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# In-Situ Observation of the pH Gradient near the Gas Diffusion Electrode of CO<sub>2</sub> Reduction in Alkaline Electrolyte

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# **Abstract**

The local pH variation near the surface of CO<sub>2</sub> reduction electrodes is important but hard to study. We develop a continuous-flow Raman electrochemical cell that enables the first experimental study of the local pH near a CO<sub>2</sub> reduction gas diffusion electrode under reaction conditions. At zero current, CO<sub>2</sub> chemically reacts with the 1 M KOH electrolyte at the interface to form HCO<sub>3</sub><sup>-</sup> and CO<sub>3</sub><sup>2-</sup>. The local pH on the cathode surface is 7.2 and the HCO<sub>3</sub><sup>-</sup> concentration profile extends a distance of 120 µm into the electrolyte, which verifies that the nominal overpotential reduction from using alkaline electrolyte originates from the Nernst potential of the pH gradient layer at the cathode/electrolyte interface. The CO<sub>2</sub>-OH<sup>-</sup> neutralization reaction and the pH gradient layer still persist, albeit to a reduced extent, at CO<sub>2</sub> reduction current densities up to 150 mA/cm<sup>2</sup>.

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# Introduction

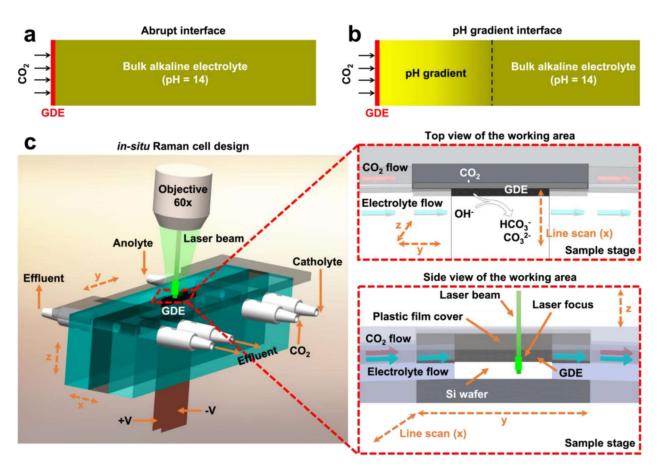
CO<sub>2</sub> electroreduction reactions are promising for producing fuels and chemicals from cheap and abundant CO<sub>2</sub> resources using renewable electricity. Electrochemical conversion of CO<sub>2</sub> to CO, <sup>1-5</sup> formic acid, <sup>6-7</sup> hydrocarbons<sup>8-11</sup> or alcohols, <sup>12-14</sup> allows storing renewable energy in fuels, and provides useful chemicals. Conventional three-electrode cells, <sup>15</sup> where the reaction is performed using CO<sub>2</sub> dissolved in the electrolyte, are well-defined for studying electrocatalytic properties of materials. However, the relatively low concentration and sluggish diffusion of CO<sub>2</sub> in the solution phase make it difficult to reach applicationrelevant high current densities (> 0.1 or even 1 A/cm<sup>2</sup>). Employing gas diffusion electrodes (GDEs)<sup>16</sup> in flow electrolyzers can greatly enhance the mass transport of CO<sub>2</sub> by forming a gas-liquid-solid three-phase interface and hence substantially increase the diffusion-limited current density. 13, 17 While common CO<sub>2</sub> electrolytic cells often use near-neutral aqueous solutions such as KHCO<sub>3</sub> as the electrolyte, <sup>18-20</sup> adopting alkaline electrolyte solutions are found to significantly lower the overpotential for CO<sub>2</sub> reduction. 1, 3-5, 11, 14 Current densities greater than 1 A/cm<sup>2</sup> have also been achieved with alkaline electrolytes.<sup>21-22</sup> Despite the improved performance, there is controversy regarding its origin. Some studies attribute this to a simple pH effect assuming the catalyst works in the same alkaline environment as the bulk electrolyte (Figure 1a). 5, 22 However, this hypothesis neglects the chemical reaction between CO<sub>2</sub> and OH<sup>-</sup> and cannot justify the same magnitude of overpotential reduction experimentally observed for the CO<sub>2</sub>-to-CO conversion (2-electron 2-proton process) catalyzed by Au and the CO<sub>2</sub>-to-formate conversion (2-electron 1-proton process) catalyzed by SnO<sub>2</sub> (both ~60 mV/pH). We therefore postulated that the local environment at the cathode surface is near neutral because of the CO<sub>2</sub>-OH<sup>-</sup> neutralization reaction (Figure 1b), and the pH gradient across the cathode and the bulk alkaline electrolyte creates a Nernst potential. This can readily explain the voltage improvement observed for all our alkaline CO<sub>2</sub> electrolyzers using different cathode catalysts for different products and is supported by the observation that the electrolyte is indeed gradually neutralized under continuous working conditions.<sup>1</sup>

To further consolidate this postulation, it is necessary to probe the local pH near the CO<sub>2</sub>-reduction GDE in a flow electrolyzer under reaction conditions, which has not been experimentally demonstrated to date. In addition to mathematic models and simulations, <sup>11,23</sup> possible experimental methods to study the local pH include pH-sensitive microelectrodes, rotating disk electrode (RDE) measurements, and spectroscopic tools. Microelectrodes need to be positioned near the catalytic electrode surface, and thus inevitably invade the local environment and disrupt the species fluxes there. <sup>24-27</sup> RDE experiments could circumvent this issue and measure the electrode surface pH during hydrogen oxidation/evolution reactions, <sup>28-29</sup> but whether this method would be applicable to CO<sub>2</sub> reduction reactions is not clear. Non-destructive Raman or IR spectroscopy could directly probe pH-sensitive electrolyte species such as carbonate (CO<sub>3</sub><sup>2-</sup>) and

bicarbonate (HCO<sub>3</sub><sup>-</sup>) at the electrode/electrolyte interface, but almost all these studies are conducted on gas-impermeable electrodes, some of which require the catalyst to be coated on a prism and hence are not even compatible with the GDE and flow cell configuration.<sup>30-33</sup>

#### **Results and Discussion**

Herein, we report the design of an alkaline CO<sub>2</sub> electrolyzer that allows *in-situ* Raman microscopy to be performed under continuous flow and reaction conditions to unveil the pH variation from the cathodic GDE surface to the electrolyte bulk. Micro-area Raman spectra are recorded to analyze the concentrations of HCO<sub>3</sub><sup>-</sup> and CO<sub>3</sub><sup>2-</sup> as functions of the distance from the electrode surface into the electrolyte bulk, which are extrapolated to the cathode surface assuming steady-state concentrations and acid-base equilibria. The pH values are then derived from the concentrations and equilibrium constants. With 1 M KOH as the electrolyte, we find that under open-circuit conditions the local environment at the cathode surface is near neutral and the pH increases to > 11 over a distance of 120 μm into the electrolyte, which is attributed to the CO<sub>2</sub>-OH<sup>-</sup> neutralization reaction. Applying a reduction current raises the pH near the cathode surface and narrows the pH gradient layer, largely due to the generation of OH<sup>-</sup> from the electrochemical CO<sub>2</sub> reduction reaction. However, the generated OH<sup>-</sup> at current densities up to 150 mA/cm<sup>2</sup> can still not balance the consumption from the chemical reaction with CO<sub>2</sub>. These results confirm that the overpotential reduction of alkaline CO<sub>2</sub> electrolyzers compared to neutral ones originates from the pH gradient at the cathode/electrolyte interface.

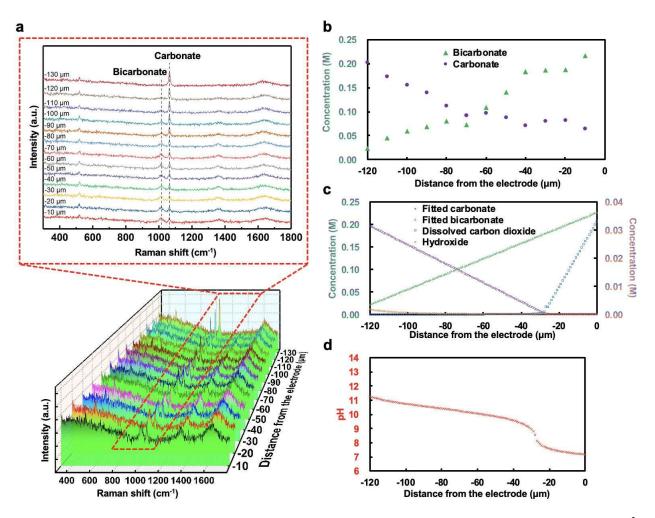


**Figure 1.** Designed flow cell for performing *in-situ* Raman measurements under continuous-flow  $CO_2$  reduction conditions to distinguish between (a) an abrupt interface and (b) a gradient interface between the cathode and electrolyte. (c) Cell design with top and side views of the cathode area.

We modified our previously developed flow electrolyzer<sup>1, 18</sup> to allow for examination using a confocal Raman microscope under reaction conditions (Figure 1c). In this configuration, the micron-size laser beam is parallel to the GDE surface which separates the CO<sub>2</sub> gas and liquid electrolyte. The distance from the laser beam to the electrode surface is controlled by the mechanical sample stage for line scan measurements (Figure 1c top view). We chose HCO<sub>3</sub><sup>-</sup> and CO<sub>3</sub><sup>2-</sup> as pH probes because: i) they are the products of the CO<sub>2</sub>-OH<sup>-</sup> neutralization reaction, which avoids any interference from incorporating additional pH-sensitive species, ii) they have distinguishable Raman features and can be independently quantified using calibration curves (Figure S1), and iii) the acid-based equilibrium between them can be used to derive the pH.

We first studied the system at open circuit with 1 M KOH electrolyte flowing at 0.5 mL/min and CO<sub>2</sub> flowing at 20 sccm. This zero-current scenario corresponds to situations when the cathode potential is more positive than the onset potential for CO<sub>2</sub> reduction. As shown in Figure 2a, HCO<sub>3</sub><sup>-</sup> and CO<sub>3</sub><sup>2-</sup> peaks are recorded at 1012 cm<sup>-1</sup> and 1064 cm<sup>-1</sup>, respectively, when the laser beam is positioned 10 μm away from the

cathode into the electrolyte ( $x = -10 \, \mu m$ , where x denotes the distance from the cathode surface and the negative sign indicates the direction from the cathode to the electrolyte). The existence of  $HCO_3^-$  and  $CO_3^{2-}$  unambiguously proves that  $CO_2$  reacts with the alkaline electrolyte, at least when there is no net  $CO_2$  electroreduction. As the laser beam is moved further away from the GDE surface into the electrolyte, the detected  $HCO_3^-$  concentration decreased from 0.22 M at  $x = -10 \, \mu m$  to 0.024 M at  $x = -120 \, \mu m$ , and the  $CO_3^{2-}$  concentration increased from 0.065 M to 0.20 M (Figure 2b). These trends indicate that the  $HCO_3^-$  originates from the  $CO_2$ -OH $^-$  neutralization at the cathode/electrolyte interface and diffuses into the KOH electrolyte where it is further deprotonated to form  $CO_3^{2-}$ .



**Figure 2.** (a) Raman spectra recorded at various distances from GDE surface. Current density: 0 mA/cm<sup>2</sup>. Electrolyte: 1 M KOH. (b) HCO<sub>3</sub><sup>-</sup> and CO<sub>3</sub><sup>2-</sup> concentrations derived from the spectra in (a). (c) Fitted concentrations of HCO<sub>3</sub><sup>-</sup>, CO<sub>3</sub><sup>2-</sup>, CO<sub>2</sub> (aq) and OH<sup>-</sup> and (d) pH profile with respect to distance from GDE surface.

Note that the trends of concentration change for both  $HCO_3^-$  and  $CO_3^{2-}$  are less well-defined in the region of  $-40 \mu m < x < 0 \mu m$  (Figure 2b). We believe this is due to the poor spatial resolution of our Raman measurements in this region, which is much worse than the optimal micron level because: i) the laser beam is focused into liquid instead of on a substrate, ii) the electrolyte is flowing, and iii) there is likely interference from the nearby electrode. Therefore, the  $HCO_3^-$  and  $CO_3^{2-}$  concentrations directly derived from the Raman spectra need to be corrected. We consider that in our system the region of interest can be treated as a quasi-one-dimensional channel (Figure 1b). Namely, the concentrations of the species of interest are dependent on x but are uniform along the y and z directions.<sup>28, 34</sup> The concentration of  $HCO_3^-$  at any given position x is influenced by both acid-base reactions and diffusion:

$$\frac{\partial c_1}{\partial t} = D_1 \frac{\partial^2 c_1}{\partial x^2} + k_{1f} c_3 c_4 - k_{1r} c_1 - k_{2f} c_1 c_3 + k_{2r} c_2$$
 (1)

where  $c_1$ ,  $c_2$ ,  $c_3$  and  $c_4$  are concentrations of  $HCO_3^-$ ,  $CO_3^{2-}$ ,  $OH^-$  and  $CO_2$  (aq), respectively,  $D_1$  is the diffusion coefficient of  $HCO_3^-$ , and  $k_{1f}$ ,  $k_{1r}$ ,  $k_{2f}$  and  $k_{2r}$  are the forward and reverse reaction rate constants of the following two reactions:

$$CO_2(aq) + OH^- \rightleftharpoons HCO_3^-$$
 (I)

$$HCO_3^- + OH^- \rightleftharpoons CO_3^{2-} + H_2O$$
 (II)

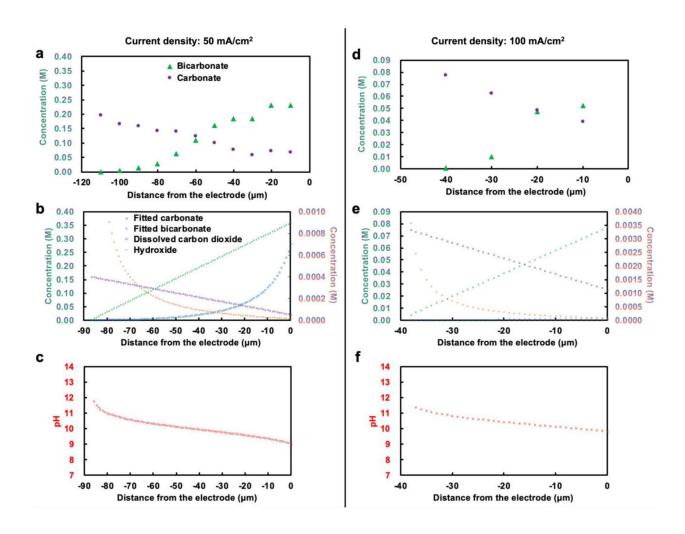
We assume Reactions (I) and (II) are at equilibrium, which means  $k_{1f}c_3c_4 = k_{1r}c_1$  and  $k_{2f}c_1c_3 = k_{2r}c_2$ . In steady state, the concentration of  $HCO_3^-$  does not change over time, i.e.  $\frac{\partial c_1}{\partial t} = 0$ . Equation (1) can therefore be simplified to  $\frac{\partial^2 c_1}{\partial x^2} = 0$ , which suggests the concentration of HCO<sub>3</sub><sup>-</sup> should be linear with respect to x. The same analysis applies to  $\mathrm{CO_3}^{2^-}$  and  $\mathrm{CO_2}$  (aq). Therefore, we used the  $\mathrm{HCO_3}^-$  and  $\mathrm{CO_3}^{2^-}$ concentration data measured in the region of  $-120 \,\mu\mathrm{m} \le x \le -40 \,\mu\mathrm{m}$  to fit linear functions of x, because in this region they are reasonably linear with respect to x and the detection is not disrupted by the electrode. As shown in Figure 2c, in the region from  $x = -120 \mu m$  to  $x = -26 \mu m$ ,  $HCO_3^-$  and  $CO_3^{2-}$  are dominant species, and the HCO<sub>3</sub><sup>-</sup> concentration increases linearly with x from 0.022 M to 0.18 M while the CO<sub>3</sub><sup>2-</sup> concentration decreases linearly with x from 0.20 M to 0.0012 M. In the region of  $-26 \mu m \le x \le 0 \mu m$ , the concentration of CO<sub>3</sub><sup>2-</sup> approaches zero and the dominant species are HCO<sub>3</sub><sup>-</sup> and CO<sub>2</sub> (aq). The HCO<sub>3</sub><sup>-</sup> concentration increases from 0.18 M at  $x = -26 \mu m$  to 0.23 M at  $x = 0 \mu m$ , and the CO<sub>2</sub> (aq) concentration increases from 0.0028 M at  $x = -26 \mu m$  to its saturated level at  $x = 0 \mu m$ , i.e. 0.033 M.<sup>35</sup> As minor species, the concentrations of CO<sub>2</sub> (aq) in the -120  $\mu$ m  $\leq x \leq$  -26  $\mu$ m region, CO<sub>3</sub><sup>2-</sup> in the -26  $\mu$ m  $\leq x \leq$  0  $\mu$ m region, and OH<sup>-</sup> in the entire region can be calculated from the acid-base equilibria (Figure 2c). More detailed analysis and fitting results are available in the Supporting Information. As shown in Figure 2d, the pH profile for the pH gradient region can be divided into two regimes, one governed by the CO<sub>2</sub>/HCO<sub>3</sub><sup>-</sup> buffer pair and the other by  $HCO_3^{-}/CO_3^{2-}$ . At  $x = 0 \mu m$ , i.e. the cathode/electrolyte interface, the pH is 7.2,

suggesting that the KOH electrolyte is almost completely neutralized by CO<sub>2</sub>. The pH increases to > 11 at  $x = -120 \mu m$ , starting to approach that of the bulk electrolyte.

The foundation of our analysis is the assumption of acid-base equilibrium, which we made on the basis that the two neutralization reactions, i.e. Reactions (I) and (II), are fast with  $k_{1f} = 5.93 \times 10^3 \text{ M}^{-1} \text{ s}^{-1}$  and  $k_{2f} = 1$ × 10<sup>8</sup> M<sup>-1</sup> s<sup>-1</sup>. To further confirm the validity of this assumption, we carried out two sets of experiments. We noticed that the total concentration of negative charges from HCO<sub>3</sub><sup>-</sup> and CO<sub>3</sub><sup>2-</sup> in the scanned region is approximately 0.4 M (Figure S2), significantly below the concentration of the starting 1 M KOH electrolyte. This raised a concern whether there is still a high concentration of OH<sup>-</sup> in this region. If so, Reactions (I) and (II) are not at equilibrium, which would violate our assumption. To examine this issue, we replaced the 1 M KOH electrolyte with a N(CH<sub>3</sub>)<sub>4</sub>OH electrolyte of the same concentration so that the cation concentration can be quantified by Raman spectroscopy (Figure S3 and S4). Under identical operating conditions, the N(CH<sub>3</sub>)<sub>4</sub><sup>+</sup> concentration over  $-110 \, \mu m \le x \le -10 \, \mu m$  is found to be approximately 0.4 M (Figure S5), in good agreement with the total charge concentration of HCO<sub>3</sub><sup>-</sup> and CO<sub>3</sub><sup>2-</sup>. Since charge neutrality always prevails, this result suggests that OH is indeed a minor species in the pH gradient region and the acid-base equilibria hold. The electrolyte concentration in this region is significantly lower than that of the bulk because  $OH^-$  is almost fully consumed by  $CO_2$  and the formed  $HCO_3^-$  and  $CO_3^{2-}$  diffuse. As evidence, we found that the N(CH<sub>3</sub>)<sub>4</sub><sup>+</sup> concentration is around 1 M when CO<sub>2</sub> is replaced with Ar (Figure S6). In another experiment, we varied the flow rates of CO<sub>2</sub> and KOH electrolyte independently. We first changed the CO<sub>2</sub> gas flow rate between 5 sccm and 25 sccm at a fixed electrolyte flow rate of 0.5 mL/min. The result shows that at higher gas flow rates, more CO<sub>2</sub> reacts with the electrolyte and the pH gradient region is wider. At 5 sccm,  $HCO_3^-$  is detectable till  $x = -70 \mu m$ ; when the  $CO_2$  flow rate is increased to 25 sccm,  $HCO_3^-$  penetrates to  $x = -130 \mu m$  (Figure S7). We also changed the electrolyte flow rate between 0.6 mL/min and 0.1 mL/min at a fixed CO<sub>2</sub> flow rate of 20 sccm. The pH gradient region becomes wider at slower electrolyte flow rates (Figure S8). The responses of the HCO<sub>3</sub><sup>-</sup> and CO<sub>3</sub><sup>2-</sup> concentration profiles to the gas and electrolyte flow rates again verify that our system is not limited by the kinetics of the acid-base reactions.

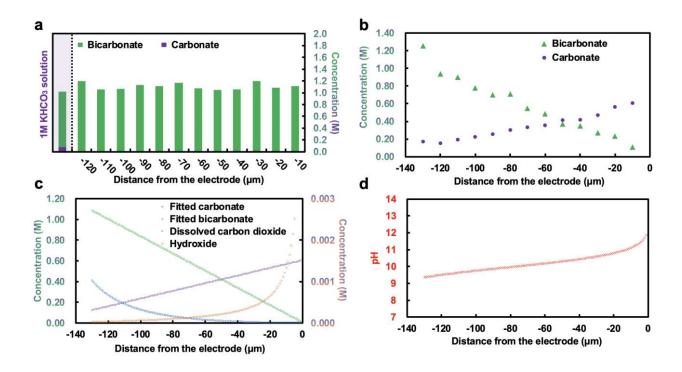
We then operated the electrochemical cell at constant-current CO<sub>2</sub> reduction conditions and performed *insitu* Raman measurements to study the pH changes near the GDE surface. In the current density range studied, the catalyst, cobalt phthalocyanine molecules supported on carbon nanotubes (CoPc/CNT), converts CO<sub>2</sub> to CO with high selectivity (Figure S9).<sup>1-2</sup> The conversion of CO<sub>2</sub> to CO consumes protons likely from H<sub>2</sub>O and thus generates OH<sup>-</sup>, which can counter the effect of CO<sub>2</sub>-OH<sup>-</sup> neutralization on the local pH. At the current density of 50 mA/cm<sup>2</sup> (Figure S10), the HCO<sub>3</sub><sup>-</sup> concentration near the cathode

surface (x =  $-10 \, \mu m$ ) is measured to be 0.23 M, which decreases to 0.029 M at x =  $-80 \, \mu m$ , and the CO<sub>3</sub><sup>2-</sup> concentration increases from 0.07 M at x =  $-10 \, \mu m$  to 0.14 M at x =  $-80 \, \mu m$  (Figure 3a and S11). The region where HCO<sub>3</sub><sup>-</sup> is detectable is 40  $\, \mu m$  narrower at 50 mA/cm<sup>2</sup> than that in the open-circuit scenario (Figure 2b). Fitting the experimentally measured HCO<sub>3</sub><sup>-</sup> and CO<sub>3</sub><sup>2-</sup> concentrations as linear functions of x gives smooth concentration profiles (Figure 3b), from which the pH profile can be derived. As shown in Figure 3c, the local pH at the electrode/electrolyte interface is 9.05, much higher than that in the open-circuit scenario (Figure 2d), and the HCO<sub>3</sub><sup>-</sup> region is about 86  $\, \mu m$ . When the current density is increased to 100 mA/cm<sup>2</sup> (Figure S12), the HCO<sub>3</sub><sup>-</sup> region further shrinks to 37  $\, \mu m$  (Figure 3d, e and S13) and the cathode surface pH is determined to be 9.8 (Figure 3f). When the current density reaches 150 mA/cm<sup>2</sup> (Figure S14), only CO<sub>3</sub><sup>2-</sup> can be observed at x =  $-10 \, \mu m$  (Figure S15), indicating a cathode surface pH higher than 12. These findings confirm that the electrochemical CO<sub>2</sub> reduction reaction indeed produces OH<sup>-</sup> at the cathode surface; however, the produced OH<sup>-</sup> cannot fully offset the OH<sup>-</sup> consumed by the chemical reaction with CO<sub>2</sub> even at a high current density of 150 mA/cm<sup>2</sup>. In fact, as long as the one-pass CO<sub>2</sub> conversion is not 100%, the unreacted CO<sub>2</sub> will react with KOH at the interface.



**Figure 3.** Measured HCO<sub>3</sub><sup>-</sup> and CO<sub>3</sub><sup>2-</sup> concentrations with respect to distance from GDE surface at current densities of (a) 50 mA/cm<sup>2</sup> and (d) 100 mA/cm<sup>2</sup>. Electrolyte: 1 M KOH. (b) and (e): Fitted concentrations of HCO<sub>3</sub><sup>-</sup>, CO<sub>3</sub><sup>2-</sup>, CO<sub>2</sub> (aq) and OH<sup>-</sup> corresponding to (a) and (d), respectively. (c) and (f): pH profiles derived from (b) and (e), respectively.

The acid-base reaction between  $CO_2$  and electrolyte occurs even when a 1 M KHCO<sub>3</sub> aqueous solution is used as the electrolyte. As shown in Figure 4a, both  $HCO_3^-$  and  $CO_3^{2^-}$  are detected by Raman spectroscopy in the bulk of the 1 M KHCO<sub>3</sub> electrolyte (purple highlight), whereas no  $CO_3^{2^-}$  signal is found near the cathode surface in the region of  $-120 \, \mu m \le x \le -10 \, \mu m$ . This is strong evidence that the  $CO_2$  gas reacts with the  $CO_3^{2^-}$  in the electrolyte to form  $HCO_3^-$ . When the electrochemical  $CO_2$  reduction reaction proceeds at 50 mA/cm<sup>2</sup> (Figure S16), both  $HCO_3^-$  and  $CO_3^{2^-}$  are present in the region of  $-130 \, \mu m \le x \le -10 \, \mu m$ . The  $CO_3^{2^-}$  concentration drops from 0.61 M at  $x = -10 \, \mu m$  to 0.18 M at  $x = -130 \, \mu m$ , while the  $HCO_3^-$  concentration increases from 0.11 M at  $x = -10 \, \mu m$  to 1.26 M at  $x = -130 \, \mu m$  (Figure 4b). Fitting these measured concentrations into linear functions of x gives concentration and  $x = -130 \, \mu m$  (Figure 4c, d), which show that the local  $x = -130 \, \mu m$  the cathode surface is 11.9, much higher than that of the electrolyte bulk. Note that under these conditions,  $CO_2$  will still chemically react with the electrolyte, and the reaction rate would be even faster than that in the zero-current scenario because the local  $x = -130 \, \mu m$  higher. However, the  $x = -130 \, \mu m$  higher neutralization reaction is not significant enough to balance the  $x = -130 \, \mu m$  delectrochemical  $x = -130 \, \mu m$  higher. However, the  $x = -130 \, \mu m$  higher than that in the zero-current scenario because the local  $x = -130 \, \mu m$  higher. However, the  $x = -130 \, \mu m$  higher has a parameter of the basic local  $x = -130 \, \mu m$  higher.



**Figure 4.** Measured HCO<sub>3</sub><sup>-</sup> and CO<sub>3</sub><sup>2-</sup> concentrations with respect to distance from GDE surface at current densities of (a) 0 mA/cm<sup>2</sup> and (b) 50 mA/cm<sup>2</sup>. Electrolyte: 1 M KHCO<sub>3</sub>. (c) Fitted concentrations of HCO<sub>3</sub><sup>-</sup>, CO<sub>3</sub><sup>2-</sup>, CO<sub>2</sub> (aq) and OH<sup>-</sup> and (d) pH profile with respect to distance from GDE surface.

#### Conclusion

In conclusion, we have demonstrated that *in-situ* micro-area Raman spectroscopy is an effective tool for studying the local pH near CO<sub>2</sub> reduction GDEs under working conditions. Applying this technique, we have obtained experimental evidence that CO<sub>2</sub> chemically reacts with alkaline electrolyte at the interface. This neutralization reaction has significant influences on the local pH and the electrochemical performance. This continuous-flow Raman electrochemical cell could also be applicable to other reaction systems involving GDEs. The spatial resolution and Raman sensitivity might be limitations, which could be overcome by techniques such as surface enhancement.

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# **Supporting Information**

Experimental details, concentration profile fitting and supplementary figures.

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