

This item was submitted to Loughborough's Research Repository by the author. Items in Figshare are protected by copyright, with all rights reserved, unless otherwise indicated.

Three-dimensional information retrieval (3DIR): A graph theoretic formulation for exploiting 3D geometry and model topology in information retrieval

PLEASE CITE THE PUBLISHED VERSION

https://www.igi-global.com/book/contemporary-strategies-approaches-information-modeling/190536

PUBLISHER

© IGI Global

VERSION

VoR (Version of Record)

PUBLISHER STATEMENT

This work is made available according to the conditions of the Creative Commons Attribution-NonCommercial-NoDerivatives 4.0 International (CC BY-NC-ND 4.0) licence. Full details of this licence are available at: https://creativecommons.org/licenses/by-nc-nd/4.0/

LICENCE

CC BY-NC-ND 4.0

REPOSITORY RECORD

Demian, Peter, Kirti Ruikar, and Anne Morris. 2019. "Three-dimensional Information Retrieval (3DIR): A Graph Theoretic Formulation for Exploiting 3D Geometry and Model Topology in Information Retrieval". figshare. https://hdl.handle.net/2134/28444.

Contemporary Strategies and Approaches in 3-D Information Modeling

Bimal Kumar Glasgow Caledonian University, UK



Published in the United States of America by

IGI Global

Engineering Science Reference (an imprint of IGI Global)

701 E. Chocolate Avenue Hershey PA, USA 17033

Tel: 717-533-8845 Fax: 717-533-8661

E-mail: cust@igi-global.com

Web site: http://www.igi-global.com

Copyright © 2018 by IGI Global. All rights reserved. No part of this publication may be reproduced, stored or distributed in any form or by any means, electronic or mechanical, including photocopying, without written permission from the publisher.

Product or company names used in this set are for identification purposes only. Inclusion of the names of the products or companies does not indicate a claim of ownership by IGI Global of the trademark or registered trademark.

Library of Congress Cataloging-in-Publication Data

Names: Kumar, B. (Bimal), editor.

Title: Contemporary strategies and approaches in 3-D information modeling /

Bimal Kumar, editor.

Description: Hershey, PA: Engineering Science Reference, [2018] | Includes

bibliographical references.

Identifiers: LCCN 2017049732| ISBN 9781522556251 (hardcover) | ISBN

9781522556268 (ebook)

Subjects: LCSH: Building information modeling. | Three-dimensional display

systems. | Visual analytics.

Classification: LCC TH438.13 .C66 2018 | DDC 720.285--dc23 LC record available at https://lccn.

loc.gov/2017049732

This book is published in the IGI Global book series Advances in Civil and Industrial Engineering (ACIE) (ISSN: 2326-6139; eISSN: 2326-6155)

British Cataloguing in Publication Data

A Cataloguing in Publication record for this book is available from the British Library.

All work contributed to this book is new, previously-unpublished material.

The views expressed in this book are those of the authors, but not necessarily of the publisher.

For electronic access to this publication, please contact: eresources@igi-global.com.

Chapter 5 Three-Dimensional Information Retrieval (3DIR): A Graph Theoretic Formulation for Exploiting 3D Geometry and Model Topology in Information Retrieval

Peter Demian

Loughborough University, UK

Kirti Ruikar

Loughborough University, UK

Anne Morris

Loughborough University, UK

ABSTRACT

The 3DIR project investigated the use of 3D visualization to formulate queries, compute the relevance of information items, and visualize search results. Workshops identified the user needs. Based on these, a graph theoretic formulation was created to inform the emerging system architecture. A prototype was developed. This enabled relationships between 3D objects to be used to widen a search. An evaluation of the prototype demonstrated that a tight coupling between text-based retrieval and 3D models could enhance information retrieval but add an extra layer of complexity.

DOI: 10.4018/978-1-5225-5625-1.ch005

INTRODUCTION

In building modelling environments, information is increasingly being crammed into 2D/3D building and product models. This is particularly true given the rise of Building Information Modelling (BIM). The Three-Dimensional Information Retrieval (3DIR) project investigated information retrieval from these environments, where information or documents are linked to a 3D building model. In these situations, the 3D visualisation or 3D geometry of a building can be exploited when formulating information retrieval queries, computing the relevance of information items to the query, or visualizing search results. Managing such building information repositories in this way would take advantage of human strengths in vision, spatial cognition and visual memory (Lansdale and Edmonds, 1992; Robertson et al., 1998).

Information retrieval is associated with documents, and a critic might argue that documents are relics from the pre-BIM age that are no longer relevant in the era of BIM. However, the challenge of information retrieval is pertinent whether we are dealing with documents which are coarse grains of information or building object parameters/attributes as finer grains of information. Demian and Fruchter (2005) demonstrated that traditional retrieval computations can be applied with good results to 3D building models where textual or symbolic data are treated as very short documents. In this sense, it is almost a question of semantics whether the information being retrieved comes from object properties embedded in the BIM, or from external documents linked to the BIM. The challenge remains of retrieving non-geometric or textual information.

This chapter describes developments of the 3DIR project whose aim was to improve information retrieval when retrieving information or documents linked to a 3D artefact, or retrieving non-geometric information embedded in the model of the artefact. It proposes a formulation based on graph theory as a useful theoretical lens for research and software development for information retrieval from 3D models. The central objective was to develop an information retrieval toolset for documents/information linked to 3D building models which exploits 3D geometry and linked information. Such a toolset is essentially a search engine for retrieving information within a BIM platform.

RELATED WORK

Building design, construction and operation are information intensive activities. For example, even over a decade ago in the UK construction industry, on average, one computer-aided design (CAD) document was produced for every 9 m² of building floor space (Gray and Hughes 2001). Several researchers (Leslie, 1996; Veeramani

and Russell, 2000; Ugwu, 2005) have reported the problem of "information overload" in the construction sector.

BIMs are following this general trend and becoming more information-rich. Regarding volumes of information specifically in BIMs, Demian and Walters (2014) identified BIM platforms as a particularly favourable communication medium in construction, compared to extranets, email and Enterprise Resource Planning systems. Charalambous et al. (2013) reported the advantages of BIM over documents and extranets. Although no absolute measures of the quantities of information were found, the implication from studies such as those is that BIMs are increasingly information-rich.

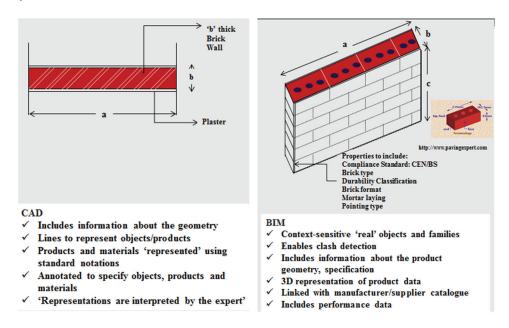
Information retrieval techniques have been used in construction to retrieve reusable designs (Demian and Fruchter 2005). Beyond text, Brilakis and Soibelman (2008) automatically identify particular features in construction site photographs with a view subsequently to using information retrieval techniques to manage collections of photographs. Bridging textual and geometric content, Caldas et al. (2002) propose techniques for automatically classifying construction documents based on project CAD components. Lin and Soibelman (2009) augment standard information retrieval techniques with formal representations of domain knowledge to improve the performance of a search engine for online product information. Rezgui (2006) similarly uses domain knowledge to formulate an ontology that informs the indexing and retrieval of construction content. These studies demonstrate how standard retrieval computations can be complemented when applied to building design and construction.

None of the studies encountered in the literature specifically exploit 3D data and 3D visualisations for information retrieval. This approach lies at the intersection of three academic fields: (1) BIM and CAD, (2) information retrieval and (3) information visualisation.

BIM and CAD

The state of the art in digital content management in building design and construction projects is being transformed by the emergence of Building Information Modelling (Eastman et al. 2011). Whereas CAD models classically attempted to model the geometry of buildings or building components in two or three dimensions (e.g. Eastman 1999, Emmitt and Ruikar 2013), Building Information Models include non-geometric content as well (Figure 1). This content includes the non-geometric attributes of physical building components (such as the cost of a component) as well as non-geometric entities. For example, Building Information Models can include entities to model the processes of design (Austin et al. 2000) and construction (Koo and Fischer 2000) and the organizations (i.e., teams and individuals) that execute

Figure 1. Contrast between a CAD model, a representation of building geometry, and a Building Information Model, a representation of context-sensitive objects (from Ruikar, 2014)



those processes (Kunz et al. 1998). In addition, BIM is not limited to the design and construction phases but can be extended to cover the entire life cycle of constructed facilities, from briefing/programming, through design, to facilities management and even disposal.

In the context of the 3DIR project, it is noteworthy that, although as noted above, CAD and BIMs nowadays include both geometric and non-geometric information, the geometric 3D model of the building is central, and is often expected to serve as a visual index that leads to the additional non-geometric content (Figure 1). This approach often fails, because such systems do not exploit human abilities in spatial cognition and visual memory. Non-geometric content does not leave enough *information scent* (Pirolli and Card 1999) in the geometric CAD model that enables the information forager to find it. This concept served as an important point of departure for the 3DIR project.

Information Retrieval

Information retrieval (IR) is concerned with systems that help users to fulfil their information needs. In particular, IR computations can quantify the relevance of

Three-Dimensional Information Retrieval (3DIR)

information items based on user queries (Dominich 2008). Demian and Fruchter (2005) demonstrated that traditional IR techniques could be applied to retrieve information from BIMs and product models; the semantic information attached to 3D objects could be treated as very short documents and standard text document computations employed, giving reasonable retrieval results. As noted in the introduction to this section and under "BIM and CAD" above, information retrieval has recently been applied in managing the vast volume of information accumulated in building design, construction and operation.

Information Visualisation

The 3DIR project bridges the domains of information visualisation and scientific (or 3D) visualisation. Visualisation has been defined as "the use of visual representations to amplify cognition" (Section 1 of Card et al. 1999). Information visualisation (IV), in particular, refers to the visualisation of abstract data, unrelated to physical space. Such data (e.g. financial data, abstract conceptions, hierarchical and network data structures) have no obvious spatial mapping. One important branch of IV is the visualisation of collections of documents (Section 6 of Card et al. 1999). This aspect informed the visualisation of search results in 3DIR. Efforts to visualise document collections range in scale from visualising the whole internet, through visualising smaller document collections in information workspaces, to visualising an individual document (Card et al. 1999).

In construction, Wu and Hsieh (2012) identified the lack of a single interface which combined and visualised the information from the disparate project sources as an important cause of work breakdowns. They go on to propose the Project Information Integration Management Framework (PIIM Framework), operationalised in a software prototype in which data is presented in conjunction with the 3D model. Shaaban et al. (2001) propose different approaches for the application of IV in architecture. They present the task-driven approach as the most effective, which places the user's task and information needs at the centre, and considers his/her visual and cognitive processing. In support specifically of health and safety analysis, Zhang et al. (2015) superimpose information about health hazards and safety equipment on the 3D building visualisation. Gerrish et al (2017) demonstrate techniques for visualising building energy performance data, both in abstract representations as well as superimposed on floorplans.

This body of literature demonstrates the value of integrating 3D visualisation with IV. When visualising documents specifically, a range of document properties have been mapped to visual cues such as shape, colour and size.

Topology

As explained under "Results", the exploitation of topological relationships between objects in a 3D model emerged as a promising avenue for improving information retrieval from BIMs. In general language, topology is the "study of the way in which constituent parts are interrelated or arranged" (OED Online 2017). In mathematics, topology is the study of a collection of open sets, making a given set a *topological space* (Gemignani 1972). In spatial modelling, topology is concerned with the notions of "interior", "boundary", or "exterior". Paul (2009) examined how these notions could be captured by the Industry Foundation Classes (IFC), as buildings were modelled in 3D Euclidean space. Borrmann and Rank (2009a) present algorithms for the standard topological operators in 3D space: *within*, *contain*, *touch*, *overlap*, *disjoint* and *equal*; their prototype uses IFC-VRML files.

In this research, *topological relationships* are taken to include any relationships between 3D building elements in a model which may enhance information retrieval. These relationships might be strictly topological and concerned with interior/boundary/exterior of 3D components as noted above, more general spatial/directional relationships (Borrmann and Rank, 2009b), or even relationships as they occur in a very general semantic sense, albeit linked to spatial topology (Lin, 2013). In this sense, any two objects in a model sharing the same attribute (for example: two components supplied by the same manufacturer) can be said to be related. If a user searching for information is interested in the first object, but not the second object related to it, information from the second object can still be retrieved but ranked as less relevant.

The literature reviewed highlights the promise of systematically applying IR and IV techniques in BIM environments to exploit human cognitive strengths and facilitate more effective information management.

A GRAPH THEORETIC FORMULATION OF INFORMATION LINKED TO 3D MODELS

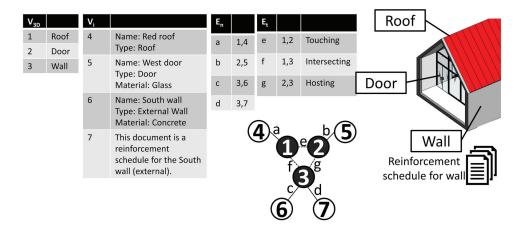
Graph theory provides a useful theoretical lens for studying information-rich 3D models and retrieving information in these environments. This theoretical lens can inform software research and development in this area. A *graph* in this context is a series of vertices connected by edges. Each edge joins exactly two vertices. Any graph X can be modelled mathematically by listing its set of vertices V(X) and set of edges E(X) (Aldous and Wilson (2003) give an introduction to graph theory). In the case of an information-rich 3D model, it is possible to distinguish between the set of 3D vertices V_{3D} , which are the 3D objects in the model, and information

vertices V_i, which are linked information items, whether properties of the 3D objects treated as short documents or linked full text documents.

Similarly, for the edges in the graph theoretic formulation of a 3D model, it is possible to distinguish between two types of edges. The first more obvious type of edge is the edge joining a 3D object to one of its properties, i.e. an edge between a vertex in set V_{3D} and a vertex in set V_i . The set of this natural type of edge can be called E_n . It arises simply from the fact that 3D objects and their properties (or linked documents) are modelled as separate (but linked) objects. The second, more subtle, type of edge is that edge which encodes some topological relationship between two 3D objects, as discussed under "Topology" above and under "Exploitation of Model Topology in 3DIR" below, i.e. an edge joining two related V_{3D} vertices. The set of this type of topological edge can be called E_t . Such edges and the topological relations they model are one of the focal points of this current development in the 3DIR project. (If it were not for the edges in set E_t , the emerging graph would consist of two disjoint sets of 3D and information vertices, with each natural edge in E_n connecting an item in one set to an item in the other, i.e. a *bipartite graph*). Figure 2 gives an example of this formulation for a simple 3D model.

This formulation is useful because of its distinction between 3D and non-3D information objects, its classification of the different types of links between information items, and its allowance for topological relationships between 3D objects. These sets of vertices and edges can be used to develop an architecture for information retrieval from 3D modelling environments.

Figure 2. An example graph theoretic formulation of a simple 3D model consisting of a Roof, a Door and a Wall (all three objects having properties, and the Wall being linked to an external document)



METHOD

Following reviews of literature as presented above, it appears that information management in BIM/CAD systems remains a challenge, and innovative information retrieval techniques are needed to address this. To inform the design of such a system incorporating novel information retrieval functionality, workshops were convened at "Contractors", a large multinational contractor, and "Architects", a renowned architectural practice in London. Armed with the needs identified at these workshops, and informed by the graph theoretic formulation in Figure 2, a software prototype was designed and developed as an add-in under the Autodesk Revit BIM platform. Although the ultimate aspiration is for any software development to remain platform independent and avoid favouring any particular commercial BIM environment, it was found that the Autodesk Revit Application Programming Interface (API) provided excellent opportunities for development and research prototyping. A platform-neutral format such as IFC would have been preferable for wider dissemination, but IFC authoring and viewing software did not provide the necessary API.

This *original* version of 3DIR was evaluated with the help of professionals from "Contractors" and "Architects". From this preliminary evaluation, the notion emerged of exploiting topological relationships in the model for information retrieval. In terms of the graph theoretic formulation, the idea was to exploit edges of type E_t to improve information retrieval and exploration. This notion was tested using three particular relationships or edges E_t as a proof of concept:

- 1. **The** *Hosted by* **Relationship:** This is built into the Revit information architecture; for example, a particular window may be hosted by a particular wall.
- 2. **The** *Intersecting* **Relationship:** For example, if 3D volumes are used to model spaces, the volumes for two crossing corridors would intersect one another.
- 3. **The** *Touching* **Relationship:** For example, two adjacent walls may touch one another.

The *intersecting* and *touching* relationships (and the corresponding edges E_t) were able to be inferred using simple geometrical computations through the Revit API, whereas the *hosted by* relationship is explicitly encoded by Revit. Those three relationships were chosen as a proof of concept, as a set of fundamental relationships between 3D objects. (A more thorough exploration of topological relationships in 3D can be found in Ellul & Haklay 2007 and Ellul & Haklay 2009.) This *modified* version of 3DIR was re-evaluated with the help of a cohort of postgraduate students at Loughborough University.

RESULTS

The results of the initial workshops are presented under "Needs Analysis". The interface and system architecture of the original 3DIR system are described under "3DIR Interface and Prototype Development". The implementation of a basic set of topological relationships is described under "Exploitation of Model Topology in 3DIR". The results of the evaluation of the *original* (3DIR) and *modified* (3DIR+Topology) versions of 3DIR are presented under "Results of 3DIR Evaluation".

Needs Analysis

The ICT Director of "Architects", an international architecture practice based in London, was interviewed. The ICT Director spoke strategically about the shift from CAD to BIM (and, indeed, from hand drafting to CAD), the cost of software, the potential productivity gains and measuring the Return on Investment of these new tools. "Architects" had just adopted a new commercial BIM platform and, despite the software being perceived as extremely expensive, the productivity gains were evident: "it's taken a fraction of the time to produce the information we would normally produce with 2D drawings".

Following the interview with the ICT Director of "Architects", two architects joined the conversation and a focus group discussion was held. The architects echoed the productivity gains enabled by the new BIM platform. They noted that the BIM information architecture enabled much more information to be included almost effortlessly in the model. Expressed in terms of the graph theory formulation, models could now include vertices of the type in set V_i , which added great value to the V_{3D} vertices. The information bearing capacity of the new 3D models was used as an important communication medium for collaboration. This contrasted with the pre-BIM days described by the ICT Director: "in those days, we could still put some data into our models, but nobody did it because we had nobody to share it with." Beyond including data *within* models, all focus group participants acknowledged the difficulty of linking external documents to BIMs. If those links were in place, however, the focus group participants could clearly see the possibilities for improved information retrieval.

In addition to the interview and focus group at "Architects", a focus group was convened at "Contractors", a major UK contractor. The participants from "Contactor" did not feel that "documents" were going to remain relevant in the new era of BIM. They did acknowledge that models were becoming more and more information-rich, but not with traditional documents. *Interoperability* was an urgent issue which emerged

repeatedly in that focus group. "Contractors" teams extensively used extranets on their projects. The participants identified the disconnection between 3D models and other project documents in extranets as a major obstacle to retrieving information, either from the documents or from the 3D models. Models in the extranet rarely contained links to other external information.

3DIR Interface and Prototype Development

The needs analysis exposed the complexity of information management in 3D environments. The assumption made at the outset of the 3DIR project was that links existed in standard practice between 3D components and textual information or documents. The needs analysis demonstrated that this assumption was questionable, although the situation rapidly changed as the research progressed. Even though links between documents and 3D components remain rare, as noted from the literature: the textual, numerical or symbolic parameters given to 3D components in most BIM information architectures can be considered as non-3D information linked to 3D components. In other words, 3D models included V_i information items (graph vertices) and implicitly included E_n links (graph edges) as links between these 3D objects and their properties. Isolating the challenge of exploiting such links for information retrieval, the following salient requirements (R) were distilled from the needs analysis:

- R1. When formulating queries, users need the ability to search by keyword, 3D volume, by selecting a set of components from the model, or by any combination of these.
- R2. When selecting a component or set of components from the model within which the user wishes to search, users would like the option of searching beyond this selection, based on relationships between components, i.e. topological relationships encoded as E, edges.
- R3. When visualising search results, users need to retain the standard text-based listing, but would also like search results somehow superimposed on the 3D model.

These requirements emerged when the findings from the needs workshops were used to formulate fictional archetypal *problem scenarios* (Rosson and Carroll 2001). Those in turn were developed into *activity scenarios*, *information scenarios* and *interaction scenarios*, culminating in a *usability specification*. Through this process, requirements R1, R2 and R3 were translated into the following corresponding system usability specification items (S):

S1. Multiple search modes are needed:

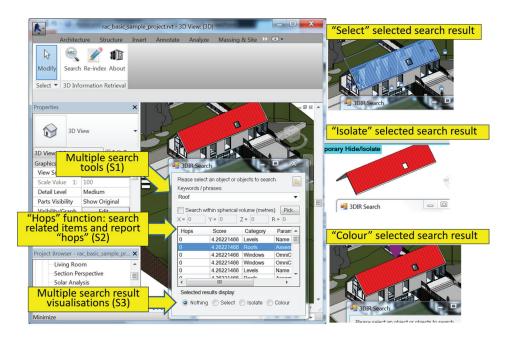
- a. Clicking on a single component or collection of components should display all the textual information (whether parameters or external documents) linked to that/those component(s). In terms of the graph theoretic formulation, by clicking on a 3D object from set V_{3D} , the system should follow all edges in the set E_n which include this 3D object and retrieve linked V_i vertices.
- b. The system should allow the user to filter the search by keyword, by selecting desired 3D components or by specifying a 3D volume.
- S2. "Hops function": with a single component or collection of components selected, the system should give the user the option of searching or "hopping" outside this selection to related 3D components up to a specified maximum number of hops away. In terms of the graph theoretic formulation, E_t edges should be followed to make "hops" outside a select set of 3D objects, and expand the pool of 3D objects from which search results are retrieved. Search results can be ranked by "hops".
- S3. Text search results listing is needed, together with as many visual representations of search results as the API allows:
 - a. Text listing should be available
 - b. Retrieved 3D components should be "selected"
 - c. Retrieved 3D components should be isolated, i.e. all other components in the model being temporarily rendered invisible
 - d. Retrieved 3D components should be highlighted by insetting a phantom coloured shape above them. The colour of the shape could then be used to denote the type of information retrieved (i.e. format of file or type of parameter) and the size of the shape could be used to denote the relevance according to the text retrieval computation.

A prototype was developed under the Autodesk Revit platform. Revit is a common commercial BIM platform and, as noted above, upon reviewing common BIM platforms, was found to have a powerful API. The source code was written in C#. The Apache Lucene open source library was used for the text indexing and search functions.

3DIR appears in the add-ins ribbon of the standard Revit interface. The first step when searching a building model using 3DIR for the first time is to "index" the model using that icon on the 3DIR toolbar. This will create an index of all text terms from the 3D object parameters or linked text documents. Once an index has been created, the "Search" tool can be used which brings up the dialogue box shown in Figure 3.

As the user enters keywords in the text box, search results are listed in real time in the dialogue box. In the example shown in Figure 3, the keyword "roof" is

Figure 3. The 3DIR interface. Left: Screenshots showing the software functions satisfying the specifications (S) which emerged from the user workshops. Right: Various presentations of search results.



entered and search results listed related to the roof of the model. A relevance score is calculated for each $V_{\rm 3D}$ information item using text analysis. The search can be processed either on the whole building model if nothing is selected, or limited to the selected objects. As the object selection in the model changes, the search results are updated dynamically. The user is also able to limit the search to a spherical volume. Selecting a search hit from the list (e.g. the "Roof Assembly" item) will optionally "select" (or highlight) the Revit element containing that search term (i.e. the red roof graphic from the model), "isolate" it (i.e. temporarily hide all other items in the model) or identify the object by displaying a coloured balloon next to it in the model. The size and colour of the balloon can be used to denote various attributes, such as the relevance or type of information. Figure 3 shows an example search for "roof". The three options for displaying the selected search result(s) appear on the right hand side of the figure.

Exploitation of Model Topology in 3DIR

From requirement R2 and corresponding specification S2, the exploitation of topological relationships (or E, edges) between objects in a 3D model emerged as

a promising avenue for improving information retrieval in 3D environments. The 3DIR prototype was extended to exploit such relationships. In order to establish relationships between the 3D building elements in the model, a separate list of other objects *hosting*, *touching* or *intersecting* each element in question is saved as a list of "Neighbours". In other words, E_t edges are followed to identify each V_{3D} object's neighbour V_{3D} object(s). While indexing, these Neighbours lists are indexed with their respective objects along with the object's own parameters. For each element, only one list of nearest neighbours is stored, i.e. one "hop" away. Subsequent neighbours, more than one hop away, are retrieved during the search, using a recursive function.

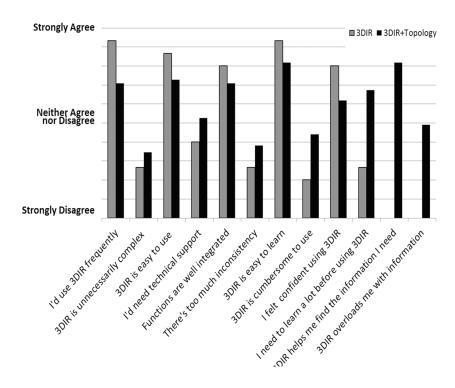
When searching a selected set of objects, the results are shown in the same way as when searching the whole building model. Selected objects containing the search keyword in any of their parameters are shown first in the results table with "Hops" value 0. Next, the list of Neighbours of each of the retrieved objects is also searched. Neighbouring objects containing the required keyword are listed in the results table with "Hops" value 1. The search is repeated recursively on the newly retrieved objects, each time incrementing the "Hops" value. This continues until the maximum number of Hops specified by the user. In this way, objects which are not in direct consideration but are related to the objects of the user's interest can still be retrieved but with less relevance.

Results of 3DIR Evaluation

Both the *original* (3DIR) and *modified* (3DIR+Topology) versions of 3DIR were evaluated by demonstrating the software to users and recording their feedback using a questionnaire. The samples of test subjects were drawn from different populations. 3DIR was evaluated by professional architects from the 3DIR project industry partners "Architects", each with over ten years of industrial experience. 3DIR+Topology was evaluated by a sample of postgraduate construction students from Loughborough University (11 students with an average of 1.95 years of industrial experience). The questionnaire was based on the System Usability Scale (SUS Brooke, 1996). Two additional questions were posed for 3DIR+Topology to gauge its recall and performance in averting information overload. The results are shown in Figure 4.

It can be seen from Figure 4 that although 3DIR+Topology was generally well received, without exception the new topology feature caused test subjects to agree less strongly with the positive statements and disagree less strongly with the negative statements. This is most pronounced in the last statement which was posed to users of both tools: "I would need to learn a lot before using 3DIR". As discussed below, it appears that a major concern for the new functionality is the added complexity it entails.

Figure 4. A comparison of the evaluation results from the original version of 3DIR and the modified version of 3DIR (3DIR+Topology). The questions are loosely based on the System Usability Scale (Brooke 1996) with three additional Likert questions for the modified version of 3DIR.



DISCUSSION

The results from the 3DIR evaluation demonstrate the promise of this approach. The results from 3DIR+Topology are encouraging but suggest that the added complexity might be difficult for users to grasp. The stronger agreement with statements of the complexity, cumbersomeness and un-learnability may also be a symptom of the speed with which the demonstration was conducted. The software demonstration lasted about twenty minutes, followed by about ten minutes of questions and answers, after which the users were asked to complete the questionnaire. It is also possible that framing the new functionality within the theoretical concept of topology added unnecessary complexity. In the demonstration session, the users used terms such as "widening the search criteria" or "finding related items" when discussing the new functionality.

A possibility that was explored was that the lukewarm assessment of 3DIR+Topology was due to the relative inexperience of the cohort of test subjects. Perhaps more experienced professionals would see the value of the functionality more clearly. This was investigated but the results showed that the support for the new functionality was roughly uniform across all levels of experience.

Although the 3DIR results reaffirm the potential of exploiting 3D data and 3D visualisation, it must be acknowledged that the slightly lower scores of 3DIR+Topology indicate that some rethinking is required about the usefulness of this extra topology functionality. During the workshop following the demonstration, test participants verbally agreed that the functionality was useful, but the SUS (System Usability Scale) questionnaire scores do not strongly support this. This functionality might be a result of "function creep", whereby the gradual widening use of a technology causes it to be unwieldy in its complexity or causes it eventually to shift away from the use for which it was originally intended. Even if this functionality is indeed useful, an improved interface design is needed to make the functionality more intuitive and facilitate the formation of more helpful mental models of the notion of topology. From discussion with test participants, the list of topological relationships exploited (touching, intersecting and hosting) needs to be expanded, and the interface design needs to convey those more clearly. The graph theoretic formulation might also provide a useful framework for users to grasp this functionality: searching text and 3D information items, all interconnected by edges.

FUTURE RESEARCH DIRECTIONS

The graph theoretic formulation proposed is intended to provide a framework for future research into information management in 3D modelling environments. Given a query text string q_i and a set of retrieved items from set V_i , it has already been shown how E_n edges can be used to retrieve linked objects from V_{3D} , and edges from E_t can be used to expand the search and rank search results by making "hops". Future research can explore more holistic relevance measures, where text matching scores are combined between q_i and multiple V_i items which are related through interlinked 3D objects in the set V_{3D} . For example, if a user is searching for "lift shaft in an atrium", such a holistic search would rate the relevance of a "lift shaft" object more highly if it was *hosted by* an "atrium" object (i.e. if there was a *hosting* E_t edge connecting the lift shaft V_{3D} object to an atrium V_{3D} object).

3DIR, so far, only allows queries based on a query text string, \mathbf{q}_i . An important next step would be to allow 3D query objects, to enable users to search for items which are relevant to an individual 3D query object, \mathbf{q}_{3D} . This measuring relevance between \mathbf{q}_{3D} and those items in \mathbf{V}_{3D} might entail complex geometric computations.

Further research is needed to evaluate the retrieval performance of 3DIR in terms of precision and recall. This would allow benchmarking against standard systems. This formal evaluation is also particularly important when considering retrieval embellishments such as one described in this chapter based on *topology*, or the holistic relevance measure proposed in this section. Would such embellishments improve retrieval performance? Of course standard measures of precision and recall require almost binary classifications of *relevant* or *not relevant* and *retrieved* or *not retrieved*. These classifications might be difficult in 3D modelling environments.

CONCLUSION

The 3DIR prototype creates an index of all text data attached to a 3D model. The user is able to search for information by selecting specific 3D objects, specifying a spherical region of the model and/or entering search keywords. Search results are displayed by highlighting 3D objects in the 3D model, isolating them or indicating them using a coloured balloon shape. The 3DIR+Topology system exploits model topology. At the indexing stage, a separate list of other objects hosting, touching or intersecting each element in question is saved as a list of "neighbours". When searching a selected set of objects, selected objects containing the search keyword in any of their parameters are shown first in the results. The list of Neighbours of each of the retrieved objects is then recursively searched until the maximum number of Hops specified by the user. In a comparative evaluation of 3DIR and 3DIR+Topology, users of the latter agree less strongly with positive statements and disagree less strongly with negative statements. This indicates that, although still useful, more careful interface design is needed to mitigate the added complexity of this functionality.

This work is distinct in its focus on retrieval of non-geometric information from 3D models, and how 3D computations can support information retrieval. It contrasts with, for example, the work of Daum & Borrmann (2014). They focus on spatial semantics, and particularly topological operators such as *Touch*, *Within* and *Contains*. They go on to propose a Query Language for Building Information Models (QL4BIM) and an efficient set of algorithms for implementing that query language. In 3DIR, 3D objects are retrieved based on the V_i items (i.e. textual information) to which they are linked. As noted above as a future research direction, it is also conceivable to query models and retrieve 3D objects based on 3D query items ("find a shape like this one") and geometrical operators. Aside from BIM, 3DIR is also aligned with some work in the domain of GIS: Ellul & Haklay 2007, Ellul & Haklay 2009, Schneider et al. 2012. The 3D computations employed in those efforts can also be applied to 3DIR in future work.

Three-Dimensional Information Retrieval (3DIR)

The underlying hypothesis of the 3DIR project remains compelling, that a tighter coupling between the 3D model and textual information is helpful for information retrieval. The graph theoretical formulation proposed provides a framework for this tighter coupling between 3D objects and textual information.

ACKNOWLEDGMENT

Our colleague Dr Ann O'Brien was an original member of the 3DIR team and contributed to the original 3DIR work but unfortunately passed away before this chapter was written. The 3DIR project was supported by a Brian Mercer Feasibility Award from the Royal Society, with further support from the Enterprise Office at Loughborough University and funding from University's Higher Education Innovation Fund. Autodesk provided support through membership of the Autodesk Developer Network. The 3DIR Revit app is available for free from the Autodesk App Store link at http://www.3dir.org/.

REFERENCES

Aldous, J. M., & Wilson, R. J. (2003). *Graphs and applications: an introductory approach*. Springer Science & Business Media.

Austin, S., Baldwin, A., Li, B., & Waskett, P. (2000). Analytical design planning technique (ADePT): A dependency structure matrix tool to schedule the building design process. *Construction Management and Economics*, 18(2), 173–182. doi:10.1080/014461900370807

Borrmann, A., & Rank, E. (2009a). Topological analysis of 3D building models using a spatial query language. *Advanced Engineering Informatics*, *23*(4), 370–385. doi:10.1016/j.aei.2009.06.001

Borrmann, A., & Rank, E. (2009b). Specification and implementation of directional operators in a 3D spatial query language for building information models. *Advanced Engineering Informatics*, 23(1), 32–44. doi:10.1016/j.aei.2008.06.005

Brilakis, I. K., & Soibelman, L. (2008). Shape-based retrieval of construction site photographs. *Journal of Computing in Civil Engineering*, 22(1), 14–20. doi:10.1061/(ASCE)0887-3801(2008)22:1(14)

Brooke, J. (1996). SUS-A quick and dirty usability scale. *Usability Evaluation in Industry*, 189(194), 4-7.

Caldas, C. H., Soibelman, L., & Han, J. (2002). Automated classification of construction project documents. *Journal of Computing in Civil Engineering*, *16*(4), 234–243. doi:10.1061/(ASCE)0887-3801(2002)16:4(234)

Card, S. K., Mackinlay, J. D., & Shneiderman, B. (Eds.). (1999). *Readings in information visualization: using vision to think*. Morgan Kaufmann.

Charalambous, G., Thorpe, T., Demian, P., Yeomans, S. G., Doughty, N., & Peters, C. (2013). Collaborative BIM in the Cloud and the Communication tools to support it. *Proceedings of the 30th CIB W78 International Conference on Applications of IT in the AEC industry*, 58 - 67.

Daum, S., & Borrmann, A. (2014). Processing of topological BIM queries using boundary representation based methods. *Advanced Engineering Informatics*, 28(4), 272–286. doi:10.1016/j.aei.2014.06.001

Demian, P., & Fruchter, R. (2005). Measuring relevance in support of design reuse from archives of building product models. *Journal of Computing in Civil Engineering*, 19(2), 119–136. doi:10.1061/(ASCE)0887-3801(2005)19:2(119)

Demian, P., & Walters, D. (2014). The advantages of information management through building information modelling. *Construction Management and Economics*, 32(12), 1153–1165. doi:10.1080/01446193.2013.777754

Dominich, S. (2008). *The modern algebra of information retrieval*. Heidelberg, Germany: Springer.

Eastman, C., Teicholz, P., Sacks, R., & Liston, K. (2011). *BIM Handbook: A Guide to Building Information Modeling for Owners, Managers, Designers, Engineers, and Contractors* (2nd ed.). John Wiley & Sons.

Eastman, C. M. (1999). *Building product models: computer environments, supporting design and construction*. CRC Press.

Ellul, C., & Haklay, M. (2007). Requirements for Topology in 3D GIS. *Transactions in GIS*, 10(2), 157–175. doi:10.1111/j.1467-9671.2006.00251.x

Ellul, C., & Haklay, M. M. (2009). Using a B-rep structure to query 9-intersection topological relationships in 3D GIS-reviewing the approach and improving performance. In 3D geo-information sciences (pp. 127–151). Springer Berlin Heidelberg.

Emmitt, S., & Ruikar, K. (2013). Collaborative design management. Routledge.

Gemignani, M. C. (1972). *Elementary Topology*. Courier Corporation.

Three-Dimensional Information Retrieval (3DIR)

Gerrish, T., Ruikar, K., Cook, M., Johnson, M., Phillip, M., & Lowry, C. (2017). BIM application to building energy performance visualisation and management: Challenges and potential. *Energy and Building*, *144*, 218–228. doi:10.1016/j. enbuild.2017.03.032

Gray, C., & Hughes, W. (2001). Building design management. Routledge.

Koo, B., & Fischer, M. (2000). Feasibility study of 4D CAD in commercial construction. *Journal of Construction Engineering and Management*, 126(4), 251–260. doi:10.1061/(ASCE)0733-9364(2000)126:4(251)

Kunz, J. C., Christiansen, T. R., Cohen, G. P., Jin, Y., & Levitt, R. E. (1998). The virtual design team. *Communications of the ACM*, *41*(11), 84–91. doi:10.1145/287831.287844

Lansdale, M., & Edmonds, E. (1992). Using memory for events in the design of personal filing systems. *International Journal of Man-Machine Studies*, *36*(1), 97–126. doi:10.1016/0020-7373(92)90054-O

Leslie, H. G. (1996). Strategy for information in the AEC industry. *INCIT 96 Proceedings: Bridging the Gap*.

Lin, C. J. (2013). Smart Spatial Ontology: Bridging Semantic Ontology to Spatial Topology. *Computer-Aided Design and Applications*, *10*(3), 489–497. doi:10.3722/cadaps.2013.489-497

Lin, K. Y., & Soibelman, L. (2009). Incorporating domain knowledge and information retrieval techniques to develop an architectural/engineering/construction online product search engine. *Journal of Computing in Civil Engineering*, 23(4), 201–210. doi:10.1061/(ASCE)0887-3801(2009)23:4(201)

OED Online. (2017). Oxford University Press. Retrieved from http://www.oed.com/view/Entry/203426?redirectedFrom=topology

Paul, N. (2009). Basic topological notions and their relation to BIM. Handbook of Research on Building Information Modeling and Construction Informatics: Concepts and Technologies, 451-472.

Pirolli, P., & Card, S. (1999). Information foraging. *Psychological Review*, *106*(4), 643–675. doi:10.1037/0033-295X.106.4.643

Rezgui, Y. (2006). Ontology-centered knowledge management using information retrieval techniques. *Journal of Computing in Civil Engineering*, 20(4), 261–270. doi:10.1061/(ASCE)0887-3801(2006)20:4(261)

Robertson, G., Czerwinski, M., Larson, K., Robbins, D. C., Thiel, D., & Van Dantzich, M. (1998). Data mountain: using spatial memory for document management. In *Proceedings of the 11th annual ACM symposium on User interface software and technology* (pp. 153-162). ACM. 10.1145/288392.288596

Rosson, M. B., & Carroll, J. M. (2001). *Usability engineering: scenario-based development of human-computer interaction*. Elsevier.

Ruikar, K. (2014). BIM and Regulation 38. Fire Sector Summit 214.

Schneider, M., Chen, T., Viswanathan, G., & Yuan, W. (2012). Cardinal directions between complex regions. *ACM Transactions on Database Systems*, *37*(2), 8. doi:10.1145/2188349.2188350

Shaaban, S., Lockley, S., & Elkadi, H. (2001). Information visualisation for the architectural practice. In *Information Visualisation*, 2001. Proceedings. Fifth International Conference (pp. 43-50). IEEE.

Ugwu, O. O. (2005). A service-oriented framework for sustainability appraisal and knowledge management. *International Journal of IT-Construction ITCon*, 10, 245–263.

Veeramani, D., & Russell, J. (2000). Preparing the AEC industry for the knowledge economy. *Berkeley-Stanford Construction Engineering and Management Workshop*. *Defining a Research Agenda for AEC Process/Product Development in 2000 and Beyond*.

Wu, I. C., & Hsieh, S. H. (2012). A framework for facilitating multi-dimensional information integration, management and visualization in engineering projects. *Automation in Construction*, *23*, 71–86. doi:10.1016/j.autcon.2011.12.010

Zhang, S., Sulankivi, K., Kiviniemi, M., Romo, I., Eastman, C. M., & Teizer, J. (2015). BIM-based fall hazard identification and prevention in construction safety planning. *Safety Science*, 72, 31–45. doi:10.1016/j.ssci.2014.08.001

KEY TERMS AND DEFINITIONS

API: Application programming interface.

BIM: Building information model/modelling.

CAD: Computer-aided design.

 \mathbf{E}_n : The set of natural edges in the graph theoretic formulation (i.e., a link between a 3D object and one of its properties).

Three-Dimensional Information Retrieval (3DIR)

 \mathbf{E}_{t} : The set of topological edges in the graph theoretic formulation (i.e., links between related 3D objects).

IFC: Industry foundation classes.

IR: Information retrieval.

IV: Information visualization.

 \mathbf{Q}_{3D} : A query in the form of a 3D object.

 \mathbf{Q}_{i} : A query in the form of a text string.

R: Software "requirement" item from the scenario-based design process.

S: A software "specification" item from the scenario-based design process.

SUS: System usability scale.

 \mathbf{V}_{3D} : The set of vertices in the graph theoretic formulation representing 3D objects.

V_i: The set of vertices in the graph theoretic formulation representing information objects (i.e., properties of 3D objects as well as linked documents).