$

$$T^n P_{N,\tau}^\beta(T)Y = P_{N,\tau}^\beta(T)T^n Y.$$

Since  $\mathcal{A}$  has only point spectrum and  $\sigma_p(\mathcal{A}) = \sigma(T)$  equation (9) yields that  $\mathcal{A}$  has normal spectrum.

**Lemma 1.** *Let  $U = (u^1, u^2)^t \in H^1(M) \times L^2(M)$  or  $[C_0^\infty(M)]^2$ . Then*

$$U = \lim_{\tau \rightarrow 0} \lim_{N \rightarrow \infty} P_{N,\tau}^\beta(\mathcal{A})U,$$

where the convergence takes place in  $H^1 \times L^2$  when  $U$  lies in this space or in  $C^N(\Omega)$  for any  $N > 0, \Omega \ll M$  when  $U \in [C_0^\infty(M)]^2$ .

*Proof.* As  $Y = L^{-1}U \in [H^{1/2}]^2$  when  $U \in H^1 \times L^2$  Theorem 1,  $s = 1/2$  proves the statement for this case. As  $L^{-1}[C_0^\infty(M)]^2 \subset \mathcal{D}(T^n)$  for any  $n > 0$  and  $\mathcal{D}(T^n) \subset [H^n]^2$  this case also follows from Theorem 1 and the fact that  $L$  is a pseudodifferential operator of the order  $1/2$ .

**Corollary 1.** *Let  $U \in L^2(M) \times H^1(M)$  or  $[C_0^\infty(M)]^2$ . Then*

$$U = \lim_{\tau \rightarrow 0} \lim_{N \rightarrow \infty} P_{N,\tau}^\beta(\mathcal{A}^*)U, \quad (13)$$

where the convergence takes place in  $L^2 \times H^1$  and  $C^N(\Omega)$  for any  $N > 0, \Omega \ll M$ , respectively.

*Proof.* As  $\|(T^* - \bar{z})^{-1} - (T_0 - \bar{z})^{-1}\|_s = \|(T - z)^{-1} - (T_0 - z)^{-1}\|_{-s}$  estimate (12) remains valid for  $T^*, T_0$  and  $s = 1/2$  for  $z$  outside  $\Gamma$ . The same arguments as in Theorem 1 show that

$$Y = \lim_{\tau \rightarrow +0} \lim_{N \rightarrow \infty} P_{N,\tau}^\beta(T^*)Y \quad \text{in } [H^{1/2}]^2.$$

As  $Y = LU \in [H^{1/2}]^2$  when  $U \in L^2 \times H^1$  (13) follows. As for the case  $U \in [C_0^\infty(M)]^2$  the arguments are the same as in Lemma 1.

Using the representation

$$\mathcal{A}_{\text{ad}}^* = J\mathcal{A}J^{-1}; \quad \mathcal{A}^* = J^*\mathcal{A}_{\text{ad}}[J^*]^{-1}; \quad (14)$$

$$J[(u^1, u^2)^t] = (u^2 + ib_0 u^1, u^1)^t,$$

we come to

**Corollary 2.** *The statement of Lemma 1 is valid for  $\mathcal{A}_{\text{ad}}^*$ . The statement of Corollary 2 is valid for  $\mathcal{A}_{\text{ad}}$ .*

**4. Root functions and boundary spectral data..** Let  $\mu_j := \dim \mathcal{H}_j = \dim \mathcal{H}_j^*$  where  $\mathcal{H}_j := P_{\lambda_j}(\mathcal{A})\mathcal{H}$ ;  $\mathcal{H}_j^* := P_{\bar{\lambda}_j}(\mathcal{A}^*)\mathcal{H}$  and  $r_j := \dim \text{Ker}(\mathcal{A} - \lambda_j) = \dim \text{Ker}(\mathcal{A}^* - \bar{\lambda}_j)$ . Denote by  $\Phi_{j,k,0} = (\phi_{j,k,0}^1, \phi_{j,k,0}^2)^t$ ,  $\Psi_{j,k,0}, k = 1, \dots, r_j$  the eigenvectors of  $\mathcal{A}, \mathcal{A}^*$  at  $\lambda_j, \bar{\lambda}_j$ , correspondingly, and by  $n_{j,k}, n_{j,1} \geq n_{j,2} \geq \dots \geq n_{j,r_j}$ , their partial null multiplicities;  $\mu_j = n_{j,1} + \dots + n_{j,r_j}$ . Let  $\Phi_{j,k,l}, \Psi_{j,k,l}, l = 1, \dots, n_{j,k}$  be the root functions associated with  $\Phi_{j,k,0}, \Psi_{j,k,0}$ ;

$$(\mathcal{A} - \lambda_j)\Phi_{j,k,l} = \Phi_{j,k,l-1}; \quad (\mathcal{A}^* - \bar{\lambda}_j)\Psi_{j,k,l} = \Psi_{j,k,l-1}. \quad (15)$$

It is possible to choose  $\Phi_{j,k,l}, \Psi_{j,k,l}; j = 1, 2, \dots, k = 1, \dots, r_j, l = 1, \dots, n_{j,k}$  so that

$$(\Phi_{j,k,l}, \Psi_{j',k',l'})\mathcal{H} = \delta_{j,j'}\delta_{k,k'}\delta_{l,n_{j,k}-l'-1} \quad (16)$$

(see e.g. [11; Sect. 2] or [12; Sect. 1.2]). The choice of  $\Phi_{j,k,l}, \Psi_{j,k,l}$  when  $j$  is fixed is non-unique. The group of admissible transformations form a subgroup in  $GL(\mu_j, \mathbb{C})$  defined by conditions (15), (16) (see e.g. [11; sect. 2]).

Let  $U, V \in \mathcal{H}$ . Denote by

$$\mathcal{F}(U) = \mathcal{U} := \{U_{j,k,l}; U_{j,k,l} = (U, \Psi_{j,k,n_{j,k}-l-1})\};$$

$$\mathcal{F}^*(V) = \mathcal{V}^* := \{V_{j,k,l}^*; V_{j,k,l}^* = (V, \Phi_{j,k,n_{j,k}-l-1})\}$$

their Fourier transforms with respect to  $\mathcal{A}, \mathcal{A}^*$ , correspondingly. Using Lemma 1 and Corollary 2 we obtain

**Corollary 3.** *Let  $U \in H^1 \times L^2, V \in L^2 \times H^1$ . Then their Fourier transforms  $\mathcal{U}, \mathcal{V}^*$  determine  $(U, V)$  uniquely.*

Due to the relations (14) the analogous results take place for  $\mathcal{A}_{\text{ad}}, \mathcal{A}_{\text{ad}}^*$  with basis

$$\tilde{\Psi}_{j,k,l} = J\Phi_{j,k,l}; \quad \tilde{\Phi}_{j,k,l} = (J^*)^{-1}\Psi_{j,k,l}. \quad (17)$$

The basis  $\Phi_{j,k,l}, \Psi_{j,k,l}$  makes sense to the following

**Definition.** Boundary spectral data (BSD) of the pencil (1), (2) is the collection  $(\partial M; \lambda_j, \phi_{j,k,l}^1|_{\partial M}, \psi_{j,k,l}^2|_{\partial M}, j = 1, 2, \dots, k = 1, \dots, r_j, l = 1, \dots, n_{j,k})$ .

**Theorem 2.** *GBSD determine BSD to within the group of transformations of the biorthogonal basis which preserve properties (15), (16).*

*Proof.* Given  $R_\lambda(x, y), x, y \in \partial M$  it is possible to find  $u_\lambda^f|_{\partial M}$  where  $u_\lambda^f$  is the solution to (3). Consider  $U_\lambda^f = (u_\lambda^f, \lambda u_\lambda^f)^t$ . Then

$$(a - \lambda)U_\lambda^f = 0,$$

where  $a$  is an operator on  $H^2 \times L^2$ ;

$$a = \begin{pmatrix} 0 & I \\ a(x, D) & -ib_0 \end{pmatrix}.$$

Let  $e \in H^2, \partial_\nu e|_{\partial M} = f$  and  $E = (e, 0)^t$ . Then

$$U_\lambda^f = E - (\mathcal{A} - \lambda)^{-1}(a - \lambda)E.$$

$U_\lambda^f$  is a meromorphic function of  $\lambda$  with possible singularities only at  $\lambda_j \in \sigma(\mathcal{A})$  and  $U_\lambda^f - P_{\lambda_j}(\mathcal{A})U_\lambda^f$  is analytic at  $\lambda_j$ . But

$$[P_{\lambda_j}(\mathcal{A})U_\lambda^f]^1|_{\partial M} = \sum_{k=1}^{r_j} \sum_{l=0}^{n_{j,k}-1} U_{j,k,l}^f(\lambda) \phi_{j,k,l}^1|_{\partial M}.$$

By Green's formula

$$(\lambda - \lambda_j)(U_\lambda^f, \Psi_{j,k,n_{j,k}-l-1}) = \int_{\partial M} f(\psi_{j,k,n_{j,k}-l-1}^2)|_{\partial M} dS - \quad (18)$$

$$-(U_\lambda^f, \Psi_{j,k,n_{j,k}-l-2}).$$

By means of equation (18) (with different  $f$ ) it is possible to find all  $\lambda_j \in \sigma(\mathcal{A}) = \sigma(A(\lambda))$  as well as the boundary values  $\phi_{j,k,l}^1|_{\partial M}, \psi_{j,k,l}^2|_{\partial M}$  to within a linear transformation preserving (15), (16) (for details see e.g. [11; Sect. 3]).

Let  $u^f(x, t)$  be the solution to (4), (5) and  $v^g(x, s)$  be the solution to the initial-boundary value problem

$$v_{ss}^g - \bar{b}_0 v_s^g + a^*(x, D)v^g = 0, \quad (19)$$

$$B^*v|_{\partial M \times \mathbb{R}_+} = g, \quad v^g|_{s=0} = v_s^g|_{s=0} = 0, \quad (20)$$

which is associated with  $\mathcal{A}_{\text{ad}}$ . Let

$$U^f(t) = (u^f(t), iu_t^f(t))^t, \quad V^g(s) = (v^g(s), iv_s^g(s))^t.$$

Then

$$U_t^f + i\mathcal{A}U^f = 0, \quad V_s^g + i\mathcal{A}_{\text{ad}}V^g = 0.$$

**Lemma 3.** *For any  $f, g \in L^2(\partial M \times \mathbb{R}_+)$  BSD  $\{\lambda_j, \phi_{j,k,l}^1|_{\partial M}, \psi_{j,k,l}^2|_{\partial M}\}$  determine  $\mathcal{F}U^f(t)$  and  $\mathcal{F}_{\text{ad}}V^g(s) = \mathcal{V}_{\text{ad}} = \{(V^g(s), \tilde{\Psi}_{j,k,n_{j,k}-l-1})\}$ .*

*Proof.* Part integration together with relation (15) for  $\Psi$  yields that

$$\begin{aligned} i\partial_t(U^f(t), \Psi_{j,k,n_{j,k}-l-1}) &= \lambda_j(U^f(t), \Psi_{j,k,n_{j,k}-l-1}) + (U^f(t), \Psi_{j,k,n_{j,k}-l-2}) + \\ &+ \int_{\partial M} f(t)\psi_{j,k,n_{j,k}-l-1}^2|_{\partial M} dS. \end{aligned}$$

As  $U^f|_{t=0} = 0$  this equation proves Lemma for  $U^f(t)$ . Taking into account (17) the same considerations prove Lemma for  $V^g(s)$ .

**Corollary 3.** *Let  $f, g \in L^2(\partial M \times \mathbb{R}_+)$ . Given BSD and  $t, s \geq 0$  it is possible to evaluate*

$$\begin{aligned} (U^f(t), J^*V^g(s)) &= \\ &= i \int_M [u_t^f(x, t)\bar{v}^g(x, s) - u^f(t)\bar{v}_s^g(x, s) + b_0(x)u^f(x, t)\bar{v}^g(x, s)]dx. \end{aligned}$$

*Proof.* The statement is an immediate corollary of the fact that  $U^f(t) \in H^1 \times L^2$ ,  $J^*V^g(s) \in L^2 \times H^1$ , Lemma 1, Corollary 1, definition (14), and Lemma 3.

**5. Reconstruction of  $(M, g)$ .** Denote by  $\mathcal{L}^s, s \in \mathbb{R}$  the subspace in  $H^{s+1} \times H^s$  of the functions which satisfy natural compatibility conditions for the hyperbolic problem (4), (5) (see e.g [13]) and by  $\mathcal{L}_{\text{ad}}^s$  the analogous subspace for (19), (20).

**Theorem 2** [14]. *Let  $(M, g)$  satisfies the BLR-condition. Then*

$$\{U^f(T); f \in H_0^s(\partial M, [0, T])\} = \mathcal{L}^s, \quad T > t_*, s \geq -1/2.$$



**Corollary 4.** *Let  $(M, g)$  satisfies the BLR-condition. Then BSD determine  $\mathcal{F}(\mathcal{L}^s)$ ,  $\mathcal{F}_{\text{ad}}(\mathcal{L}_{\text{ad}}^s)$ ,  $s \geq -1/2$ .*

*Proof.* The statement follows from Lemma 3 and Theorem 2.

Let  $\Gamma \subset M$  be open,  $t \geq 0$ . Denote

$$M(\Gamma, t) = \{x \in M : d(x, \Gamma) \leq t\}.$$

**Lemma 4.** *Let  $\mathcal{U} \in \mathcal{F}(\mathcal{L}^s)$ ,  $s \geq 0$ ,  $\mathcal{U} = \mathcal{F}U$ . Then for any  $\Gamma \subset \partial M$ ,  $t_0 \geq 0$  BSD determine whether  $m_g(\text{supp}U \cap M(\Gamma, t)) = 0$  or not. Analogous statement takes place for  $\mathcal{V}_{\text{ad}}$ .*

Here  $m_g$  is the measure on  $(M, g)$ .

*Proof.* Consider  $\mathcal{U}(t) = \{U_{j,k,l}(t)\}$  where

$$\frac{d}{dt}U_{j,k,l}(t) + i\lambda_j U_{j,k,l}(t) + iU_{j,k,l+1}(t) = 0, \quad t \in \mathbb{R}, \quad (21)$$

$$U_{j,k,l}(0) = U_{0;j,k,l}, \quad (22)$$

where  $\{U_{0;j,k,l}\} = \mathcal{U}_0 \in \mathcal{F}(\mathcal{L}^s)$ . Then  $\mathcal{U}(t) \in \mathcal{F}(\mathcal{L}^s)$  for all  $t$  and  $\mathcal{U}(t) = \mathcal{F}U(t)$  where

$$U_t(t) + i\mathcal{A}U(t) = 0, \quad U(0) = U_0.$$

As  $s \geq 0$  Lemma 1 and Sobolev embedding theorem show that

$$u^1(t)|_{\partial M} = \lim_{\tau \rightarrow 0} \lim_{N \rightarrow \infty} [P_\tau^\beta(\mathcal{A})U(t)]^1, \quad (23)$$

where the convergence takes place in  $L^2(\partial M)$ . In view of the Homgren-John theorem [15] the fact that  $m_g(\text{supp}U \cap M(\Gamma, t)) = 0$  is equivalent to the fact that

$$\text{supp}u^1|_{\partial M \times \mathbb{R}} \cap (\Gamma \times [-t_0, t_0]) = \emptyset. \quad (24)$$

However  $\phi_{j,k,l}^1|_{\partial M}$  are known so that the statement follows from (21), (22) and (23), (24).

**Corollary 5.** *Let  $\Gamma \subset \partial M$ ,  $t_0 \geq 0$  and  $s \geq 0$ . Then BSD determine subspaces  $\mathcal{F}(\mathcal{L}^s(\Gamma, t_0))$ ,  $\mathcal{F}([\mathcal{L}^s(\Gamma, t_0)]^c)$ , and  $\mathcal{F}_{\text{ad}}(\mathcal{L}_{\text{ad}}^s(\Gamma, t_0))$ ,  $\mathcal{F}_{\text{ad}}([\mathcal{L}_{\text{ad}}^s(\Gamma, t_0)]^c)$ , where*

$$\mathcal{L}^s(\Gamma, t_0) = \{U \in \mathcal{L}^s : \text{supp}U \subset \text{cl}(M(\Gamma, t_0))\};$$

$$[\mathcal{L}^s(\Gamma, t_0)]^c = \{U \in \mathcal{L}^s : \text{supp}U \subset \text{cl}(M \setminus M(\Gamma, t_0))\}$$

and analogous definitions are valid for  $\mathcal{L}_{\text{ad}}^s(\Gamma, t_0)$ ,  $[\mathcal{L}_{\text{ad}}^s(\Gamma, t_0)]^c$ .

*Proof.* By Lemma 4 BSD determine  $[\mathcal{L}^s(\Gamma, t_0)]^c$ ,  $[\mathcal{L}_{\text{ad}}^s(\Gamma, t_0)]^c$ . As  $U \in \mathcal{L}^s(\Gamma, t_0)$  is equivalent to the fact that  $(U, J^*V) = 0$  for all  $V \in [\mathcal{L}_{\text{ad}}^s(\Gamma, t_0)]^c$  the remaining part of Corollary 5 follows from Corollary 3.

**Corollary 6.** *Let  $\Gamma_i \subset \partial M, t_i^+ > t_i^- \geq 0; i = 1, \dots, I$ . Denote by  $M_I$  the set*

$$M_I = \cap_{i=1}^I (M(\Gamma, t_i^+) \setminus M(\Gamma, t_i^-)). \quad (25)$$

*Then BSD determine whether  $m_g(M_I) = 0$  or not.*

Corollary 6 is the basic analytic tool in the reconstruction of  $(M, g)$ . For this end introduce  $\mathcal{R} : M \rightarrow L^\infty(\partial M)$ ;

$$\mathcal{R}(x) = r_x(y) = d(x, y), \quad y \in \partial M.$$

It is shown in [7] that  $\mathcal{R}(M) \subset L^\infty(\partial M)$  has a natural structure of a Riemannian manifold such that  $\mathcal{R} : M \rightarrow \mathcal{R}(M)$  is an isometry.

**Theorem 3.** *BSD of the operator pencil (1), (2) which satisfies the BLR-condition determine  $(M, g)$  uniquely.*

*Proof.* In view of the above remark about isometry between  $(M, g)$  and  $\mathcal{R}(M)$  it is sufficient to show that BSD determine  $\mathcal{R}(M)$ . Choose  $\delta > 0$  and a collection of  $\Gamma_i, i = 1, \dots, I(\delta)$  such that  $\text{diam}(\Gamma_i) \leq \delta, \cup \Gamma_i = \partial M$ . Let

$$p = (p_1, \dots, p_{I(\delta)}), \quad p_i \in \mathbb{N}, \quad t_i^+ = (p_i + 1)\delta; \quad t_i^- = (p_i - 1)\delta. \quad (26)$$

Denote by  $M_I(p)$  the set  $M_I$  (see (25)) with  $t_i^\pm$  of form (26) and correspond to every  $p$  such that  $m_g(M_I(p)) > 0$  a piecewise constant function  $r_p(y) = p_i\delta$  when  $y \in \Gamma_i$ . Let  $\mathcal{R}_\delta(M)$  be the collection of these functions. Then

$$\text{Dist}(\mathcal{R}_\delta(M), \mathcal{R}(M)) \leq 3\delta.$$

Taking  $\delta \rightarrow 0$  we construct  $\mathcal{R}(M)$ .

**6. Reconstruction of the lower-order terms..** Let  $x_0 \in \text{int}M$  and

$$M_I(\delta) \longrightarrow x_0 \quad \text{when} \quad \delta \rightarrow 0. \quad (27)$$

Consider a family  $\mathcal{V}(\delta) \in \mathcal{F}_{\text{ad}}(\mathcal{L}^0)$  such that

$$\text{supp}V(\delta) \subset \text{cl}(M_I(\delta)), \quad \mathcal{V} = \mathcal{F}_{\text{ad}}V(\delta), \quad (28)$$

and for any  $\mathcal{U} \in \mathcal{F}(\mathcal{L}^s), s < m/2 < s + 1$  there is a limit  $\mathcal{W}^{x_0}(\mathcal{U})$ ;

$$\mathcal{W}^{x_0}(\mathcal{U}) = \lim_{\delta \rightarrow 0} (U, \mathcal{V}(\delta)),$$

where the inner product in the rhs of (28) is understood in Abel-Lidskii sense. Such families exist, indeed it is sufficient to take  $C_0^\infty$ -approximations to  $(\delta(\cdot - x_0), 0)^t$ . On the other hand since

$$(\mathcal{U}, \mathcal{V}(\delta)) = (U, J^*V(\delta)),$$

the existence of the limit means that there is a limit  $W^{x_0} \in [D'(M)]^2$  of  $V(\delta)$ . By (27)  $\text{supp}W^{x_0} \subset \{x_0\}$ . Moreover as the limit exists for  $U \in \mathcal{L}^s, s < m/2 < s + 1$ ,  $W^{x_0} = (0, \kappa(x_0)\delta(\cdot - x_0))^t$ .

**Lemma 5.** *Let BSD of an operator pencil (1), (2) be given and  $(M, g)$  satisfies the BLR-condition. Then it is possible to construct a map  $\mathbb{W} : M \rightarrow \mathbb{C}^\infty$ ;*

$$\mathbb{W}(x_0) = \mathcal{W}^{x_0}; \quad W_{j,k,l}^{x_0} = \overline{\mathcal{W}^{x_0}}(\mathcal{E}^{(j,k,l)}),$$

(where  $\mathcal{E}^{(j,k,l)}$  is the sequence with 1 at the  $(j, k, l)$ -place and 0 otherwise) such that

$$\mathcal{W}(x_0)(\mathcal{U}) = \kappa(x_0)u^1(x_0), \quad \mathcal{U} \in \mathcal{F}(\mathcal{L}^s), \quad s < m/2 < s + 1;$$

$$\kappa \in C^\infty(M), \quad \kappa|_{\partial M} = 1, \quad \kappa \neq 0 \quad \text{on } M. \quad (29)$$

*Proof.* To prove Lemma it is sufficient to show the existence of  $\mathcal{V}^{x_0}(\delta)$  such the their limits  $\mathcal{W}^{x_0}$  satisfy the following conditions

- i.  $\mathcal{W}^{x_0} \neq 0$ ;
- ii.  $\mathcal{W}^{x_0}(\mathcal{U}) \in C^\infty(M)$  when  $\mathcal{U} \in \mathcal{F}([C_0^\infty(M)]^2)$ ;
- iii.  $\mathcal{W}^{x_0}(\mathcal{U}) = u^1(x_0)$  when  $x_0 \in \partial M$ ;  $\mathcal{U} \in \mathcal{F}(\mathcal{L}^s)$ ,  $s < m/2 < s + 1$ .

To prove the existence of such  $\mathcal{V}^{x_0}(\delta)$  we can take adjoint Fourier transforms of some smooth approximations to  $(0, \delta(\cdot - x_0))^t$ . On the other hand, conditions i-iii may be algorithmically verified due to Lemma 3, Corollary 3, Corollary 4, Lemma 4 and Lemma 1.

**Corollary 7.** *BSD of a pencil (1),(2) with  $(M, g)$  satisfying the BLR-condition determine the functions  $\kappa(x)\phi_{j,k,l}^1(x)$ ;  $j = 1, 2, \dots, k = 1, \dots, r_j, l = 1, \dots, n_{j,k}$  where  $\kappa$  satisfies relations (29).*

*Proof.* Since

$$\kappa(x_0)\phi_{j,k,l}^1(x_0) = \mathcal{W}_{j,k,l}^{x_0},$$

and  $\Phi_{j,k,l} \in \mathcal{L}^s$  for any  $s$  the statement follows from Lemma 5.

The functions  $\kappa\phi_{j,k,l}^1$  are the root functions for the pencil  $A_\kappa(\lambda)$ ;

$$A_\kappa(\lambda_j)(\kappa\phi_{j,k,l}^1) := a_\kappa(x, D)(\kappa\phi_{j,k,l}^1) - i\lambda_j b_0(\kappa\phi_{j,k,l}^1) - \lambda_j^2(\kappa\phi_{j,k,l}^1) = \kappa\phi_{j,k,l-1}^1, \quad (30)$$

$$B_\kappa(\kappa\phi_{j,k,l}^1) := (\partial_\nu(\kappa\phi_{j,k,l}^1) - \sigma_\kappa(\kappa\phi_{j,k,l}^1))|_{\partial M} = 0, \quad (31)$$

where

$$a_\kappa(x, D) = \kappa a(x, D)\kappa^{-1}; \quad \sigma_\kappa = \sigma + \partial_\nu[\ln \kappa].$$

**Lemma 6.** *Functions  $\kappa\phi_{j,k,l}^1$ ,  $j = 1, 2, \dots, k = 1, \dots, r_j, l = 1, \dots, n_{j,k}$  where  $\kappa$  satisfies (66) determine  $a_\kappa, \sigma_\kappa, b_0$ .*

*Proof.* By Lemma 1 finite linear combinations of  $\kappa\Phi_{j,k,l} = (\kappa\phi_{j,k,l}^1, \lambda_j\kappa\phi_{j,k,l}^1)^t$  are dense in  $[C^N(\Omega)]^2$  for any  $N \geq 0, \Omega \ll M$ . In particular for  $x_0 \in \text{int}M$  the vectors  $(\kappa(x_0)\phi_{j,k,l}^1(x_0), \nabla(\kappa\phi_{j,k,l}^1)(x_0), \lambda_j\kappa(x_0)\phi_{j,k,l}^1(x_0))^t \in \mathbb{C}^{m+2}$  span  $\mathbb{C}^{m+2}$ . Then equations (30) determine  $a_\kappa$  and  $b_0$ .

On the other hand for any  $y \in \partial M$  there is  $\phi_{j,k,l}^1$  such that  $\phi_{j,k,l}^1(y) \neq 0$ . Hence equations (31) determine  $\sigma_\kappa$ .

Theorem A is now a corollary of Lemma 6, Lemma 7 and properties (29) of  $\kappa$ .

### Some remarks.

- i. The BLR-condition is always satisfied for  $M \subset \mathbb{R}^m$  with the metric  $g^{j,l} = \delta^{j,l}$  or its  $C^1$ -small perturbations (see e.g. [14, 16]);
- ii. In particular the results of the paper are always valid for  $m = 1$  even when GBSD are prescribed at only one boundary point (see also [17]);
- iii. Using the nonstationary variant of the BC-method (see e.g. [8, 18]) it is possible to prove an analog of Theorem A when the data is the response operator  $R^h(t)$  of form (6) for the problem (4), (5) in the case when  $(M, g)$  satisfies the BLR-condition and  $t > 2t_*$ .

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