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5		ANALYSIS OF COHERENT SYMMETRICAL ILLUMINATION FOR
6		ELECTRONIC SPECKLE PATTERN SHEARING INTERFEROMETRY
7		
8		
9		Juan F. Román ¹ , Vicente Moreno ² , Jon N. Petzing ³ and John R. Tyrer ³
10		
11	1.	Corresponding author. Bayer Diagnostics, Sudbury, CO10 2XQ, Suffolk, UK.
12	2.	Faculty of Physics, University of Santiago de Compostela, 15782, Santiago de
13		Compostela, Spain.
14	3.	Wolfson School of Mechanical and Manufacturing Engineering, Loughborough
15		University, Loughborough, Leics., LE11 3TU, UK.
16		

16 Abstract

17 In an effort to find a non-contact technique capable of providing measurements of in-plane 18 strain, the authors designed a speckle shearing interferometer using symmetrical coherent 19 illumination. It is presented the analysis of the sensitivity to displacement and strain of this interferometer, together with the analysis of the phase-stepping of the resultant fringe 20 21 patterns. A new notation is introduced alongside this analysis to define the interference components in speckle shearing interferometers using multiple illumination beams. 22 23 Experimental results show the fringe patterns and the phase stepping, in support of the 24 theoretical analysis.

25

26 1. INTRODUCTION

27 Speckle based optical metrology techniques allow whole-field, non-contact and real-time 28 measurement of displacement and strain components [1]. Electronic Speckle Pattern 29 Interferometry (ESPI) is used for the measurement of displacement in the three orthogonal 30 axes, allowing the independent extraction of the two In-Plane displacement (IP) and one Out-31 Of-Plane (OOP) displacement components. Electronic Speckle Pattern Shearing 32 Interferometry (ESPSI) provides a method for measuring the first spatial derivatives of 33 displacement, these being related to mechanical strain [2-4].

34

Displacement and strain can be divided into their orthogonal components, the out-of-plane component and the two X and Y in-plane components. If care is taken with the detail of the optical configurations [4-6], then the majority of speckle shearing interferometers can discretely measure the OOP spatial derivative components. However, this is not the case for discrete measurement of the in-plane spatial derivative components, where the issues are more complex. In fact to a certain extent, effort has been expended to identify the disrupting influences of in-plane terms and to remove them from the out-of-plane terms [7].

42

Extraction of the in-plane terms is possible using aperture based designs and Fourier plane analysis [8] but these systems have yet to be demonstrated as real-time instruments. Extraction of the in-plane terms has also been demonstrated using sequential measurement, changing illumination angles between each data set, thus making it possible to identify the inplane terms [4, 9-11]. Whilst this approach does work, if the object under study exhibits time varying deformation components, then the approach may not produce the correct result. A

49 solution to this issue has been proposed [12], with a shearing interferometer being designed 50 around three wavelength illumination. The authors in this case demonstrate the extraction of 51 six partial derivatives, but the complexity, overheads and spatial image registration of 52 operating three cameras simultaneously should perhaps not be underestimated

53

54 It can therefore be identified that current optical configurations are typically unable to directly 55 measure the in-plane displacement derivative components, isolated from the out-of-plane 56 components, without complex interferometer design. However, a simple technique for the 57 measurement of IP displacement derivative components (and hence strain components) would 58 be of great interest in many engineering applications. Results have been produced which 59 directly measure in-plane strain components, but under special circumstances, such as plane 60 stress or plane strain conditions [13]. Further data [14] has suggested that the use of dual or 61 simultaneous illumination wavefronts may allow direct analysis of in-plane components for 62 arbitrary objects, but this work has not previously been developed any further.

63

64 The prediction of the result of speckle interferometers using more than one simultaneous 65 illuminating beam requires careful understanding of the manipulation of the optical properties 66 of speckle, as well as analysis of the geometry of object and image. The standard notation 67 used to describe speckle shearing interferometer output [1,2], reduces the expression for the 68 interference of the optical wavefronts at the observation plane, to a cosine expression that 69 contains the addition of phase delays. However, this does not take into account the relative 70 spatial correlations of the speckle patterns scattered from different incident beams or scattered 71 from different areas of the object, separated by the lateral shear. Furthermore, for a dual beam system, the standard notation used for speckle shearing interferometry does not provide 72

indicators for which wavefronts or which illuminating beams interact, after the lateral shift ofthe images, or after the absolute value subtraction of the image patterns.

75

76 This paper considers in-depth the consequences of using dual beam illumination for 77 deformation analysis within a speckle shearing interferometer, extending previous discussions of this work [15]. The approach taken has been to modify the expression describing the 78 79 speckle interference pattern so that it is separated into several intensity terms, each one 80 labelled according to which illuminating wavefront contributes to it. These labels take into 81 account the polarisation state of each wavefront, in order to indicate which ones cause 82 interference and which others will just add together their intensities. A generalised notation 83 for the treatment of multi-wavefront speckle interferometers is presented in this work, and it 84 is introduced along with the analysis of the novel interferometer. Initial experimental results 85 are presented which support the development of the theoretical analysis.

86 2. THEORETICAL ANALYSIS OF DUAL ILLUMINATION

87

88 The speckle shearing interferometer used for this study was based on the Michelson design 89 [1], using two mutually coherent and symmetrically incident beams to illuminate the object, 90 as shown in Error! Unknown switch argument. The optical axis of the CCD TV camera 91 bisects the angle made by the two laser illumination beams. For the purposes of the 92 development of the analysis for the simultaneous dual illumination interferometer, it is 93 necessary to individually label wavefront components and consider their amplitude and phase 94 contributions. It should also be noted that as would be expected with a Michelson based 95 optical system, the theoretical development has many initial similarities with existing speckle 96 pattern interferometry theory, although modified and expanded to take into account the nature 97 of the simultaneous illumination.

98

99 Both illumination wavefronts in Figure 1 are marked as having the same state of polarisation 100 (in this case vertical polarisation, perpendicular to the plane of incidence). Each wavefront has 101 a different label according to the direction of illumination (Left or Right) and the presence or 102 not of lateral shearing (A or B) applied using the Michelson optics. The L or R label 103 corresponds to the illumination wavefront and the second letter indicates the mirror from 104 which the wavefront was reflected. If we denote the amplitudes (including complex phase) by 105 LA, LB, RA, RB, of the contributory wavefronts arriving to a point (x,y) on the image plane, 106 the intensity will be the result of the product described in equation 1:

107
$$I(x,y) = (LA + LB + RA + RB) \cdot (LA + LB + RA + RB) * [Error!$$

108 Unknown switch argument.]

109	with	$\mathbf{LA} = \ \mathbf{LA}\ \cdot \mathbf{e}^{\mathbf{i}\phi_{\mathbf{LA}}} [\mathbf{Error!} \mathbf{Unkn}]$	own switch argume	ent.]
110		$\mathbf{LA^{*}} = \left\ \mathbf{LA} \right\ \cdot \mathbf{e}^{-i\phi_{\mathbf{LA}}} \left[\mathbf{Error!} \right]$	Unknown	switch
111	argument.]			
112	and so on.			
113	That product of the amplitudes with	n their own conjugate, results in t	the light intensity at	t point

114 (x,y), and the result will present any possible constructive or destructive interference, 115 depending on the roughness of the surface responsible for the initial random phase ϕ .

116

In our notation the optical phase terms ϕ with the sub-index A (ϕ_{LA} and ϕ_{RA}) indicate that these phase terms corresponds to light scattered from point (x,y)_{OBJECT} on the object's surface, arriving to the point (x,y)_{CCD} of the image plane, after being reflected by mirror A. Optical phase terms with the sub-index B (ϕ_{LB} and ϕ_{RB}) indicate that these phase terms correspond to light scattered from point (x+ δ x,y)_{OBJECT} on the object's surface, arriving to the same point (x,y)_{CCD}, on the image plane by means of the tilt on mirror B.

123

124 The result of the product in equation 1 is shown in equation 4:

$$I(\mathbf{x}, \mathbf{y}) = \mathbf{L}\mathbf{A} \cdot \mathbf{L}\mathbf{A}^* + \mathbf{L}\mathbf{A} \cdot \mathbf{L}\mathbf{B}^* + \mathbf{L}\mathbf{A} \cdot \mathbf{R}\mathbf{A}^* + \mathbf{L}\mathbf{A} \cdot \mathbf{R}\mathbf{B}^* + \mathbf{L}\mathbf{B} \cdot \mathbf{L}\mathbf{A}^* + \mathbf{L}\mathbf{B} \cdot \mathbf{L}\mathbf{B}^* + \mathbf{L}\mathbf{B} \cdot \mathbf{R}\mathbf{A}^* + \mathbf{L}\mathbf{B} \cdot \mathbf{R}\mathbf{B}^* + \mathbf{R}\mathbf{A} \cdot \mathbf{L}\mathbf{A}^* + \mathbf{R}\mathbf{A} \cdot \mathbf{L}\mathbf{B}^* + \mathbf{R}\mathbf{A} \cdot \mathbf{R}\mathbf{A}^* + \mathbf{R}\mathbf{A} \cdot \mathbf{R}\mathbf{B}^* + \mathbf{R}\mathbf{B} \cdot \mathbf{L}\mathbf{A}^* + \mathbf{R}\mathbf{B} \cdot \mathbf{L}\mathbf{B}^* + \mathbf{R}\mathbf{B} \cdot \mathbf{R}\mathbf{A}^* + \mathbf{R}\mathbf{B} \cdot \mathbf{R}\mathbf{B}^*$$
 [Error! Unknown

126

switch argument.]

In this expression, the terms of the addition LALA*, LBLB*, RARA* and RBRB* represent
the intensity of the beams LA, LB, RA, RB as shown by equation 5:

129
$$\mathbf{LA} \cdot \mathbf{LA}^* = \|\mathbf{LA}\| \cdot \mathbf{e}^{\mathbf{i}\phi_{\mathbf{LA}}} \cdot \|\mathbf{LA}\| \cdot \mathbf{e}^{-\mathbf{i}\phi_{\mathbf{LA}}} = \|\mathbf{LA}\|^2 [\mathbf{Error!} \quad \mathbf{Unknown} \quad \mathbf{switch}$$

130 argument.]

131 and similarly for the rest of the terms.

132

133 Mirror B has a small tilt to provide the necessary lateral shearing between the images 134 reflected by mirror A and B. Thus, light incident on the same point of the CCD camera does 135 not come from the same point of the object. Hence, LA and RA will represent the light waves 136 from illumination wavefront L and R respectively, reflected by mirror A and incident on point 137 (x,y) of the CCD plane, coming from the correspondent point (x,y) of the object. 138 Analogously, LB and RB will be the light waves reflected by mirror B (laterally tilted) and 139 incident on point (x,y) of the CCD plane, but with the observation that this light comes from 140 point $(x+\delta x,y)$ on the object, due to the tilting of mirror B. This notation allows one to 141 manage the sixteen terms resulting from the interference of four coherent beams that takes 142 place at the image plane.

143

144 The rest of the terms can be calculated using the same notation for the amplitude and phase as 145 demonstrated in equation 6, and similarly for the rest of the terms.

146

$$\mathbf{RA} \cdot \mathbf{RB}^{\star} + \mathbf{RB} \cdot \mathbf{RA}^{\star} = \|\mathbf{RA}\| \cdot \|\mathbf{RB}\| \cdot \mathbf{e}^{i\phi_{RA}} \cdot \mathbf{e}^{-i\phi_{RB}} + \|\mathbf{RA}\| \cdot \|\mathbf{RB}\| \cdot \mathbf{e}^{-i\phi_{RA}} \cdot \mathbf{e}^{i\phi_{RB}}$$

$$= \|\mathbf{RA}\| \cdot \|\mathbf{RB}\| \cdot \left\{ \mathbf{e}^{i(\phi_{RA} - \phi_{RB})} + \mathbf{e}^{i(\phi_{RB} - \phi_{RA})} \right\} \qquad [Error!]$$

$$= \|\mathbf{RA}\| \cdot \|\mathbf{RB}\| \cdot 2 \cdot \cos\left(\phi_{RA} - \phi_{RB}\right)$$

Unknown switch argument.]

The light intensity registered at a point (x, y) on the CCD camera (image plane) will be the result of the addition of all the terms in equation 4. After manipulation of the mathematical terms, the result can be summarised by equation 7:

$$I(\mathbf{x}, \mathbf{y})_{CCD} = \|\mathbf{LA}\|^{2} + \|\mathbf{LB}\|^{2} + \|\mathbf{RA}\|^{2} + \|\mathbf{RB}\|^{2} + \|\mathbf{RA}\| \cdot \|\mathbf{RB}\| \cdot 2 \cdot \cos(\phi_{RA} - \phi_{RB}) + \|\mathbf{LA}\| \cdot \|\mathbf{LB}\| \cdot 2 \cdot \cos(\phi_{LA} - \phi_{LB}) + \|\mathbf{RA}\| \cdot \|\mathbf{LA}\| \cdot 2 \cdot \cos(\phi_{RA} - \phi_{LA}) + \|\mathbf{RA}\| \cdot \|\mathbf{LB}\| \cdot 2 \cdot \cos(\phi_{RA} - \phi_{LA}) + \|\mathbf{RA}\| \cdot \|\mathbf{LB}\| \cdot 2 \cdot \cos(\phi_{RA} - \phi_{LB}) + \|\mathbf{RB}\| \cdot \|\mathbf{LA}\| \cdot 2 \cdot \cos(\phi_{RB} - \phi_{LA}) + \|\mathbf{RB}\| \cdot \|\mathbf{LB}\| \cdot 2 \cdot \cos(\phi_{RB} - \phi_{LA}) + \|\mathbf{RB}\| \cdot \|\mathbf{LB}\| \cdot 2 \cdot \cos(\phi_{RB} - \phi_{LA}) + \|\mathbf{RB}\| \cdot \|\mathbf{LB}\| \cdot 2 \cdot \cos(\phi_{RB} - \phi_{LB}) + \|\mathbf{RB}\| \cdot \|\mathbf{LB}\| \cdot 2 \cdot \cos(\phi_{RB} - \phi_{LB}) + \|\mathbf{RB}\| \cdot \|\mathbf{LB}\| \cdot 2 \cdot \cos(\phi_{RB} - \phi_{LB}) + \|\mathbf{RB}\| \cdot \|\mathbf{LB}\| \cdot 2 \cdot \cos(\phi_{RB} - \phi_{LB}) + \|\mathbf{RB}\| \cdot \|\mathbf{LB}\| \cdot 2 \cdot \cos(\phi_{RB} - \phi_{LB}) + \|\mathbf{RB}\| \cdot \|\mathbf{LB}\| \cdot 2 \cdot \cos(\phi_{RB} - \phi_{LB}) + \|\mathbf{RB}\| \cdot \|\mathbf{LB}\| \cdot 2 \cdot \cos(\phi_{RB} - \phi_{LB}) + \|\mathbf{RB}\| \cdot \|\mathbf{LB}\| \cdot 2 \cdot \cos(\phi_{RB} - \phi_{LB}) + \|\mathbf{RB}\| \cdot \|\mathbf{LB}\| \cdot 2 \cdot \cos(\phi_{RB} - \phi_{LB}) + \|\mathbf{RB}\| \cdot \|\mathbf{LB}\| \cdot 2 \cdot \cos(\phi_{RB} - \phi_{LB}) + \|\mathbf{RB}\| \cdot \|\mathbf{LB}\| \cdot 2 \cdot \cos(\phi_{RB} - \phi_{LB}) + \|\mathbf{RB}\| \cdot \|\mathbf{LB}\| \cdot 2 \cdot \cos(\phi_{RB} - \phi_{LB}) + \|\mathbf{RB}\| \cdot \|\mathbf{RB}\| \cdot \|\mathbf{RB}\| \cdot \|\mathbf{RB}\| \cdot 2 \cdot \cos(\phi_{RB} - \phi_{LB}) + \|\mathbf{RB}\| \cdot \|\mathbf{RB}\| \cdot \|\mathbf{RB}\| \cdot \|\mathbf{RB}\| \cdot 2 \cdot \cos(\phi_{RB} - \phi_{LB}) + \|\mathbf{RB}\| \cdot \|\mathbf{RB}$$

152

argument.]

153 This equation represents the intensity of light at the arbitrary point (x,y) on image plane154 before any alteration or deformation of the object.

155

156 If the object undergoes a static deformation, the intensity pattern registered at the image plane 157 will change accordingly. These movements of the object introduce changes in the optical 158 paths of the four wavefronts (LA, LB, RA and RB) that combine to make the image, and the 159 final image plane speckle pattern will vary.

161 At this stage, it is assumed that the object deformation is smaller than the average speckle 162 grain size, thus preserving issues of speckle correlation. Deformations bigger than the average 163 size of the speckle grains would introduce a loss of correlation, making very difficult to obtain 164 correlation fringe patterns. In the notation presented here, this imposed condition on the 165 amount of deformation means that the amplitude of the wavefronts at point (x,y) will be the 166 same before and after the deformation of the object, as shown in equation 8:

167
$$\|\mathbf{LA}\|_{after} = \|\mathbf{LA}\|_{before}$$
 [Error! Unknown switch argument.]

Furthermore, due to the different angles of illumination onto the optically rough surface of the object, and the tilt introduced by mirror B, the intensity and phase of light arriving from mirrors A and B will be different. This implies that:

171
$$\|\mathbf{LA}\| \neq \|\mathbf{LB}\| \neq \|\mathbf{RA}\| \neq \|\mathbf{RB}\|$$
 [Error! Unknown switch

172 argument.]

173 $\phi_{RA} \neq \phi_{RB}$ [Error! Unknown switch argument.]

$$(\phi_{LA} - \phi_{RA})_{Before} \neq (\phi_{LA} - \phi_{RA})_{After}$$
 [Error! Unknown switch

175 argument.]

Whilst the overall amplitude terms do not change with the movement of the object (equation 8), the in-plane and out-of-plane deformations will introduce phase changes which modify the final speckle pattern. This is recognised when calculating the interference involving sixteen different terms associated with the non-deformed and the deformed state of the object. To a certain extent the complexity of this analysis has parallels to work previously completed concerning wedge and aperture based shearing interferometers [8].

183	If an arbitrary point (x_0, y_0, z_0) on the object's surface performs a displacement with co-
184	ordinates (u,v,w), the displacement associated to the laterally shifted object point $(x_0+\delta x,y_0,z_0)$
185	will be $(u+\delta u,v+\delta v,w+\delta w)$, as shown in Figure 2. Each component of the displacement will
186	introduce a change in the optical path, as indicated in Table Error! Unknown switch
187	argument.
188	
189	[insert figure 2 here]
190	[insert table 1 here]
191	
192	Hence the intensity registered at the same point $(x,y)_{CCD}$ on the image plane after the
193	deformation of the object will be as expressed by equation 12:
194	

$$\begin{split} & \mathbf{I}(\mathbf{x}, \mathbf{y})_{\text{CCD}}^{\text{Merr}} = \|\mathbf{LA}\|^2 + \|\mathbf{LB}\|^2 + \|\mathbf{RA}\|^2 + \|\mathbf{RB}\|^2 + \\ & \|\mathbf{RA}\| \cdot \|\mathbf{RB}\| \cdot 2 \cdot \text{Cos} \left\{ \begin{bmatrix} \phi_{\text{RA}} + \frac{2\pi}{\lambda} \left(-\mathbf{u} \cdot \text{Sin}\theta - \mathbf{w} \cdot (\mathbf{1} + \text{Cos}\theta) \right) \end{bmatrix} - \\ & \left[\phi_{\text{RB}} + \frac{2\pi}{\lambda} \left(-\left(\mathbf{u} + \delta \mathbf{u} \right) \cdot \text{Sin}\theta - \left(\mathbf{w} + \delta \mathbf{w} \right) \cdot (\mathbf{1} + \text{Cos}\theta) \right) \right] \right\} + \\ & \|\mathbf{LA}\| \cdot \|\mathbf{LB}\| \cdot 2 \cdot \text{Cos} \left\{ \begin{bmatrix} \phi_{\text{LA}} + \frac{2\pi}{\lambda} \left(\mathbf{u} \cdot \text{Sin}\theta - \mathbf{w} \cdot (\mathbf{1} + \text{Cos}\theta) \right) \end{bmatrix} - \\ & \left[\phi_{\text{LB}} + \frac{2\pi}{\lambda} \left((\mathbf{u} + \delta \mathbf{u}) \cdot \text{Sin}\theta - (\mathbf{w} + \delta \mathbf{w}) \cdot (\mathbf{1} + \text{Cos}\theta) \right) \right] \right\} + \\ & \|\mathbf{RA}\| \cdot \|\mathbf{LA}\| \cdot 2 \cdot \text{Cos} \left\{ \begin{bmatrix} \phi_{\text{RA}} + \frac{2\pi}{\lambda} \left(-\mathbf{u} \cdot \text{Sin}\theta - \mathbf{w} \cdot (\mathbf{1} + \text{Cos}\theta) \right) \end{bmatrix} - \\ & \left[\phi_{\text{LA}} + \frac{2\pi}{\lambda} \left(\mathbf{u} \cdot \text{Sin}\theta - \mathbf{w} \cdot (\mathbf{1} + \text{Cos}\theta) \right) \right] - \\ & \left[\phi_{\text{LA}} + \frac{2\pi}{\lambda} \left(\mathbf{u} \cdot \text{Sin}\theta - \mathbf{w} \cdot (\mathbf{1} + \text{Cos}\theta) \right) \right] - \\ & \left[\phi_{\text{LB}} + \frac{2\pi}{\lambda} \left((\mathbf{u} + \delta \mathbf{u}) \cdot \text{Sin}\theta - (\mathbf{w} + \delta \mathbf{w}) \cdot (\mathbf{1} + \text{Cos}\theta) \right) \right] + \\ & \|\mathbf{RA}\| \cdot \|\mathbf{LA}\| \cdot 2 \cdot \text{Cos} \left\{ \begin{bmatrix} \phi_{\text{RA}} + \frac{2\pi}{\lambda} \left(-\mathbf{u} \cdot \text{Sin}\theta - \mathbf{w} \cdot (\mathbf{1} + \text{Cos}\theta) \right) \right] - \\ & \left[\phi_{\text{LB}} + \frac{2\pi}{\lambda} \left((\mathbf{u} + \delta \mathbf{u}) \cdot \text{Sin}\theta - (\mathbf{w} + \delta \mathbf{w}) \cdot (\mathbf{1} + \text{Cos}\theta) \right) \right] \right\} + \\ & \|\mathbf{RB}\| \cdot \|\mathbf{LA}\| \cdot 2 \cdot \text{Cos} \left\{ \begin{bmatrix} \phi_{\text{RB}} + \frac{2\pi}{\lambda} \left(- \left(\mathbf{u} + \delta \mathbf{u} \right) \cdot \text{Sin}\theta - (\mathbf{w} + \delta \mathbf{w}) \cdot (\mathbf{1} + \text{Cos}\theta) \right) \right] - \\ & \left[\phi_{\text{LB}} + \frac{2\pi}{\lambda} \left(\mathbf{u} \cdot \text{Sin}\theta - \mathbf{w} \cdot (\mathbf{1} + \text{Cos}\theta) \right) \right] - \\ & \left[\phi_{\text{RB}} + \frac{2\pi}{\lambda} \left((\mathbf{u} \cdot \mathbf{Sin}\theta - \mathbf{w} \cdot (\mathbf{1} + \text{Cos}\theta) \right) \right] - \\ & \left[\phi_{\text{LB}} + \frac{2\pi}{\lambda} \left((\mathbf{u} + \delta \mathbf{u} \right) \cdot \text{Sin}\theta - (\mathbf{w} + \delta \mathbf{w}) \cdot (\mathbf{1} + \text{Cos}\theta) \right) \right] - \\ & \left[\mathbf{RB}\| \cdot \|\mathbf{LB}\| \cdot 2 \cdot \text{Cos} \left\{ \begin{bmatrix} \phi_{\text{RB}} + \frac{2\pi}{\lambda} \left((\mathbf{u} + \delta \mathbf{u} \right) \cdot \text{Sin}\theta - (\mathbf{w} + \delta \mathbf{w}) \cdot (\mathbf{1} + \text{Cos}\theta) \right) \right] - \\ & \left[\phi_{\text{LB}} + \frac{2\pi}{\lambda} \left((\mathbf{u} + \delta \mathbf{u} \right) \cdot \text{Sin}\theta - (\mathbf{w} + \delta \mathbf{w}) \cdot (\mathbf{1} + \text{Cos}\theta) \right) \right] - \\ & \left[\mathbf{RB}\| \cdot \|\mathbf{LB}\| \cdot 2 \cdot \text{Cos} \left\{ \begin{bmatrix} \phi_{\text{RB}} + \frac{2\pi}{\lambda} \left((\mathbf{u} + \delta \mathbf{u} \right) \cdot \text{Sin}\theta - (\mathbf{w} + \delta \mathbf{w}) \cdot (\mathbf{1} + \text{Cos}\theta) \right) \right] - \\ & \left[\phi_{\text{LB}} + \frac{2\pi}{\lambda} \left((\mathbf{u} + \delta \mathbf{u} \right) \cdot \text{Sin}\theta - (\mathbf{w} + \delta \mathbf{w}) \cdot (\mathbf{1} + \text{Cos}\theta) \right) \right] - \\ & \left[\phi_{\text{LB}} + \frac{2\pi}$$

195

[Error! Unknown switch argument.]

197 which may be simplified and rewritten as follows:

 198
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 199
 [Error! Unknown switch argument.]

200 In electronic speckle pattern interferometry, the intensities of the images before and after the deformation of the object are typically recorded by means of a solid state camera and then 201 202 subtracted in absolute terms, pixel by pixel. The resultant image would have dark speckle fringes at the places where $I^{before}(x,y)=I^{after}(x,y)$. For this to happen with the simultaneous 203 204 illumination, the six optical phase interference terms which appear in equation 13, must not 205 change the result of the cosine functions and would have to be equal to an even integer number $\pi.$ These interference terms may be rewritten as Δ_1 to Δ_6 , this transformation being 206 207 described by equations 14 to 19 respectively:

208
$$\Delta_1 = \frac{2\pi}{\lambda} \cdot \left(\frac{\partial u}{\partial x} \cdot \sin\theta + \frac{\partial w}{\partial x} \cdot (1 + \cos\theta)\right) \cdot \delta x \text{ [Error! Unknown switch]}$$

209 argument.]

210
$$\Delta_2 = \frac{2\pi}{\lambda} \cdot \left(-\frac{\partial u}{\partial x} \cdot \sin\theta + \frac{\partial w}{\partial x} \cdot (1 + \cos\theta) \right) \cdot \delta x [\text{Error! Unknown switch}]$$

211 argument.]

212
$$\Delta_3 = -\frac{4\pi}{\lambda} \cdot \mathbf{u} \cdot \operatorname{Sin\theta} [\text{Error! Unknown switch argument.}]$$

213
$$\Delta_4 = -\frac{4\pi}{\lambda} \cdot \mathbf{u} \cdot \operatorname{Sin}\theta - \frac{2\pi}{\lambda} \left[\frac{\partial \mathbf{u}}{\partial \mathbf{x}} \operatorname{Sin}\theta - \frac{\partial \mathbf{w}}{\partial \mathbf{x}} (1 + \operatorname{Cos}\theta) \right] \delta \mathbf{x} [\text{Error!} \quad \text{Unknown}$$

214 switch argument.]

215
$$\Delta_5 = -\frac{4\pi}{\lambda} \cdot \mathbf{u} \cdot \operatorname{Sin}\theta - \frac{2\pi}{\lambda} \left[\frac{\partial \mathbf{u}}{\partial \mathbf{x}} \operatorname{Sin}\theta + \frac{\partial \mathbf{w}}{\partial \mathbf{x}} (1 + \operatorname{Cos}\theta) \right] \delta \mathbf{x} [\text{Error!} \quad \text{Unknown}$$

216 switch argument.]

217
$$\Delta_6 = -\frac{4\pi}{\lambda} \cdot \mathbf{u} \cdot \operatorname{Sin}\theta - \frac{4\pi}{\lambda} \cdot \frac{\partial \mathbf{u}}{\partial \mathbf{x}} \cdot \operatorname{Sin}\theta \cdot \delta \mathbf{x} \text{ [Error! Unknown switch]}$$

218 argument.]

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219 where δx is lateral shear or shift introduced by mirror B, measured at the object plane.

220

To obtain a dark pixel (hence a dark correlation fringe) within the subtraction correlation image, the above interference terms must be all simultaneously equal to an even integer number of π . This can only be achieved if certain conditions are satisfied simultaneously:

224

225 *i*) $\Delta_3 = 2m\pi$, where m is the fringe order and can be any integer number. This 226 condition leads to equation 20:

227
$$2 \cdot u \cdot \sin\theta = m_1 \cdot \lambda$$
 [Error! Unknown switch argument.]

where m_1 can be any integer number. This is the equation of the zones of the object with equal u (x-axis) in-plane displacement, as seen in in-plane ESPI.

230

231 *ii*) $\Delta_6 = 2m\pi$, m any integer number. If condition *i*) is already satisfied, after some 232 calculation condition *ii*) leads to equation 21:

233
$$2 \cdot \left(\frac{\partial u}{\partial x}\right) \cdot \delta x \cdot \sin \theta = m_2 \cdot \lambda \text{ [Error! Unknown switch]}$$

argument.]

235

where m_2 can be any integer number. This is the equation that describes the parts of the object

237 with the same in-plane displacement derivative (strain), $\left(\frac{\partial u}{\partial x}\right)$.

239 *iii)* $\Delta_{1,} \Delta_{2,} \Delta_{4,}$ and $\Delta_{5} = 2m\pi$. These conditions are all equivalent if *i*) and *ii*) have 240 already been satisfied. After some calculation they can be summarised as 241 equation 22:

242
$$2 \cdot \left(\frac{\partial W}{\partial x}\right) \cdot \delta x \cdot (1 + \cos\theta) = m_3 \cdot \lambda \text{ [Error! Unknown switch]}$$

243 argument.]

where m₃ can be any integer number. This is the equation of the zones of the object with the same out-of-plane displacement derivative (slope), $\left(\frac{\partial W}{\partial x}\right)$.

246

After the digital image subtraction, dark correlation fringes will appear at the zones where the three previous conditions are satisfied simultaneously. The resultant pattern corresponds then to the *moiré* overlapping of three speckle correlation fringe patterns, these being:

- ESPI in-plane displacement fringe pattern.
- in-plane displacement derivative (strain) fringe pattern.
- out-of-plane displacement derivative (slope) fringe pattern.

This *moiré* pattern will appear as a complex mosaic of spots, resulting from the crossing of fringe patterns, as illustrated on **Error! Unknown switch argument.** The fact that a shearing interferometer results in a moiré related result is not new in itself, with authors having previously presented photographic based systems using Fourier analysis [16,17], to firstly examine out-of-plane terms but also in-plane terms. However, the issues here are to

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- 258 explore optical configurations which result directly in real-time in-plane derivative systems,
- which lead to extraction of optical phase terms and the potential for quantitative evaluation.

260 [insert figure 3 about here]

261 **3. ANALYSIS OF OPTICAL PHASE EXTRACTION**

262 Optical phase information extraction is an important issue, because it provides the route 263 forward for eventual generation of quantified data from the instrumentation. By introducing a 264 controlled optical phase shift in one of the illuminating beams of the interferometer, it is 265 possible to perform the phase stepping [18] of the resultant speckle pattern and fringe pattern. 266 If a phase shift (ϕ_{piezo}) is introduced in the optical path of for instance, illumination wavefront 267 L (for this purpose both wavefronts are equivalent), the effect of this phase shift on the 268 interferometer can be calculated analytically by adding the optical phase shift term to all the 269 phases of the wavefronts originated by this beam. With respect to equations 12 and 13, ϕ_{LA} 270 and ϕ_{LB} will change to $\phi_{LA}+\phi_{piezo}$ and $\phi_{LB}+\phi_{piezo}$ respectively. The resultant speckle pattern can 271 then be expressed by equation 23:

272

273

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274 [Error! Unknown switch argument.]

Following a similar process of analysis as previously discussed, to obtain a dark pixel (and consequently a dark fringe) after the image correlation subtraction process ($I^{before}(x,y)$ - $I^{after+piezo}(x,y)$), all the six interference terms Δ_i must satisfy several conditions simultaneously:

278 *i*)
$$\Delta_3^{\text{Piezo}} = 2m\pi$$
, where $\Delta_3^{\text{Piezo}} = -\frac{4\pi}{\lambda} \cdot \mathbf{u} \cdot \text{Sin}\theta - \phi_{\text{PIEZO}}$,

and m can be any integer number.

280 This is equivalent to the condition given by equation [Error! Unknown switch argument.:

281
$$2 \cdot \mathbf{u} \cdot \operatorname{Sin}\theta + \frac{\phi_{\text{piezo}}}{2\pi} \cdot \lambda = \mathbf{m}_1 \cdot \lambda [\text{Error!} \quad \text{Unknown} \quad \text{switch}$$

argument.]

where m_1' can be any integer number, i.e. the fringe order. As in the case without the phase shifting, this is the equation of the zones of the object with equal u (x-axis) in-plane displacement, similar to in-plane ESPI with the phase shift introduced by ϕ_{piezo} .

286

287 *ii)*
$$\Delta_6^{\text{Piezo}} = 2m\pi$$
, where $\Delta_6^{\text{Piezo}} = -\frac{4\pi}{\lambda} \cdot \mathbf{u} \cdot \text{Sin}\theta - \phi_{\text{PIEZO}} - \frac{4\pi}{\lambda} \cdot \frac{\partial \mathbf{u}}{\partial \mathbf{x}} \cdot \text{Sin}\theta \cdot \delta \mathbf{x}$, and m

is any integer number.

289

If the condition *i*) has already been satisfied, the first two terms in Δ_6^{Piezo} are equal to Δ_3^{Piezo} and condition *ii*) is fulfilled without the effect of the phase stepping. In this case the result is that the in-plane strain component of the moiré pattern does not phase step.

293

294 *iii)*
$$\Delta_1^{\text{Piezo}}$$
, Δ_2^{Piezo} , Δ_4^{Piezo} and $\Delta_5^{\text{Piezo}} = 2m\pi$.

As in the case before introducing the phase stepping, these conditions are all equivalent if *i*) and *ii*) have already been satisfied and after some calculation they all result in the condition given by equation 25:

298
$$2 \cdot \left(\frac{\partial W}{\partial x}\right) \cdot \delta x \cdot (1 + \cos\theta) = m_3 \cdot \lambda \text{ [Error! Unknown switch]}$$

argument.]

300 indicating that the OOP component of the displacement derivative does not phase step either.

301 Using similar calculations, it can be demonstrated that the introduction of phase stepping on 302 one of the mirrors on the shearing head, causes the OOP displacement derivative to phase 303 step, while the In-Plane displacement and In-Plane displacement derivative will not phase 304 step.

305

In summary, the introduction of optical phase stepping in one of the illuminating wavefronts causes the In-Plane displacement pattern to phase step, while the other two components of the moiré pattern (OOP displacement derivative and IP displacement derivative) do not phase step. This property can be used to extract the optical phase information and hence quantitative information, through phase stepping and subsequent phase unwrapping processes.

311 4. EXPERIMENTAL RESULTS

The purpose of the experimentation has been to demonstrate that the simultaneous dual illumination associated with the speckle shearing interferometer, actually produces the predicted moiré patterns predicted by the theory, and secondly that optical phase stepping techniques can be applied to the interferometer.

316

317 The test object chosen for the experimental verification was a split cylinder test or Brazilian 318 Disk, under compressive loading. This consists of a flat disk compressed along its equator 319 and has traditionally been used with techniques such as photoelasticity or moiré 320 interferometry [19] to examine loading and deformation characteristics, although more recent 321 work has been demonstrated using holographic interferometry [20]. A 75mm diameter (6mm thick) Brazilian disk was manufactured from an AralditeTM sheet, and was loaded using a 322 323 compressive test rig linked to a hydraulic DH-Budenburg dead-weight tester. This provided forces up to 5,000N, with a precision of ± 1 N. 324

325

The Michelson based speckle shearing interferometer used a 50mWatt Nd-YAG laser (wavelength λ =532nm), with a coherence length in excess of 10m. A Pulnix TM-9701 CCD camera was used at the image plane linked through to a MuTech Corporation image processing board. Optical phase-stepping was introduced into the interferometer by means of a piezoelectric actuator supplied Piezo-Systems Jena.

Two sets of validating experiments were initially completed producing different types of results. The first approach was to apply large loads to the disk causing predominant motion out-of-plane with limited in-plane motion. The second approach relied on limited loading of the disk, which resulted in a dominant in-plane motion with limited out-of-plane motion.

336

337 Figures 4a to 4d provide examples of the initial experimental analysis of the large loading 338 experiments. The simultaneous dual illumination interferometer produces a speckle based 339 subtraction correlation pattern, which is the result of the inter-crossing of the in-plane 340 displacement rotation signature and the OOP displacement derivative component. From the 341 three expected sub-patterns, the pure in-plane displacement derivative component is missing, 342 due to the fact that the Brazilian Disk was identified as rotating within the compressive testing 343 rig. Also, the only component of the moiré pattern that is affected by the phase stepping is the 344 in-plane displacement component, as predicted in the theoretical analysis.

345

346 The second experimental approach produced sets of results showing the disk without rotation 347 and evidence of in-plane displacement derivative data. Figures 5a to 5d provide a sequence of 348 images, which include photoelastic analysis of the disk, as well as interferometric analysis of 349 the disk.. The photoelasticity results were obtained by means of a reflection polariscope (030series by Measurements Group Inc., North Carolina, USA) consisting of two 350 351 polariser/quarter-wave assemblies mechanically coupled for synchronous rotation. This 352 optical set-up produces an isochromatic pattern that highlights the distribution of pure in-353 plane strain, and when overlapped with the ESPI in plane match the moiré pattern obtained 354 with the new interferometer Figures Error! Unknown switch argument. d).

The results provide qualitative evidence for the theoretical predictions of the speckle shearing
interferometer with two mutually coherent symmetrically incident beams.

360 5. CONCLUSIONS

361

In this work the authors present a refinement on conventional Michelson-shearing interferometers, where the use of two simultaneously coherent beams contribute to highlight in-plane strain. In order to predict the final fringe pattern it was necessary to introduce a novel notation. This notation makes it possible to predict the interference of multiple illumination beams in Michelson-shearing interferometers. The calculations using this notation show the prediction for the fringe patterns for a novel interferometer in both stationary state and in the presence of phase-stepping introduced in one of the illuminating beams.

369

The experiments performed in a Brazilian disk sample show the performance of the novel interferometer and confirm the theoretical predictions for the fringe patterns. The results highlight the pure in-plane strain in the sample studied, in one single measurement with the interferometer. This is an advantage as now a single experiment produces a measurement, instead of having to perform alternative measurements with in-plane ESPI and conventional ESPSI, as the combination of results from two interferometers always produces an increase on the error of the measurement.

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- 380

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450

Mirror	Component of the	Optical Path Change	Optical Path Change
	Displacement	in Beam L	in Beam R
A	u (X axis)	$+ u \cdot Sin\theta$	- u·Sinθ
Α	v (Y axis)	nil	nil
Α	w (Z axis)	- w·(1+Cos θ)	- w·(1+Cos θ)
В	u+ðu (X axis)	+ (u+δu)·Sinθ	- (u+δu)·Sinθ
В	v+ðv (Y axis)	nil	nil
В	w+δw (Z axis)	- $(w+\delta w) \cdot (1+\cos\theta)$	- $(w+\delta w) \cdot (1+\cos\theta)$

454	Table Error! Unknown switch argument. Optical path changes in double beam
455	interferometer.
456	

456 Figure Captions

458	Figure Error! Unknown switch argument.Speckle shearing interferometry with two
459	mutually coherent symmetrically incident beams.
460	
461	Figure Error! Unknown switch argument. Different position and displacement
462	vectors in ESPSI with two mutually
463	coherent symmetrically incident beams.
464	
465	Figure Error! Unknown switch argument. Moiré overlapping of two speckle
466	patterns.
467	
468	Figures Error! Unknown switch argument.(a-d). Same sample subjected to the same force,
469	a) ESPI in plane result, b) OOP strain, c) fringe pattern with the new
470	interferometer, d) results of phase stepping with new interferometer. Note
471	how patterns a) and b) overlap to produce the result with the new
472	interferometer.
473	
474	Figures Error! Unknown switch argument.(a-d). The overlapping of the results of
475	photoelasticity (in plane strain), a) and b) and ESPI In Plane, c) is analogous

476 to the fringe pattern obtained independently with the two beam477 interferometer, d).



















